<table>
<thead>
<tr>
<th>LCRI</th>
<th>Addition/Change</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.0E</td>
<td>Revised to clarify provisions for Greek letters</td>
<td>Replace p. 3-4</td>
</tr>
<tr>
<td>21.30J</td>
<td>Revised to correct example</td>
<td>Replace p. 11-12</td>
</tr>
<tr>
<td>24.4B</td>
<td>Revised to clarify qualifiers to initialisms and acronyms, to reformat order of topics, and to add information on qualifying sailing vessels</td>
<td>Replace</td>
</tr>
<tr>
<td>25.1</td>
<td>Revised to reinstate caption</td>
<td>Replace p. 3-4</td>
</tr>
<tr>
<td>25.8</td>
<td>Revised to correct spacing of examples</td>
<td>Replace</td>
</tr>
<tr>
<td>26.1</td>
<td>Revised to add information on qualifying initialisms and acronyms and correct example</td>
<td>Replace</td>
</tr>
<tr>
<td>26.4B</td>
<td>Revised to reinstate paragraph e)</td>
<td>Replace p. 7-8</td>
</tr>
</tbody>
</table>

Current LCRI: Replace

Index: Replace
<table>
<thead>
<tr>
<th>LCRI</th>
<th>Addition/Change</th>
<th>Action</th>
</tr>
</thead>
</table>

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Separators are defined as characters that divide groups of letters or numbers into multiple words, in the context of constructing search queries. The most frequent examples are the ISBD marks of punctuation, as well as opening and closing parentheses, the hyphen, and double quotes.

Library of Congress Rule Interpretations

November 2006
chief source: Poly-α-amino acids ...
transcription: 245 10 $a$Poly-[alpha]-amino acids ...

chief source: A history of π (pi) ...
transcription: 245 12 $a$A history of [pi] (pi) ...
(Parentheses are separators, but a space precedes "(pi)" in the source)

chief source: ... at infinity of certain subclasses of $L_1 \Omega A(R)$
transcription: 245 10 $a$... at infinity of certain subclasses of $L_1 [Omega] A(R)$
(The omega in the source is a capital letter)

chief source: A catalogue of the Connecticut Alpha of the $\Phi BK$, August 1847
transcription: 245 12 $a$A catalogue of the Connecticut Alpha of the [Phi Beta Kappa], August 1847

chief source: The cos $\pi \lambda$ theorem ...
transcription: 245 14 $a$The cos [pi lambda] theorem ...

chief source: ... materials lists for high-power 10.6 μ windows ...
transcription: 245 10 $a$... materials lists for high-power 10.6 [mu] windows ...

chief source: The bias in $dT/d \Delta$ calculated ...
transcription: 245 14 $a$The bias in $dT/d [Delta]$ calculated ...

chief source: $Z$
transcription: 245 10 $a$[Zeta]
(The title consists solely of the Greek letter zeta)

When a Greek letter is used in a word that is otherwise in the roman alphabet in the source, use the romanized form of the letter (instead of its name) in brackets.

chief source: Zaraðustra and Philo
transcription: 245 10 $a$Zara[th]ustra and [Ph]ilo

chief source: Oie wowapi waŋ Lakota-leska
When a music title that is to be traced begins with a cardinal number that is not an integral part of the title, make an added entry under the title with the number omitted.

245 10 $a 3 romances sans paroles : $b pour piano, op. 17 / $c par Gabriel Fauré.
246 3# $a Trois romances sans paroles
246 30 $a Romances sans paroles

245 10 $a Symphony no. 3 $h [sound recording] ; $b Three pieces in old style / $c Górecki.
246 1# $i Subtitle on container: $a Symphony of sorrowful songs
740 02 $a Three pieces in old style
740 02 $a 3 pieces in old style
740 02 $a Pieces in old style

When such a title begins with an ordinal number that is not an integral part of the title, make only one title added entry: under the title with the number omitted.

245 10 $a 3a suite brasileira : $b sobre têmas originais, para piano = 3rd Brazilian suite : about originals [sic] themes / $c Lorenzo Fernandez
246 30 $a Suite brasileira
246 31 $a Brazilian suite

(2) Dates

(a) *Dates representing a single year or span of years.* Do not make a 246-derived title added entry substituting the corresponding spelled-out form for dates written in arabic numerals representing a single year or a span of years. (*Exceptionally,* make such a 246-derived title added entry when it is the custom in a particular language, e.g., Chinese, to expect access through the written-out form of a date.) If, however, such dates are written in roman numerals, make a 246-derived title added entry substituting arabic numerals for the roman numerals.

245 10 $a 1915 : $b revue de guerre en deux actes ...
245 10 $a 1945-1975 Italia ...

(b) *Other dates.* If dates other than those representing a single year or a span of years are written in roman numerals, make a 246-derived title added entry substituting arabic numerals for the roman numerals. Make a 246-derived title added entry substituting the corresponding spelled-out form if it is thought that some users of the catalog might reasonably expect that the form was spelled out in words in the source. Make this judgment regardless of whether the numerals in the source are arabic or roman.
(3) Roman numerals (excluding dates). Make a 246-derived title added entry substituting arabic numerals for the roman numerals. Make an additional 246-derived title added entry substituting the spelled-out form of the number in the language of the title proper if it is thought that some users of the catalog might reasonably expect that the form was spelled out in the source.
24.4B. NAMES NOT CONVEYING THE IDEA OF A CORPORATE BODY.

Art Galleries

If the name of an art gallery needs a general designation qualifier and 24.5C is not applicable, use the term "(Gallery)" as a qualifier rather than a more specific term such as "(Art gallery)." (Do not use "(Gallery)" as a qualifier for an art museum needing a general designation qualifier.) Note: Do not change existing AACR2-coded headings for art galleries solely to conform to this directive.

Consultant Firms

If the name of a consultant firm consists solely of subject words and the word "consultants" (or its equivalent in other languages), add a 24.4B-type qualifier to the name (unless 24.5C is applicable). Do not add such a qualifier if the name contains other elements.

110 2# $a Hospital Maintenance Consultants (Firm)

Initialisms and Acronyms

If the name chosen for the heading for a corporate body is an initialism or acronym written in all capital letters (with or without periods between them), add a qualifier to the name (unless 24.5C is applicable). Generally do not add such a qualifier when the capitalized form is used in a see reference, unless it is required to break a conflict with the 1XX heading on another record, cf. LCRI 26.1.

110 2# $a CAST (Group)
410 2# $a C.A.S.T.
111 2# $a CAV (Conference)

Multiple Qualifiers

If the name is eligible for another qualifier (as when the name conflicts or when the body is a directly entered government agency that is not an institution), add the qualifier called for in this rule first. Separate the qualifiers by a space-colon-space.

110 2# $a Red Sea (Restaurant : Washington, D.C.)
110 2# $a BANAS (Organization : Indonesia)

Performing Groups

In dealing with performing groups, apply the following:

1) If the name contains a word that specifically designates a performing group or a corporate body in general (e.g., band, consort, society) or contains a collective or plural noun (e.g., Ramblers, Boys, Hot Seven), do not add a designation to the name.
2) If the name is extremely vague, consisting primarily of single, common words (e.g., Circle, Who, Jets) or the name has the appearance of a personal name (e.g., Jethro Tull), add a designation to the name.

3) If the name falls between the above categories (e.g., Led Zeppelin, Jefferson Airplane, Road Apple, L.A. Contempo), add a designation to the name.

4) If there is doubt whether a designation should be added, add it.

Use the designation "(Musical group)" unless special circumstances (such as a conflict) require a more specific term.

**Duos**

For performing duos, do not add a general designation as a qualifier if the name contains two surnames (with or without forenames or forename initials) or if the name contains two forenames.

110 2# $a Ferrante and Teicher

**Pre-1981 Headings**

Headings originally established before January 1981 that fell into either of the two categories listed below were coded "AACR2" prior to September 1982. Continue to use the existing form of the established heading in post-August 1982 cataloging. (Headings coded after August 1982 are in accord with AACR2 and current LC policy.)

1) The heading contained a qualifier that is not needed according to current policy.

110 2# $a Chefs' Rights Alliance (Society)

*(Do not change to: Chefs' Rights Alliance)*

2) The heading lacked a qualifier that would be needed according to current policy.

110 2# $a BFA Educational Media

*(Do not change to: BFA Educational Media (Firm))*

**Ships**

*LC practice:* When establishing a heading for a ship, add an appropriate designation in English as a qualifier if the name alone does not convey the idea of a corporate body. For powered vessels, the appropriate designation is (Ship). If there is more than one powered vessel with the same name, add a more specific term to each to resolve the conflict.

110 2# $a Ulua (Ship)

*(Unique heading; qualifier added to clarify the meaning of the heading)*
110 2# $a Franklin (Aircraft carrier)
110 2# $a Franklin (Steamship)
   *(Two ships of the same name but each of a different type)*

110 2# $a Lexington (Aircraft carrier : CV6)
110 2# $a Lexington (Aircraft carrier : CVA(N) 65)
   *(Two aircraft carriers with the same name)*

For sailing vessels, the appropriate designation is the type of rigging. The term “ship” as applied to sailing vessels is a particular rigging and therefore is not always appropriate. If there is more than one sailing vessel with the same name and the same rigging, add an additional qualifier (e.g., dates of existence, date launched, date ceased, port) to resolve the conflict. If the particular rigging is unknown, use the term (Sailing vessel).

110 2# $a Rachel Ann (Sloop)

110 2# $a Annawan (Brig : 1841-1859)
510 2# $w b $a Annawan (Bark)
   *(Vessel built as a brig in 1841; altered to a bark in 1859; lost at sea 1873)*
110 2# $a Annawan (Brig : 1823-1839)
   *(Vessel built in 1823; lost at sea in 1839)*

110 2# $a Ohio (Bark: 1830-1888)
   *(Vessel built at Baltimore in 1830; lost in Arctic Ocean in 1888)*

110 2# $a Discovery (Ship : Launched 1789)
   *(Merchant ship launched Dec. 19, 1789)*

110 2# $a Centurion (Ship : London, England)
   *(Work in hand refers to the vessel as being of London)*

110 2# $a Maria (Ship : Active 1799)
   *(Work in hand is a ship’s receipt dated 1799)*

110 2# $a Columbus (Ship : 1809-1858)
   *(Vessel built in 1809; sold and broken up in 1858)*
110 2# $a Columbus (Ship : 1820-1851)
510 2# $w b $a Columbus (Bark : 1851-1858)
   *(Vessel built in 1820; converted to bark in 1851; lost at sea 1858)*
110 2# $a Columbus (Bark: 1836-1839)  
     (Vessel built in 1836; lost 1839)  
110 2# $a Columbus (Bark: 1851-1858)  
510 2# $w a $a Columbus (Ship: 1820-1851)  
     (Vessel built in 1820; converted to bark in 1851; lost at sea 1858)  

Update existing records not formulated according to these guidelines only when needing to resolve a conflict.

Sports Teams

If the name of a sports team does not explicitly convey the information that the entity is a sports team, add a qualifier to the name. Include in the qualifier the term "team" following the name of the sport.

110 2# $a Miami Dolphins (Football team)  
110 2# $a Chicago Blackhawks (Hockey team)  

If the team is related to an institution, make a reference from the name of the team as a subheading of the institution.

110 2# $a Ohio State Buckeyes (Football team)  
410 2# $a Ohio State University. $b Buckeyes (Football team)  

Surnames

Generally, do not add a general designation as a qualifier to a corporate name containing two or more surnames (without forenames or without forename initials).

110 2# $a Morgan and Morgan  
not 110 2# $a Morgan and Morgan (Firm)  
but 110 2# $a B. Morgan and D. Morgan (Firm)
I/J, U/V

When a title chosen for the uniform title involves regularization of i/j, u/v, apply the following: use “i” for vowels (e.g., iter, Ilias); use “j” for consonants (e.g., jus, Julius); use “u” for vowels (e.g., uva, Ursa Major); use “v” for consonants (e.g., vox, Victoria); use “w” for consonantal “uu” or “vv” (e.g., Windelia). Follow this directive for all uniform titles regardless of the particular publication or of the work it contains.

Exception: *PCC practice*: For the uniform title for a series published after 1800, transcribe “i” and “j” as they appear.
25.8. COMPLETE WORKS.

The collective uniform title "Works" is used frequently enough to make it advisable to use additions for the purposes of making these collective titles distinct, of insuring that translations file after editions in the original language, and of distinguishing between two or more editions published in the same year. To achieve these objectives, apply the following when using "Works":

1) When an item is first cataloged, add the date of publication of the first part at the end of the uniform title. If information about the first part is not available, give the earliest date known. Later, when information about the first part is available, change the date in the uniform title and update appropriate authority and bibliographic records.

Reduce the publication date to a simple four digit form that most nearly represents the publication date (of the first volume or part if more than one) given in the publication, distribution, etc., area. Convert a hyphen to a zero.

<table>
<thead>
<tr>
<th>Form in publication, distribution, etc., area</th>
<th>Form in collective uniform title</th>
</tr>
</thead>
<tbody>
<tr>
<td>1978</td>
<td>1978</td>
</tr>
<tr>
<td>c1978</td>
<td>1978</td>
</tr>
<tr>
<td>[1978?]</td>
<td>1978</td>
</tr>
<tr>
<td>[ca. 1978]</td>
<td>1978</td>
</tr>
<tr>
<td>1978, c1970</td>
<td>1978</td>
</tr>
<tr>
<td>1966 [i.e. 1965]</td>
<td>1965</td>
</tr>
<tr>
<td>[1966 or 1967]</td>
<td>1966</td>
</tr>
<tr>
<td>1978/1979</td>
<td>1978</td>
</tr>
<tr>
<td>1969 (1971 printing)</td>
<td>1969</td>
</tr>
<tr>
<td>c1942, 1973 printing</td>
<td>1942</td>
</tr>
<tr>
<td>[between 1906 and 1912]</td>
<td>1906</td>
</tr>
<tr>
<td>anno XVIII [1939]</td>
<td>1939</td>
</tr>
<tr>
<td>&lt;1975&gt;-</td>
<td>1975</td>
</tr>
<tr>
<td>[18--]</td>
<td>1800</td>
</tr>
<tr>
<td>[197-]</td>
<td>1970</td>
</tr>
<tr>
<td>[197-?]</td>
<td>1970</td>
</tr>
</tbody>
</table>

Add the date in all cases, including translations. When making a reference from the title proper of the item (25.2E2), add the date at the end of the title proper in all cases.

2) If two editions bear the same publication date and it becomes necessary to refer to a particular edition in a secondary entry, add the publisher's name after the publication date in the most succinct but intelligible form. Make this addition to the uniform title of the edition(s) needing to be distinguished for secondary entry. Make changes to existing records as appropriate to insure that all
iterations of a particular title, including those on series authority records, are the same. If different editions are published in the same year by the same publisher, add an appropriate qualification to the publisher's name.

The following titles illustrate the application of these guidelines (examples with 800 fields illustrate PCC practice):

240 10 $a Works. $f 1902
240 10 $a Works. $f 1904

240 10 $a Works. $f 1904. $s Lovell
(Bibliographic record)
100 1# $a ... $t Works. $f 1904. $s Lovell
(Series authority record)
800 1# $a ... $t Works. $f 1904. $s Lovell ; $v v. 1.
(Series added entry)
800 1# $a ... $t Works. $f 1904. $s Lovell ; $v v. 2.
(Series added entry)

240 10 $a Works. $f 1904. $s Lovell (Eldorado ed.)
(Bibliographic record)
100 1# $a ... $t Works. $f 1904. $s Lovell (Eldorado ed.)
(Series authority record)
800 1# $a ... $t Works. $f 1904. $s Lovell (Eldorado ed.)
; $v v. 1.
(Series added entry)

240 10 $a Works. $f 1920

240 10 $a Works. $f 1930
(Bibliographic record)
100 1# $a ... $t Works. $f 1930
(Series authority record)
800 1# $a ... $t Works. $f 1930 ; $v v. 2.
(Series added entry)

240 10 $a Works. $f 1969

240 10 $a Works. $l German. $f 1911
Composers and Writers

If a person has written both musical and literary works, apply the following:

1) If the person is primarily a composer, use the uniform title "Works"
   a) for editions containing the complete musical and literary works and
   b) for editions containing the complete musical works.

(For complete collections of the literary works alone, use the uniform title "Literary works." For partial collections of the literary works, see LCRI 25.10.)

2) If the person is primarily a writer, use the uniform title "Works"
   a) for editions containing the complete literary and musical works and
b) for editions containing the complete literary works.

(For complete collections of the musical works, use the uniform title "Musical works." For partial collections of the musical works, see LCRI 25.10.)
26.1. GENERAL RULE.

Scope

In general, apply the guidelines in LCRI 26 when formulating the reference structure for new authority records and in evaluating references on existing authority records.

Normalization

Do not trace a see reference that would normalize to the same form as the heading on the same record or to the same form as a heading on another record.

Forms of References

In general, construct a reference in the same form in which it would be constructed if chosen as the heading. However, because the LC/NA/NACO Authority File includes headings constructed according to earlier practices, in some cases references must be formulated to "match" the existing heading. Specific instructions are below.

1) Personal names

a) Dates. Include dates in references if they have been included in the heading. If the form of reference conflicts with an established heading, resolve the conflict by using dates in the reference, even if they are not used in the heading.

b) Initials. In references containing initials, generally include in parentheses the full form of the name represented by the initial(s) when known. However, if the initial is represented in the heading without the full form being given there, make the reference "match" the heading.

```
100 1# $a Boudin, Eugène, $d 1824-1898
400 1# $a Boudin, E. $q (Eugène), $d 1824-1898
400 1# $a Boudin, L. E. $q (Louis Eugène), $d 1824-1898
400 1# $a Boudin, Louis Eugène, $d 1824-1898

100 1# $a Hays, James D., $d 1926-
400 1# $a Hays, J. D. $q (James D.), $d 1926-
not 400 1# $a Hays, J. D. $q (James Donald), $d 1926-

100 1# $a Henao Vélez, César G.
400 1# $a Vélez, César G. Henao
not 400 1# $a Vélez, César G. Henao $q (César Gabriel Henao)
```

Do not combine different language forms or romanizations.

```
100 1# $a Arnol'dov, Arnol'd Isaevich
400 1# $a Arnoldow, A. I.
not 400 1# $a Arnoldow, A. I. (Arnol'd Isaevich)
```
c) Titles/epithets. Include titles and epithets used in the heading in the reference unless, for titles, they are not appropriate to the form in the reference, or, for epithets, the purpose is to refer from a form containing a different epithet. For variant language forms for a person entered under surname, include titles of nobility and terms of honor and address in the form found in the source for the reference. If the source for the reference does not include the title, etc., use in the reference the term used in the heading, although it is in a different language.

```
100 0# $a William, $c of Auvergne, Bishop of Paris, $d d. 1249
400 0# $a Guillaume, $c d' Auvergne, Bishop of Paris, $d d. 1249

100 0# $a Maria, Mother, $d 1912-1977
400 0# $a Gysi, Lydia, $d 1912-1977

100 0# $a Gruoch, $c Queen, consort of Macbeth, King of Scotland
400 0# $a Gruach, $c Queen, consort of Macbeth, King of Scotland
400 0# $a Macbeth, $c Lady

100 1# $a Custine, Astolphe, $c marquis de, $c 1790-1857
400 1# $a Küstin, Adolf, $c markiz de, $d 1790-1857

100 1# $a Aufsess, Hans Max, $c Freiherr von und zu
400 1# $a Aufsess, H. M. $q (Hans Max), $c Freiherr von und zu
```

d) Compatible headings. Normally, construct the reference to "match" the AACR2 compatible element in the heading. Exception: Do not use "pseud." in references.

```
100 0# $a Ping-ping, $c pseud.
400 0# $a Bingbing

100 0# $a Irenaeus, $c Saint, Bp. of Lyons
400 0# $a Irénée, $c Saint, Bp. of Lyons

100 1# $a Crespelle, Jean Paul
400 1# $a Crespelle, J.-P. $q (Jean Paul)

100 1# $a Stevens, John D., $d fl. 1972-
400 1# $a Stevens, J. D. $q (John D.), $d fl. 1972-
```

Additional examples illustrating formats of references (full reference structure not necessarily shown):
26.1

2) Corporate names

a) Qualifiers. Include qualifiers in the reference if appropriate to the form in the reference even if the qualifier has not been used in the heading because of earlier policies or because it is not appropriate there. Exception: Do not add a qualifier to a reference consisting solely of an initialism unless a qualifier is required to break a conflict with the 1XX heading on another record. If such a conflict exists, a qualifier is required—use judgement to select an appropriate term to use in the qualifier, e.g., a general term per 24.4B or the spelled-out form of the initialism found in the reference. An initialism reference on one record may be the same as an initialism reference on another record.

151 ## $a Ghent (Belgium)
451 ## $a Gent (Belgium)

110 2# $a Galleria nazionale d'arte moderna (Italy)
410 2# $a National Gallery of Modern Art (Italy)

111 2# $a Conférence Europe-Afrique $d (1979 : $c Lausanne, Switzerland)
411 2# $a Euro-Afrikanische Konferenz (1979 : Lausanne, Switzerland)
b) Terms of incorporation. Construct the reference to "match" the heading with regard to the presence, absence, or form of a term of incorporation.

110 2# $a William Claiborne, inc.
410 2# $a Claiborne, inc.

Combined References

When reference is made to two or more different headings from the same form, trace individual references rather than listing on one combined reference all headings referred to through the cataloger-generated reference technique. Use a cataloger-generated reference only when a special explanatory referral legend is needed, e.g., for pseudonymous authors (cf. LCRI 22.2B).

Typographic Style

Generally, LC typographic style will not change.

Initial Articles

Treat references just as headings with respect to deletion/retention of initial articles.
e) Other agreements involving jurisdictions, and agreements between a government at any level, other than international intergovernmental bodies, and a non-governmental corporate body. Make added entries instead of references in these cases. See 21.35D and 21.6C.

f) Collections of treaties, etc.\(^5\)

(1) Collections of treaties, etc., contracted between two parties. For each of the categories a)-e) listed above (covering single treaties, etc.), a separate authority record is made for each treaty, etc., for the purpose of tracing references from the variant forms of the uniform title. However, in the case of collections of treaties, etc., contracted between two parties, a single authority record serves to cover all collections between the two given parties and is made only for the purpose of referring from the party not chosen as the main entry heading, followed by the uniform title Treaties, etc., and the name of the party chosen as the main entry heading. (Each bibliographic title of the collection is traced as a title added entry on the appropriate bibliographic record, not on the name authority record.)

110 1# $a Great Britain. $t Treaties, etc. $g United States
410 1# $a United States. $t Treaties, etc. $g Great Britain

(2) Collections of treaties, etc. contracted between one party and two or more other parties. Generally, no authority record is necessary. (The bibliographic title of each collection is given as a title added entry on the appropriate bibliographic record.)\(^5\)

\(^5\)Excluded from consideration are serials and monographic series. For these the heading is constructed according to LCRIM 25.5B. PCC practice: For series the appropriate references are traced on the series authority record.

110 1# $a Australia. $t Treaties, etc. (Australian treaty series)
LIBRARY OF CONGRESS RULE INTERPRETATIONS (LCRI)

See http://www.loc.gov/catdir/cps0/currlcri.html
— NUMERALS —

"80% rule" (personal names), 22.3A
667 fields
Earlier names of corporate bodies previously represented by see references, 26.3
Pre-AACR2 forms of name when linking reference technique not used, 26
Unused pre-AACR2 corporate subdivisions, 26.3

— A —

AACR2
Rule corrections (1988 revision), 25.30B6, 25.35A1

Abbreviations
Additions to list, B.9
Consisting of more than one letter, 1.0C
Corporate body names as parts of titles proper in serials, 12.1B3
Corporate names, 24.1
Corporate names (references), 26.3A6
Cross references from spelled out forms, 26.3A6
"Department" (AACR2 form), B.9
"i.e." and "et al." in nonroman scripts, B.4
Other parts of the catalog entry, B.5
Personal names (forenames or surnames), 22.1B, 22.18A
Personal names (not initials), 22.1B, 22.18A
Rule revision, B.5
Series numbering, 1.6G
Sources or attributions after a quoted notes, 1.7A3
Spacing conventions, 1.0C
State names, B.14
Surnames of Hungarian-language personal names, 22.5C2
Surnames of Spanish-language personal names, 22.1B, 22.5C2, 26.2
Titles proper, 21.30J
"V.I." B.14

Academic degrees
Terms (thesis notes), 1.7B13

Academic disputations (function designations), 21.27

Accent marks
see
Diacritics

Access points
Arabic numerals, C.1
Periods, 1.0C
Index

Sources, 21.0B
Spacing conventions, 1.0C
Accompanists as separate entities from corporate bodies accompanied (main and added entries), 21.23C, 21.29D
Accompanying ensembles
Added entries for individuals in unnamed groups, 21.29D
Uniform title terminology (one player/part), 25.30B7
Accompanying materials
Cataloging treatment, 1.5E1
Items made up of several types of material, 1.10
Monograph vs. serial vs. integrating resource supplementary material, 1.0
Physical descriptions, 1.5E1
Program notes/inserts, 6.7B11

Acronyms
see
Initials, initialisms, and acronyms

Ad hoc events as corporate entities, 21.1B1
Adaptations
By original composer, 21.18C1
Added accompaniments, 21.21, 25.35C
Added entries
see also
Analytical added entries
Related work added entries
Series added entries
Title added entries

246 field and initial articles, 21.30J
Agreements (other parties—exception), 21.35B, 21.35C
Agreements (general revisions—exception), 21.35E2
"Annual report," 21.30J
Arr. (omission in headings), 21.18B
Binder's titles, 2.7B4
Collections containing works by two or more composers, 21.7B-C
Collections (guidelines), 21.7B-C
Conference proceedings, 24.7B2, 24.30E
Contents notes as justification, 2.7B18
Corporate bodies, 21.1B2, 21.30E
Corporate bodies named prominently, 21.30E
Corporate bodies sponsoring conferences, 21.30E
Covered by references (Lc policy), 21.29G, 26.6
Facsimile editions of manuscripts, 21.30H
General material designations, 21.19
Honoree of Festschriften, 21.30F
Illustrators (children's literature), 21.0D, 21.30K2
Institutions where exhibitions held, 21.30F
Issuing bodies (series), 21.30E
Jazz ensembles (individual members), 21.29D
Jurisdictions enacting legislation (exception), 21.31B, 21.33A
Justification (corporate bodies named in conjunction with personal names), 1.1F7
Librettists, 21.28A
Multipart items, 21.30L
Music, 21.30F
Musical works based on or inspired by an art work, 21.30G
Musical works based on or inspired by a literary work, 21.30G
Musical works based on or inspired by the works of an author or artist, 21.30G
Opera companies, houses, etc., 21.29D, 21.30E
Order, 21.29
Performers, 21.29D
Performers (omission when belonging to performing groups given added entries), 21.29D
Performers (omission when given main entry as principal performer), 21.29D
Performers (omission when participating in small number of works), 21.29D
Performers (omission when participation is minor), 21.29D
Performers when many perform the same functions, 21.29D
Persons in unnamed accompanying ensembles, 21.29D
Proceedings of two or more meetings of one conference issued in one item, 24.7B
Repositories or names of manuscripts, 21.30H
Separately published issues of periodicals, 21.30G
Serials, 21.30G
Series (definition, form, and guidelines), 21.30L
Series statements appearing only on jackets or in bibliographies, 1.6A2
Sound recordings, 21.23, 21.29D, 21.30E, 25.34
Sound recordings (one or more works by the same person or body), 21.23
Special numbers to serials, 21.30G
Supplements to series/serials, 21.30G
Titles proper, 21.30J
Titles proper same as or similar to main entries or subject headings, 21.30J
Translators of belles letters, 21.30K1
Treaties, etc. (other parties—exception), 21.33A
Uniform titles, 21.30J
"Works," 21.30M
Writers of texts of catalogs of the works of a single artist, 21.17B

"Added title" notes, 2.7B4
Added title pages (notes), 2.7B4

Additions to ...
see also primarily
Qualified ([type of name])
Index

_____ personal names

Bishops, etc., who are also saints, 22.16C
Dates, 22.17
Dates in cross references, 26.1
Dates (uncertain), C.7
Delimiters to separate additions, 22.1B, 22.5D
Descriptive phrases for pre-20th century persons, 22.19
Exceptional cases ("additions" as parts of the names—punctuation and references),
22.8A1
"fl.," 22.17, 22.19
Full forms of initials (no conflicts), 22.18A
Heads of government acting in official capacities, 24.20B
Japanese priests, 22.16D
Musicians, 22.19
Names not entered under first elements, 22.8A2
Persons of religious vocations, 22.16D
Phrases commonly associated with personal names (from non-English sources),
22.8A1
Romanized JACKPHY records (usage for distinguishing names from additions), 22.1B
Saints who are also bishops, etc., 22.16C

_____ publications designed to receive them, 2.5B9

_____ uniform titles

see Uniform titles
Additions ...

Addresses (publishers), 1.4C7
Administrative regulations, etc., 21.32A
"Administrative subordination" (terms)
  Government bodies, 24.18, TYPE 2
  Non-government bodies, 24.13, TYPE 2
Airplanes, Names, 24.1
Airports, 24.1
Almanacs (U.S.)
  Title changes for 17th-19th century U.S. almanacs, 21.2C
Almshouses, 24.1
Alphabetic designations in subordinate corporate names, 24.1

Alternative provisions
see Optional or alternative provisions (decisions)

Alternative titles
Title access, 21.30J
Uniform titles (omissions), 25.3B
Index

Uniform titles (music), 25.27
Ambiguous entities, 23.1, 24.1, 24.7, 24.8
American Indian tribes, 23.1
Ampersands
  Corporate headings, 26.3A6
  Punctuation, 24.1
  Titles proper, 21.30J
  Uniform titles (updating to AACR2), 25.1

Analytical added entries
  see also
  Related work added entries

  Dates, 21.30M, 25.8
  Definition, 21.30M
  Formulation, 21.30M
  Sound recording collections (omission from incomplete collections), 25.34
  Sound recording collections (omission from non-classical music collections), 25.34
  Sound recording collections containing excerpts from one work, 25.34
  Sound recording collections containing three-five works by one composer, 25.34
  Sound recording collections containing works by two or more composers, 21.7B-C
  Title access, 21.30M
  Works in collections, 21.7B, 21.7C

"Analyzable" titles (definition), 25.6A
Ancient laws, certain medieval laws, customary laws, etc., 21.31C

Anglo-American Cataloguing Rules, Second ed.
  see
    AACR2

Appendices (contents notes), 2.7B18
Aquariums, Public, 24.1

Arabic numerals
  see also
    Numbers
    Series numbering

    Chinese, Japanese, and Korean numerals (substitution), C.5C
    Decimals and commas, C.1
    Forms (access and non-access areas), C.1
    Title access, 21.30J
    Updating existing records, C.1

Archival moving image materials, 1.6, 7.1B1
Armed forces headings
   see
      Military headings

Arboretums, 24.1
Arr. (omission in headings), 21.18B
Art and exhibition catalogs
   Added entries (institutions in which held), 21.30F
   Art exhibitions as corporate entities, 21.1B1
   Catalogs without reproductions, 21.17B
   Catalogs unrelated to exhibitions (choice of entry), 21.1B2
   Catalogs of works of single artists (choice of entry), 21.17B
   Catalogs of works of more than one artist (choice of entry), 21.1B2, 21.17B
Art galleries (qualifiers), 24.4B
Art reproductions
   Catalogs without reproductions, 21.17B
   Catalogs of works of single artists (choice of entry), 21.17B
   Catalogs of works by more than one artist (choice of entry), 21.17B
Art works
   Musical works based on or inspired by, 21.30G
   Uniform titles, 25.3A, 25.4A, 25.5B
Artificial satellites, 24.1
Artists
   Musical works based on or inspired by a work/the works of an artist, 21.30G
Authors
   Musical works based on or inspired by a work/the works of an author, 21.30G
Articles
   see
      Initial articles

Articles in romanized headings
   Hebrew (capitalization), A.34
At head of title notes
   Serials, 12.7B7.1
At heads of titles
   Soviet bloc agencies "stamp of approval," 24.17
Athletic contests, 24.7

Atlases
   see
      Cartographic materials

Auction catalogs, 21.1B2
Audience notes, 2.7B14
Authors
   Musical works based on or inspired by a work/the works of an author, 21.30G
Bars, 24.1

Basso continuos
   see
      Continuos (Uniform titles)

Belles lettres items
   Added entries for translators, 21.30K1
   Notes (literary form), 2.7B1

BGN
   see
      U.S. Board on Geographic Names

Biblical figures, 22.19
Bibliographic data sheets as "preliminaries," Appendix D
Bibliographic descriptions
   Spacing conventions, 1.0C

Bibliographic notes
   see
      Notes
         [specific type of note]

Bibliographic records
   520 field, 2.7B17
Bibliographies containing series statements, 1.6A2
Bilingual editions and dictionaries (chief source of information), 1.0A3
Binders' titles
   Added entries, 2.7B4
   Notes, 2.7B4

Bindings/formats
   see also
      Formats (various)

      Binding types (qualifier to standard number), 2.8D2
      CIP items, 1.0
      ISBNs (choice, order, and qualifications), 1.8
      Prices, 1.8
      Variations (edition or copy?), 1.0

Biological stations, 24.1
Index

Board on Geographic Names (U.S.)
  see
    U.S. Board on Geographic Names

Boards of trade (Chambers of commerce), 24.1

Books published before 1801
  see also
    Incunabula
    Rare books

    Descriptive cataloging, 2.12-2.18
    Uniform titles, 25.14

"Bound with" notes, 1.7B21

Botanical gardens, 24.1

Brackets
  Alphabetic, numeric, or chronological designations of serials, 12.3B1
  Bracketed material in titles proper, 21.30J
  Dates and places of manufacture, 1.4G
  Publication, distribution, etc., information from stamps or labels, 1.4A2
  Uniform titles (name authority and bibliographic records), 25.1

Branches of corporate bodies, 24.9

British Library
  Geographic names, 23.2

British terms of honor, 22.1C

Business firms
  Terms of incorporation, 24.5C1

— C —

Cadenzas, 21.28A

Call numbers
  Multipart items in series classed as collections, 1.6B, 1.6J

Canadian headings
  see
    National Library of Canada

Cancellations
  Information references, 26.3B-C

Canon law (choice of entry), 21.1B2

Capitalization
  Breton, A.33
  "Contents," "Summary," etc. (notes), 1.7A3
  Document names, A.20
Galician, A.33  
Georgian, A.33  
Hebrew articles (romanized), A.34  
Hyphenated compound words, A.31  
Latvian, A.53  
Lithuanian, A.54  
"Little words" in English corporate names, A.2A  
Mongolian, A.33  
Non-Slavic, Cyrillic languages, A.33  
Occitan, A.33  
Publisher statements on serials, A.7A  
Scientific names of plants and animals, A.25  
Sources or attributions after quoted notes, 1.7A3  
Titles with introductory words, A.4A1, A.4D1  
Vietnamese, A.33  
Zoogeographic regions, A.15A

**Cartographic materials**  
Corporate bodies as producers, 21.1B2  
Items lacking a collective title, 1.1G2  
Maps as illustrations, 2.5C2

**Cartoons**  
*see*  
**Comic strips**

**Cataloger-generated references**  
*see also*  
**Explanatory references**

  Initialisms and acronyms, 26.3B-C  
  General instructions, 26.1  
  Pseudonyms, 22.2B  
  Use of explanatory references, 26.2D1, 26.3B-C

**Cataloger's notes**  
*see*  
**Notes**

**Cataloging responsibilities**  
Related work added entries, 21.30G

**Catalogs**  
*see*  
**Art and exhibition catalogs**

**Cemeteries**, 24.1  
**Census data contained in other works**, 21.28B
Index

Census (U.S.) publications (uniform titles), 25.5B

Chambers of commerce (U.S.)
  see
    U.S. chambers of commerce

Chapters of corporate bodies, 24.9

Charts
  see
    Cartographic materials

Chief sources of information
  Access points, 21.0B
  Bilingual editions and dictionaries, 1.0A3
  Choice (monographs), 2.0B1
  Direct access electronic resources, 12.0B2
  Electronic serials that don’t retain earlier title/bodies, 12.0B1
  Illustration statements, 1.1F15
  Multiple (single part items containing two or more works), 1.1G3
  Multiple (repetition of information), 2.0B1
  Series-subseries, 1.6A2
  Successive leaves or pages, 2.0B1
  Title page substitutes (serials), 12.0B2

Children’s literature
  Headings (illustrators), 21.0D, 21.30K2

Choreographic works
  Uniform titles, 25.5B

Chorus score
  Definition, Appendix D

Choruses of opera companies
  see
    Opera companies, houses, etc. (added entries)

Chronological designations
  In notes, 12.7A2
  Option decision, 1.6G3

Churches
  see
    Local churches

CIP items
  Intended audience notes, 2.7B14
  Publication, distribution, etc., area (chief source), 1.4A2
Index

Citation titles of laws as uniform titles, 25.15A1, 25.15A2
City sections, 23.1, 23.4F2
Closing open entries
  Dates of publication, 1.4F8
  Physical description areas, 1.5B5
Closing dates (years of reign or incumbency), 24.20B

Coding for AACR 2
  see
    [Types of heading]

Collations
  see
    Paginations
    Physical description areas
    Extent of items
      [specific terms]

Collected sets
  see
    Multipart items

Collections
  see also
    Collective uniform titles
    Collective titles (items lacking)
    "Non-collection"
      (see AA2 21.7A)

    Analytical added entries, 25.8
    Analytical added entries (without collective title), 21.7C
    Analytical added entries (with collective title), 21.7B
    Choice of entry (without collective title), 21.7C
    Contents notes, 2.7B18
    Definition, Appendix D
    Librettos of works by one composer (main entries), 21.28A
    "Made up" collections (physical description area), 2.5B18
    Main and added entries (guidelines), 21.7B-C
    Main works with lesser works, 25.1
    No single chief sources of information (single part items, no collective titles), 1.1G3
    Partial collections by authors who write in a single form, 25.9
    Translations, etc., 25.11
    Uniform titles, 25.8
    Uniform titles (literary works of composers), 25.8, 25.10
    Uniform titles (two works by one author), 25.7
    Works in a single form (uniform titles), 25.10
    Works in translation (uniform titles), 25.11
Collections of independent publications republished together (notes), 2.7B7

Collections (music sound recordings)
- Analytical added entries (three-five works by one composer), 25.34
- Analytical added entries (works by two or more composers), 21.7B-C
- Analytical added entries (omission for incomplete collections, 25.34
- Main entry (three-five works by one composer), 25.34

Collective settlements, 23.1

Collective titles
- Compact discs and cassettes, 6.0B1
- Main entry for sound recordings (works by different persons or bodies), 21.23C
- Title access, 21.30J

Collective titles (items lacking)
- Cartographic materials, 1.1G2, 3.1G1
- Microforms, 1.1G2
- Motion pictures and videorecordings, 7.1G1
- One part predominant, 1.1G1
- Single subsequent statements of responsibility, 1.1G3
- Sources of information for compact discs and cassettes, 6.0B1
- Title access, 21.30J
- Unit descriptions for sound recordings, 6.1G1

Collective uniform titles

see also Uniform titles

Added entries and examples ("Works" and "Selections"), 25.8
Additions (music) [rule correction], 25.35A1
Collections entered under corporate names, 25.8
Collections in a single form, 25.10
Multipart items, 25.5B
Other than "Works" and "Selections," 25.8
Publishers' names added to distinguish editions of "Works," etc., 25.8
Qualifiers, 25.8
Reasons to change, 25.8
Translations, etc., 25.11
Uniform titles for complete musical and literary works, 25.8, 25.10
Updating headings to AACR 2, 26
When to assign, 25.8
"Works" and "Selections" (forms and guidelines), 25.8

Colophons

Forms of name (items published in the former USSR), 22.3A
Definition (amplification), Appendix D
Location (books with paper covers), Appendix D
Location (publications printed by GPO), Appendix D
Source for series statement, 1.6A2

Combined references, 26.1
Comic strips
   Uniform titles, 25.5B

Commas
   Between names and associated phrases, 22.8A1
   In series of words (English), 24.1
   With numbers, C.1

Commercial firms
   see
   Business firms

Commercial publishers
   Authors of series having no titles, 1.6
   Hierarchies in imprints, 1.4D2

Communes, 23.1

Compatible headings
   Guidelines (corporate names), 24.1
   Guidelines (personal names), 22.1

Competitions, 24.7

Composers
   Adaptations of own works, 21.18C1
   Added entries (when performing own works), 21.29D
   Choice of name, 22.1B
   Composers and writers, 25.8, 25.10
   Composers and authors, 22.1B
   Composers and authors (uniform titles for collections), 25.8
   Composers not considered contemporary authors, 22.2

Computer files
   see
   Electronic resources

Computer software
   see
   Electronic resources

Concentration camps, 24.1

Concert halls, 24.1

Conductors (separate entities from corporate bodies conducted—main and added entries), 21.23C, 21.29D

Conferences, meetings, etc.
   Added entries, 21.30E
   Added entries (treaties), 21.35A2
   Choice of entry, 21.1B2
   Choice of entry (two meetings of the same conference), 24.7B
   Conflicts, 24.7B
   Containing ISBD-type punctuation, 1.1B1
Index

Corporate bodies, 21.1B1
Corporate names (containing), 21.1B1, 24.13, TYPE 6
Dates as part of names of meetings (qualifiers), 1.0C, 14.1, 24.7A, 24.7B
Electronic conferences (Location), 24.7B
Entered directly, 24.13, TYPE 6
Generic term names of meetings of bodies, 21.1B1, 24.13, TYPE 6
Generic term names of meetings sponsored by bodies, 21.1B1
Government delegations, 24.26
Irregular numbering (optional notes), 24.7B2
Monographic vs. serial vs. integrating resource, 1.0
Named meetings combining acronyms or initialisms and abbreviated or full date, 1.0C, 21.1B1, 24.1, 24.4B 24.7A, 24.7B
Named meetings containing entire names of corporate bodies, 21.1B1, 24.13, TYPE 6
Named meetings (definition), 21.1B1
Named/unnamed with generic terms, 21.1B1
Named meetings entered subordinately, 24.13, TYPE 6
Named meetings entered subordinately (definition), 21.1B1
Ongoing conferences (conflicts), 24.7B
Ongoing conferences (name authority records), 24.7B
Proceedings (two or more meetings of one conference issued in one item—choice of entry), 24.7B
Qualifiers, 24.7B
Qualifiers (ongoing conferences), 24.7B
Qualifiers (omissions from references), 26.3A3
References, 26.3A3
References (minor name changes), 24.2
References for earlier/later names (named), 26.3B-C
Subordinate to higher bodies, 24.13, TYPE 6
Two meetings of the same conference (choice of entry), 24.7B
Unnamed meetings (no notes on bibliographic records), 26.3B-C
Updating headings to AACR2, 26
Working sessions of bodies, 21.1B1
Year (spacing), 10C

Conflicts

Art works, 25.5B
Biblical figures, 22.19
Corporate names, 24.4C
Corporate names no longer unique, 24.4C
Definition (corporate names), 24.4C
"fl." (personal names), 22.17, 22.19
Geographic names, 23.4F1
Geographic names (conflicts with names generally not qualified), 24.6
Integrating resources, 25.5B
Involving pre-1981 corporate headings, 24.4C
Involving pre-1981 references covering earlier corporate names, 24.4C
Hawaii local places, 23.4F1
Index

Monographic titles, 25.5B
Musicians, 22.19
Not resolved by qualifiers, 24.4C, 24.4C5
Personal name headings, 22.17
Personal name headings (changing established headings), 26
Personal name headings with personal name references, 26.2C
Pseudonym references, 26.2B3
Serials/series titles, 25.5B
Serials/series titles (definition), 25.5B
Sovereign nations with other places, 24.6
States, provinces, etc. (places in other jurisdictions), 24.6
States, provinces, etc. (places in same larger jurisdiction), 24.6
Telephone calls, 22.17
Titles of address, etc., 22.17, 22.19
Titles, 25.5B
Townships, 23.2, 23.4F1
Townships (other townships in the same states), 23.4F1
Unused forenames or surnames, 22.17

Congolese personal names, 22.8
Conservation districts, 23.1
Constitutions (uniform titles), 25.3B
Constitutions, charters, and other fundamental laws
References in lieu of added entries (enacting jurisdictions), 21.33A

Consultant firms
General designation qualifiers, 24.4B

Consultants' reports (choice of entry), 21.1B2

Contents notes (formal)
Books in one volume, 2.7B18
Format, 1.7A3, 2.7B18
Multipart items (complete and incomplete), 2.7B18
Multipart items (volumes in different editions), 2.7B18
Multipart items (volumes lacking titles), 2.7B18
Music, 5.7B18
Other title information, 2.7B18
Punctuation, 2.7B18
Sound recordings, 6.7B18
Sources, 2.7B18
Statements of responsibility, 2.7B18
Volume designations, 2.7B18
What to omit, 2.7B18
When some volumes lack titles, 2.7B18
When to provide, 2.7B18

Contents notes (formal or informal), 2.7B18
Index

Contents notes (informal)
  see
    Notes
      [specific type of note]

Contests, 24.7
Contractions (antiquated orthography), 1.0E

Copies
  see also
    Duplicates (identification)
      Copies vs. new editions, 1.0, 1.2B3

Copy-specific notes
  Features of LC copies, 1.7B20
  Imperfections or specific features (monographs), 1.7B20
  Numbered copies, 2.7B7
  Works bound by LC, 2.7B4

Copyright dates
  Dates later than current year, 1.4F6
  Items lacking publication dates, 1.4F6
  Latest copyright date (electronic resources), 9.4F4
  Materials other than books and printed serials, 1.4F5
  Sound recordings, 1.4F5, 6.4F1
  Transcription, 1.4F5

Copyright statements (containing forms of name), 22.3A

Copyright symbols
  Transcription (bibliographic records), 1.0E

Corporate bodies as authors of series having no titles, 1.6, 25.5B

Corporate bodies as sponsors of meetings
  Added entries, 21.30E
  Meetings as subordinate bodies to sponsors, 24.13, TYPE 6

Corporate bodies named prominently (added entries), 21.30E

Corporate main entries
  see
    Main entry headings (corporate names)

Corporate names
  see also
    Corporate names as qualifiers
    Corporate names entered directly
    Corporate names entered subordinately
      AACR2 "compatible" headings (guidelines), 24.1
      Abbreviations, 24.1, 26.3A6
      Added entries (named on chief sources in conjunction with personal names), 1.1F7
Added entries for electronic or computer music studios, 21.30F
Added entries for Library of Congress foundations and funds, 21.30F
Administrative subordination, 24.13, TYPE 2, 24.18, TYPE 2
Ambiguous entities, 24.1
"And" or ampersands, 24.1, 26.3A6
Armed forces headings, 24.24A
At head of titles (Soviet bloc countries), 24.17
Bodies associated with publication of items (not publishers), 1.4D4
Bodies having changed locations, 24.4C4
Branches, 24.9
British county councils, 24.17
Canadian headings, 24.1
Capitalization of "little words" in English corporate names, A.2A
Catholic dioceses, sees, etc., 24.27C3
Chapters, 24.9
Civil War (U.S.) army units, 24.24A
Closing dates in years of reign or incumbency, 24.20B
Confederate Army units, 24.24A

Conferences, meetings, etc.
  see
    Conferences, meetings, etc.

Conflicts
  see
    Conflicts

  Contained in meeting or conference names, 21.1B1, 24.13, TYPE 6
  Contained in serial titles proper, 12.1B3
  Containing entire names of higher or related bodies, 24.13, TYPE 6
  Containing entire names of higher or related bodies (references), 24.13, TYPE 6
  Containing geographic names, 24.1
  Containing ISBD-type punctuation, 1.1B1
  Containing names of parent bodies, 24.13, 24.18
  Containing personal names (references), 26.3A3
  Dashes, 24.1
  Delegations (forms of heading), 24.26
  "Department" in headings, 2.9
  Different forms (references), 26.3A3
  Directly entered subordinate or related bodies (qualifiers), 24.4C
  District councils in England, Scotland, and Wales, 24.17, 24.18, TYPE 6
  Earlier/later references, 26.3B-C
  Earlier names (667 fields in name authority records), 26.3
  Earlier names (covered by one pre-AACR2 heading), 24.1B, 26
  Earlier names (covered by one pre-AACR2 heading needed as heading), 24.1B, 26, 26.3B-C
  Earlier names (covered by references to later names), 26.3
Earlier names (establish or not), 26.3B-C
Exhibitions, 21.1B1, 21.1B2
Forests, parks, preserves, etc., as agencies 24.1
Full forms vs. initials, etc., 24.2D

General designation qualifiers
see

General designation qualifiers

Geographic qualifiers (names change), 24.4C4
Government agencies containing names of parent bodies, 24.18
Government bodies exercising full powers of those governments, 24.3E
Government bodies needing qualifiers, 24.4C
Government officials acting in official capacities, 24.20B, 24.20E
Great Britain (regional/district councils), 24.17, 24.18, TYPE 6
Heads of state (references), 26.3B-C
Hierarchies, 24.14, 24.19
Hierarchies (unclear), 1.1F4
How to qualify (government headings), 24.4C
How to qualify (non-government headings), 24.4C
Hyphens, 24.1
In several languages, 24.3A
Individual performer’s name appears in conjunction with the name of a performing group, 24.1
Incorporation (terms), 24.5C1
Incorporation (terms—references), 26.1
Information/publication agencies, 26.3
Initials (qualifiers and references), 24.4B2
Initials, etc., 24.1
Initials, etc. (references), 26.3B-C
Initials, etc., vs. full forms, 24.2D
"Institutions," 24.4C
International bodies (languages), 24.3A
Joint committees, etc. (headings and references), 24.15A
Joint committees, etc., as subordinate bodies (headings and references), 24.15B
Legislative subcommittees (state and federal) and their subordinate units (entry and references), 24.21C
Legislatures and their subordinate units (entry and references), 24.21B
Local churches (forms of entry), 24.13, TYPE 6
Local churches (qualifiers), 24.10B
Military units, etc., 24.24A
Military units (numbered), 24.24A
Minor changes of name, 24.2
National level vs. non-national level (subordination), 24.18, TYPE 3
Non-government bodies needing no qualifiers, 24.4C
Nonroman scripts, 24.1B
Official languages of bodies, 24.3A
Omission of hierarchies, 24.14, 24.19
Orthographic reforms, 24.2C
Performing duos, 24.4B
Plus signs, 26.3A6
Proposed bodies (and subsequent establishment), 24.2
Prosecuting attorneys, 24.23
Punctuation, 24.1

Qualifiers
see

Qualifiers (corporate names)
General designation qualifiers

Quotation marks, 24.1
Reasons to change (capitalization), A.2A
Reasons to change (initials vs. full forms), 24.2D
References, 26.3, 26.3A3
References (forms), 26.1
References (from jurisdictions), 26.3A7
References (minor name changes), 24.2
Regional councils (England, Scotland, and Wales), 24.17, 24.18, TYPE 6
Related bodies (establish or not), 26.3B-C
"Royal" privilege terms beginning names (references), 26.3A3
Sailing vessels, 24.4B
Serial titles proper (containing names), 12.1B3
Ships, 24.4B
Spacing, 24.1
Sports teams, 24.4B
State legislative councils, 24.21B
State university statewide systems, 24.13, TYPE 6
Subordinate bodies (containing names or parts of names of higher bodies), 24.13,
24.18
Subordinate bodies (identified by letters or numbers and function words), 24.1
Subordinate bodies (responsible only for preparation), 21.1B2
Surnames, 24.4B
Symbols, trademarks, etc., 24.1
UNESCO national commissions, 24.17, 24.18, TYPE 11
Union Army units, 24.24A
United Nations as a government body, 24.17
University library building names vs. library names, 24.2
Unused subdivisions, 26.3
Variant forms (qualifiers or not), 24.2B
When to change (geographic qualifiers), 24.4C4
Years of reign or incumbency (closing dates in headings), 24.20B

Corporate names as qualifiers
Conferences, meetings, etc., 24.7B
Conflicts (not resolved), 24.4C
Corporate names, 24.4C4
Index

Forms, 24.4C, 24.4C4
Qualifiers to other corporate names (names change), 24.4C4
Qualifiers to uniform titles (names change), 25.5B
Uniform titles, 25.5B

Corporate names as series titles, 25.5B

Corporate names entered directly
see also primarily

Corporate names

______ (government)
- Containing all or part of the names of parent bodies, 24.13, 24.18
- How to qualify, 24.4C
- National vs. non-national agencies, 24.18, TYPE 3
- References, 26.3A7
- When to qualify, 24.4C

______ (non-government)
- Containing all or part of the names of parent bodies, 24.13
- How to qualify, 24.4C
- When to qualify, 24.4C, 24.13, TYPE 3

Corporate names entered subordinately
see also primarily

Corporate names

______ (government)
- Bodies exercising all powers of jurisdictions, 24.3E
- Consisting of alphabetic or numeric designations, 24.1
- Containing all or part of the parent bodies' names, 24.13, 24.13, TYPE 6, 24.18
- Direct or indirect subheadings, 24.19
- Hierarchies, 24.19
- Joint committees, etc., of common bodies (headings and references), 24.15B
- Legislative subcommittees (state and federal) and their subordinate units (entry and references), 24.21C
- Legislatures and their subordinate units (entry and references), 24.21B
- Major executive agencies (national governments only), 24.18, TYPE 5
- References and reference evaluation, 24.18, 24.18, TYPE 2, 26.3A3

______ (non-government)
- College or university units indicating fields of study, etc., 24.13, TYPE 5
- Consisting of alphabetic or numeric designations, 24.1
- Containing all or part of the parent bodies' names, 24.13
- Containing names of higher or related bodies, 24.13, TYPE 6
- Direct or indirect subheadings, 24.19
- Guidelines for forms of name, 24.13, TYPE 1-5
- Hierarchies, 24.19
- Joint committees, etc., of common bodies (headings and references), 24.15B
- References and reference evaluation, 24.13

Corporate names (variant forms)
Conflicts with headings or references, 24.4C
Minor changes of name, 24.2
Reference guidelines, 26.3
Country clubs, 24.1
Court decisions, cases, etc.
   Briefs, pleas, etc., for one party (choice of entry), 21.36C8
   Function designations, 21.36C
   Records for one party (choice of entry), 21.36C8

Coutumes
   see
   Laws (collections)
   Laws (single)

Covers defined as "preliminaries," Appendix D

Credits
   Integrated with titles proper, 7.1B1

Crematories, 24.1

Cross references
   see also
   Earlier/later references
   Linking references
   Reference evaluation

   AACR2-d headings (corporate names), 26.1
   AACR2-d headings (personal names), 26.1
   Agreements (other parties)/uniform titles, 21.35B, 21.35C
   Agreements (general revisions), 21.35E2

Cataloger generated references
   see
   Cataloger-generated references

   Catholic dioceses, sees, etc., 24.27C3
   Civil War (U.S.) army units, 24.24A
   Combined prefixes (personal names), 26.2A3
   Combined references, 26.1
   "Compatible" headings (corporate names), 26.1
   "Compatible" headings (personal names), 26.1
   Conferences, 26.3A3
   Conflicts (corporate names), 24.4C
   Conflicts (personal names), 26.2C
   Conflicts (personal names—pseudonyms), 26.2B3
   Corporate bodies that change locations, 24.4C4
   Corporate names, 26.3, 26.3A3
   Corporate names (minor name changes), 24.2
   Corporate names (orthographic reforms), 24.2C
Index

Corporate names beginning with terms of royal privilege, 26.3A3
Corporate names containing abbreviations, 26.3A6
Corporate names containing ampersands, 26.3A6
Corporate names containing personal names, 26.3A3
Corporate names entered subordinately, 24.13, 24.18
Corporate names in all capital letters, 24.4B, 26.1, 26.3B-C
Corporate names including names of higher or related bodies, 24.13, TYPE 6
Corrections to titles proper (Serials and integrating resources), 26.5A
Dates, 26.1
Different entry elements (personal names), 26.2
Different forms (corporate names), 26.3A3
Different forms (personal names), 26.2
Different titles (music), 26.4B1
Dioceses (Church of England), 24.27C
Earlier/later references made from information references, 26.3B-C
Earlier/later corporate names, 26.3B-C
Earlier/later geographic names, 26.3B-C
Earlier/later named conferences, 26.3B-C
Earlier names of corporate bodies needed as headings, 26.3B-C
Ethiopian names, 22.8

Evaluation for AACR2

see Reference evaluation

Examples (personal names), 26.1
Explanatory references, 22.13, 26.3B-C
Explanatory references (LC practice), 26.2D
Exhibitions, fairs, etc., 26.3A3
Filipino names, 22.5A
Form (general guidelines), 26.1
Form (personal names examples), 26.1
General guidelines, 26.1, 26.2
"General" references no longer made, 26.3B-C
Geographic names, 26.3
Geographic names beginning with articles, 26.3A3
Government agencies at head of title (Soviet bloc countries), 24.17
Government bodies entered subordinately, 24.18, TYPE 2, 26.3A3
Headings including abbreviations, 26.3A6
Heads of state, 26.3B-C
Initial articles, 26.1
Initials and acronyms (corporate headings), 26.3B-C
Initials to full forms (personal names), 26.1
In place of added entries (LC policy), 21.29G, 26.6
Information references (conversion to see also references), 26.3B-C
Information references (LC policy), 26.3B-C
Introductory words to titles proper, 26.5A
Inverted references, 26.3A3
Joint committees, commissions, etc., 24.15A
Joint entities as subordinate bodies, 24.15B
Joint pseudonyms, 26.2D
Jurisdictions enacting legislation/uniform titles, 21.31B1, 21.33A
Languages (corporate and geographic names), 26.3
Languages (personal names), 26.1
Laws (authority records for texts of single laws or subject compilations), 25.15A2
Legislative subcommittees, etc. (state and federal), 24.21C

Linking references

see

Linking references (headings)

LSP normalization, 26, 26.1, 26.2, 26.3
Malaysian geographic names, 23.4C
Manuscript repositories, 25.13
Military installations, 23.1
Misattributed musical works, 21.4C1, 26.4B
Particles or separately written prefixes (personal names), 26.2
Personal name references (identical to established headings), 26.2C
Personal names, 26.2
Personal names (orthographic reforms), 22.3D
Personal names (conflicting pseudonyms), 26.2B3
Personal names consisting of initials, 22.10, 26.2B2, 26.2B4
Personal names consisting of surnames and associated phrases or terms of address, 22.5D, 22.15A
Personal names entered under given names and associated phrases (exceptional cases), 22.8A1
Personal names entered under last element of multiple surnames (lacking forenames), 22.1B
Personal names in corporate names, 26.3A3
Personal names with prefixes/particles, 22.5D
Pseudonyms on non-separately-published items, 22.2B
Pseudonyms that conflict, 26.2B3
Qualifiers change names, 23.4B, 24.4C4
Qualifiers (corporate names), 24.4B, 26.1
Qualifiers (personal names), 26.1

Reference evaluation

see

Reference evaluation

References for collective titles (music), 26.4B4
"Relational" reference structures, 26.3B-C
Royal privilege terms, 26.3A3
Index

See also references

See

See also references

Serials, 26.5B
Series, 26.5A
Somalian names, 22.8
Sports teams, 24.4B
Subordinate units (legislatures), 24.21B
Titles proper (uniform title "Works," etc.), 25.8
Titles of honor, etc. (uses and languages), 26.1
Titles of parts cataloged under the title of the whole work (music), 26.4B3
Treaties, etc. (conferences), 21.35A2
Treaties, etc. (form), 25.4B, 25.4C, 26.4B
Treaties, etc. (other parties)/uniform titles, 21.35A1, 21.35A2, 26.4B
Treaties, etc. (general revisions), 21.35E2
Two works issued together—no reference from title proper, 25.7
Typographic styles, 26.1
Uniform titles (single laws), 25.15A2
Unused subdivisions, 26.3
Variants of the title (music), 26.4B1
When to update, 26.1
Zairian names, 22.8

Cumulations (serials), 12.0

Currencies

see

Prices

Customary laws

see

Laws (collections)

Laws (single)

— D —

Dance halls, 24.1
Dashes (corporate names), 24.1

Dates

Original publication dates given in titles, 1.11C
Titles proper (access instructions), 21.30J

Dates as qualifiers (conference headings)

Authority records vs. bibliographic records (ongoing conferences), 24.7B
Ongoing conferences (conflicts), 24.7B

Dates as qualifiers (corporate names)

Closing dates of reign or incumbency, 24.20B
Exhibitions, fairs, festivals, etc., with dates in names, 24.8B
Expeditions, 24.7
Heads of government acting in official capacities, 24.20B
Meetings with dates in names, 24.7B
U.S. Civil War units, 24.24A

Dates as qualifiers (personal names)

see

Additions to personal names

Dates as qualifiers (uniform titles)

Reprints, 25.5B
Serials and series, 25.5B
"Works" and "Selections," 25.8

Dates of composition, 5.1B1

Dates of issue

Dates (immediately succeeding serials), 12.7B8a
Dates of publication (first issues later than subsequent issues), 1.4F8
Government documents, 2.7B9

Dates of manufacture

Inferred dates of publication, 1.4F2
On items lacking publication dates, 1.4F6
Phrases denoting, 1.4F6

Dates of original publications in titles, 1.11C

Dates of production

Different media, 7.7B7, 8.7B7
Same medium, 7.4F2, 7.7B7

Dates of publication

see also

Copyright dates

Analytical added entries, 21.30M, 25.8
CIP items at the galley stage, 1.4F1
Copyright dates (substitution), 1.4F6, 9.4F4
Copyright dates differ (other than books and printed serials), 1.4F5
Dates later than current year, 1.4F1
Dates of manufacture (substitution), 1.4F6
Given in titles, 1.11C
Gregorian dates vs. non-Gregorian dates, 1.4F1
Inferred dates, 1.4F2, 1.4F6, 2.7B9, 6.4F1; also AACR 1.4F7
Issue dates, 2.7B9
Items lacking edition statements, 1.4F1
Items lacking dates, 1.4F6
Multipart items, 1.4F8
Multiple dates (qualifications), 1.4F8
Multiple dates (U.S. Congressional documents), 1.4F2
Multiple dates on items, 1.4F2
Index

Notes (serials), 12.7B11.2
Original publication dates given in titles, 1.11C
Qualifications, 1.4F8
Release or transmittal dates, 2.7B9
"Selections" (music uniform titles), 25.34
Sound recordings with only © date, 6.4F1
Temporary dates, 1.4F8
Use of other dates (dates of publication lacking), 1.4F6

Dates of release or transmittal, 2.7B9
Degree signs, 1.0E

Delegations to international and intergovernmental bodies
Authority records (serials vs. monographs), 24.26
Forms of heading, 24.26

Deleting initial articles
see
Initial articles

Delimiters
245 fields, 21.30J
Personal names (supplied by catalogers), 22.1B, 22.5D
Separate names from additions, 22.1B, 22.5D

Diacritics
Books published before 1801, 1.0G
Capital letters (French, Portuguese, Spanish), 1.0G
Filipino names, 22.5A
Ligatures, 1.0E
Transcription, 1.0E
Transcription (antiquated forms), 1.0E

Dialects
see
Languages

Dimensions
Change in for integrating resources, 12.5D2
Containers, 1.5D2

Direct or indirect subheadings, 24.14, 24.19

Directly entered corporate bodies
see
Corporate names entered directly

Directly entered personal names
Delimiters, 22.1B
Romanized JACKPHY records, 22.1B

Discographies, 2.7B18
Dispersed distribution, 1.4D4
Dissertation notes
  see
  Thesis notes

Distribution
  see
  Distributors
  Publication, distribution, etc., areas

Distribution symbols
  see also
  Cross references
  Bibliographic records (literary form notes), 2.7B1

Distributors
  see also
  Publication, distribution, etc., areas
  CIP items at the galley stage, 1.4D4
  Dispersed distribution, 1.4D4
  Music publications, 5.4D1
  On jackets, 1.4D4
  Phrases denoting function, 1.4E, 1.4E1
  Remaniders, 1.4D4
  Second-hand dealers, 1.4D4
  Sound recordings, 6.4D1
  Sources of information, 1.4D4
  Stamps or labels, 1.4D4
  U.S. government publications, 1.4D4

District attorneys (forms of heading), 24.23

District of Columbia
  City Council enactments, 21.31B1
  vs. Washington, D.C., 23.2

Documents
  see
  Government documents

Double underscores (special letters), 1.0E
Duos (qualifiers), 24.4B
Duplicates (identification), 1.0
Durations (audiovisual materials)
  Contents notes, 8.7B18
  Frame counts, 8.5B2

Durations (music)
  Format for duration of performance, 5.7B10
Index

Durations (sound recordings)
Additions (parts of works), 6.7B10
"ca.," 6.5B2, 6.7B10
Format, 6.7B10
Locations of statements, 6.7B10
Music sound recordings, 6.5B2
Omissions, 6.5B2, 6.7B10
Rounding-off seconds, 6.7B10
Total durations (sound recordings containing more than one work), 6.5B2
Total durations (optional statements when sound recordings contain more than one work), 6.5B2

— E —

"e" (German), 1.0E
Earlier/later editions, 1.7A4
Earlier/later references
Earlier names covered by pre-AACR2 headings, 26.3B-C
Geographic names (notification of Subj Cat), 26.3B-C
"[no publs. in LC database]," 26.3B-C
Reference structures (guidelines and examples), 26.3B-C

Earlier names ...
see also
Corporate names
Earlier names ...
Cross references
Earlier names ...
Geographic names
Earlier/later names ...

Earlier names (corporate bodies covered by references)
Conflicts, 24.4C
Earlier names (AACR2 forms in 667 fields), 26.3
Earlier names needed as headings, 24.1B, 26.3B-C
Reference evaluation instructions, 26.3

Early printed monographs
Descriptive cataloging of books published before 1801, 2.12-2.18
Uniform titles, 25.14
Ecclesiastical parishes, 24.3G
Ecological stations, 24.1
Edition and history notes
Printed music, 5.7B7
Edition statements
Abbreviations, B.5
Index

Dates of release or transmittal, 2.7B9
Edition statements vs. musical presentation statements, 5.2B1
Edition statements (music) vs. statements of responsibility, 5.2B1
Examples, 2.2B1
Gleaned from texts, 2.2B3
In two or more languages or scripts (serials), 12.2B3
Initial articles, 1.0
Items lacking, 1.2B4
Multipart items (volumes in various editions), 2.2, 2.7B18
Qualifiers to uniform titles, 25.5B
Song, song cycle, or set or collection of songs bearing a statement designating the
voice range, 5.2B2
Updating loose-leafs (notes), 12.7B9.2

Editions
see also
Limited edition notes
Revised editions

Change in edition information (serials). 12.2F1
Earlier/later editions, 1.7A4
Identical series numbering, 1.6G
Multipart items (volumes in various editions), 2.2, 2.7B18
Music publications (definition and discussion), 5.2B1
New editions, 1.0
New editions vs. copies (rare book cataloging), 1.0
New editions vs. reissues, 1.0
New editions vs. republications, 2.7B7
Printed music and sound recordings, 1.0
Order of authors' names, 21.6C1
Previous editions pre-AACR2, 1.7A4
Serials, 12.7B8f)
Statements gleaned from texts, 2.2B3

Editions linked by notes, 1.7A4
Editors
From CIP data sheets, 1.1F1
In statements of responsibility, 1.1F1
Electronic location and access (856) field, 1.11A

Electronic reproductions
Bibliographic descriptions, 1.11A
Electronic location and access (856) field, 1.11A
General material designations, 1.11A
LC policies, 1.11A
Notes, 2.7B7, 1.11A, 12.7B23

Electronic resources
Changes in title proper, 12.7B4.2
Changes in type and extent of resource area notes, 12.7A2
Changes of persons or bodies responsible (serials and integrating resources), 21.3B

Library of Congress Rule Interpretations

Index Page 29
November 2006
Index

Chief source of information (direct access electronic resources), 12.0B1
Common titles and section titles, 12.1B4
Copyright dates, 9.4F4
Description of items lacking a collective title, 9.1G1, 9.1G4
Designation in file characteristics area, 9.3B1
Notes on changes in type and extent of resource area, 12.7A2
Remote access no longer available, 9.7B
Serial vs. integrating resource, 1.0
Serials that don’t retain earlier title/bodies, 12.0B1
Title proper, 1.1B1
Uniform titles, 25.5B
Updating remote access electronic resources, 21.3B

Electronic serials
see
Electronic resources

Ellipses
Title pages, 1.1B1
Titles proper of serials, 12.1B7
Encyclopaedia Judaica, 22.3C

Ensembles (accompanying)
see
Accompanying ensembles

Equals signs
Preceding parallel statements, AACR2 1.1A1
Series numbering, 1.6G
Title pages, 1.1B1
Errata slips (notes), 2.7B18
"ess-zet," 1.0E
Ethiopian personal names, 22.8

Ethnic music sound recordings
see
Pop, folk, ethnic, jazz sound recordings

Evaluation of pre-1981 headings
see
[Type of heading]
Reference evaluation
Updating pre-AACR2 headings

Events, 24.7, 24.8
Excerpts from serials (treatment), 21.28B
Excerpts (musical works)
Analytical added entries (sound recordings), 25.34
Executive agencies (national level), 24.18, TYPE 5

Exhibition catalogs
see  
    Art and exhibition catalogs

Exhibitions, fairs, etc.
    Added entries (institutions), 21.30F  
    As corporate entities, 21.1B1, 21.1B2  

Catalogs
see  
    Art and exhibition catalogs

Dates as qualifiers, 24.8B  
Monographs vs. serial vs. integrating resource, 1.0  
References, 26.3A3

Expeditions
    Ambiguous entities, 24.7  
    Choice of entry, 21.1B2  
    Dates (qualifiers), 24.7

Explanatory references
see also  
    Cataloger-generated references

    Guidelines, instructions, examples, 26.3B-C  
    LC practice, 26.2D  
    Not made to cover added entries, 21.29G  
    Pseudonyms, 22.2B

Extent of items
see also  
    Physical description areas

    Bibliographic units bound together by the institution, 2.5B18, 12.5B2  
    Bibliographic units vs. physical units (serials), 12.5B2  
    Leaves or pages of plates, 2.5B9  
    Multipart items (ceased), 2.5B21  
    Multipart items (complete), 1.5B5  
    Multipart items (incomplete), 2.5B16  
    Multipart items (sound recordings), 6.5B1  
    “Of music,” 5.5B1  
    Parts listed in contents notes (formal and informal), 2.7B18  
    Physical units vs. bibliographic units (serials), 12.5B2  
    Updating loose-leafs, 12.5B1, 12.5B2
Index

— F —

Factories, 24.1
Famous people too recent for reference sources (nonroman scripts), 22.3C
Festivals and celebrations, 24.8
Festschriften
   Added entries for honorees, 21.30F

Figured basses
   see
   Continuos (Uniform titles)

File characteristics area (Electronic resources)
   Designation, 9.3B1

Filing (automated)
   Data in brackets preceded by "i.e." or "[sic]." 21.30J
   Honorary titles or terms of address preceding forenames, 22.1C, 22.15B
Filipino names, 22.5A
Filmographies, 2.7B18

Films
   see
   Motion pictures

Firms
   see
   Business firms

Flourishing dates, 22.17, 22.19
Folk festivals and celebrations, 24.8

Folk music sound recordings
   see
   Pop, folk, ethnic, jazz sound recordings

Foot/feet symbols, 1.0E
"For sale by the Supt. of Docs.," 1.4D4
Foreign films, etc. (notes), 7.7B9
Forests as agencies, 24.1
Form of composition
   Notes and uniform titles, 5.7B1, 6.7B1

Formats
   see also
   Bindings/formats
Formats (alternate)

see

Alternate media (notes)

Formats (various)

Availability (description), 1.5A3
Treatment (description), 1.10

Frame counts

Contents notes, 7.7B18
Physical description areas, 8.5B2

Fullness of names

see primarily

Personal names

Personal names (determination of commonly known forms)
Personal names (different names or forms of name)

Function designations, 21.0D

Academic disputations, 21.27
Court decisions, cases, etc., 21.36C
Exceptions, 21.0D

Funeral homes, mortuaries, 24.1

— G —

Games (Events), 24.7
"General" cross references (discontinuance), 26.3B-C

General designation qualifiers

Corporate names, 24.4B
Corporate names (all capital letters), 24.4B
Forests, parks, preserves, etc., as agencies, 24.1

General material designations

Added entries, 21.29
List, 1.1C
List (graphic materials), 8.5B1
Macreproductions, 1.11A
Microreproductions, Chapter 11
Uniform titles, 25.5D

Geographic names

Australia, 23.2
Ambiguous entities, 23.1
BGN romanizations, 23.2
British Isles, 23.2, 23.4D
Canada, 23.2, 23.4C
Changes, 26.3B-C
China, 23.2
Index

City sections, 23.1, 23.4F2

Conflicts

  see

  Conflicts

Contained in corporate names, 24.1, 24.13, TYPE 6
Earlier/later names (qualifiers), 23.4B
English vs. vernacular forms, 23.2
Foreign names used by more than one jurisdictional level, 23.2
Germany, 23.2
Great Britain, 23.2
Great Britain, Australia, New Zealand, 23.2
Hawaii (conflicts), 23.4J
India (districts), 23.2
Initial articles, 23.2
Initial articles (references), 26.3A3
Islands and island groups, 23.4B
Israel, 23.4E
Jerusalem, 23.4E
Jordan, 23.4E
Korea, 23.2
Local places on islands, 23.4F2
London, 23.2
Military installations, 23.1
"Mount," 23.2
New Zealand, 23.2
Not qualified, 23.4B
Not qualified (conflicts), 24.6
Provided by BGN, 23.2
Provided by NLC, 23.2

Qualifiers

  see

  Qualifiers (geographic names)

  Geographic names as qualifiers

References, 26.3, 26.3A3
References from, 25.3A7
Republies of the former Soviet Union, 23.2
"Saint," 23.2
Smaller places (names change or cease to exist), 23.4B
South African homelands, 23.4E
Soviet Union and constituent republics, 23.2
States, provinces, etc., 23.4C
Succession of jurisdictions (same name), 24.6
Syria, 23.4E
Taiwan, 23.2
Thesis notes, 1.7B13  
Townships, 23.2, 23.4F1  
United States, 23.2  

Updating to AACR2  
see Updating pre-AACR2 headings  

Washington, D.C., vs. District of Columbia in headings, 23.2  
Washington (State), 24.6  

Geographic names as qualifiers  
Catholic dioceses, sees, etc. (references and headings), 24.27C3  
Conferences, meetings, etc., 24.7B  
Conflicts, 24.4C  
Current forms vs. earlier forms, 24.4C4  
Form, 24.4C  
Places in Israel, Jordan, and Syria, 23.4E  
Qualifiers (names change), 23.4B, 24.4C4, 25.5B  
References, 26.1  
Uniform titles (serials/series), 25.5B  

Geographic names (variant forms—reference guidelines), 26.3  
Glottal stops, 1.0E  

GMDS  
see General material designations  

Government bodies entered ...  
see Corporate names entered ... (government)  

Government documents  
Dates of release or transmittal, 2.7B9  
Issue dates, 2.7B9  
Publication dates (inferred), 2.7B9  

Government names  
see Geographic names  

Government officials (official capacities)  
Heads of state (dates of incumbency), 24.20B  
Names of officials on chief sources, 24.20E  

Government printers  
Printers, 1.4D1  
Publishers or distributors, 1.4D1  

Government Printing Office
Index

Publisher, 1.4D4
Greek (language name)
  Headings, 25.5C
  Notes, 1.7B2
Greek letters, 1.0E
Gregorian and non-Gregorian dates
  Dates of publication, 1.4F1
  Personal name headings, 22.17

— H —

Halfway houses, 24.1
Hawaii (local place names), 23.4F1
Heads of state, etc.
  Dates of Reign or incumbency (closing dates), 24.20B
  References, 26.3B-C
Hebrew articles (capitalization), A.34
Herbariums, 24.1
Hierarchies (corporate names), 24.19, 24.14
  Evaluation of headings for AACR2, 24.19
  Recording (publication, distribution, etc., areas), 1.4D2, 1.4D4
  Recording (statements of responsibility), 1.1F4

Holdings
  see
    Extent of items
    Physical description areas
    Temporary data (monographs)

Honorable names (university libraries), 24.2
Hotels, 24.1
Hyphens
  Compound words (capitalization), A.31
  Corporate names, 24.1

— I —

I vs. J, 1.0E, 25.1
Illustrated texts, 21.11B

Illustrations
  Description, 2.5C2
  Identical items, AACR2 1.5B1
  Transcribing from chief sources, 1.1F15
Index

Illustrators
  Headings, 21.0D, 21.30K2

Imperfect copies
  Notes, 1.7B20

Imprints/imprint positions
  see Publication, distribution, etc., areas

Inaccuracies, misspellings, etc., serial, series titles, 1.6B
Inch/_inches symbols, 1.0E
Incorporation (terms)
  Corporate names, 24.5C1
  Serial titles recorded as publishers, 1.4D2

Incunabula
  Descriptive cataloging, 2.12-2.18
  Uniform titles, 25.14

Independent entries of part titles, 25.6A
Independently issued works
  Bound together, 1.7B21
  Republished together, 2.7B7

Indexes
  Notes, 2.7B18
  Separately cataloged, 21.28B
  Serial (treatment), 1.5E1, 21.28B

Indian tribes (U.S.)
  see American Indian tribes

Indic names
  Initials, 22.3C2

Individual works of art
  Uniform titles, 25.3A, 25.4A, 25.5B

Information/publication agencies (guidelines), 26.3

Information references
  see also primarily
  "Relational" reference structures
    Eliminating, 26.3B-C
    Replaced by "relational" reference structures, 26.3B-C

Initial articles
  Cross references, 26.1
  Geographic names, 23.2
  Geographic names (references), 26.3A3
  Omitted, 21.30J
  Personal names, 22.4
Index

Traced series, 21.30L
Transcription, 1.0
Uniform titles, 25.1

Initialisms
see
  Initials, initialisms, and acronyms

Initials
see
  Initials, initialisms, and acronyms

Initials, initialisms, and acronyms
see also
  Letters

  Abbreviations instead (personal names), 22.1B
  Conference names combining acronyms or initialisms and abbreviated date, 1.0C, 21.1B1, 24.7A, 24.7B
  Corporate names (spacing and punctuation), 24.1
  Corporate names consisting of initials (forms of heading), 24.2D
  Corporate names consisting of or containing initials (references), 26.1, 26.3B-C
  Corporate names in all capital letters (qualifiers and references), 24.4B, 26.1
  Cross references containing or consisting of initials (personal names), 26.1, 26.2B2, 26.2B4
  Cross references containing or consisting of initials (corporate names), 26.3B-C
  Full forms in parentheses (no conflict personal names), 22.18A
  Hungarian-language surnames, 22.5C2
  Other title information (Serials and integrating resources), 21.30J
  Personal names consisting of initials, 22.10, 26.1, 26.2B2, 26.2B4
  Personal names containing or comprising initials (form), 22.1B
  Punctuation, 1.0C
  Punctuation (corporate names), 24.1
  Punctuation (personal names), 22.1B
  Spacing conventions, 1.0C
  Spacing conventions (corporate names), 24.1
  Spacing conventions (personal names), 1.0C, 22.1B
  Spanish-language surnames, 22.5C2
  Titles consisting of acronyms or initialisms, 12.1B2, 21.30J
  Titles proper (title access), 21.30J

Insignia
see
  Symbols

Institutions
  Forms of name, 24.4C
  Thesis notes, 1.7B13
Institutions vs. local places (qualifiers for conferences, meetings, etc.), 24.7B

Instrumental music

"Instrumental ensemble" as statements of medium in microform titles, 25.30B5
Uniform titles (more than one performer to a part) [rule correction], 25.30B6

Integrated titles

Uniform titles beginning with statements of responsibility, 25.3B

Integrating resources

Bibliographic history note, 12.7B8
Changes in dimensions, 12.5D2
Changes in edition information (updating loose-leafs), 12.7B9.2
Changes in other title information, 12/7B6.2
Changes in parallel titles, 12.7B5.2
Changes in title other than title proper, 12.7B4.1
Changes in title proper and ISBNS, 12.7B4.2
Changes in type and extent of resource area notes, 12.7A2
Changes of persons or bodies responsible, 21.3B
Dates of publication (updates expected), 1.4F8
Extent of item (updating loose-leafs), 12.5B1, 12.5B2
Frequency, 12.7B1
Identification of iterations in notes, 12.7A2
In one or more series, 21.30L
Language of notes, 12.7A2
Loose-leaf services, 12.0
Monograph vs. serial vs. integrating resource, 1.0
Notes on changes in type and extent of resource area, 12.7A2
Title conflict resolution, 25.5B
Updating loose-leafs, 21.3B

Intellectual levels

Notes, 2.7B14

International phonetic alphabet, 1.0E

International standard book numbers

see ISBNs

International standard numbering system, 1.8

Internet

see Integrating resources

Inverted exclamation marks, 1.0E
Inverted question marks, 1.0E
Inverted references

Conferences, fairs, etc., 26.3A3
Government bodies, 26.3A3
Index

IPA
  see
    International phonetic alphabet

ISBD marks of punctuation appearing on title pages, 1.1B1

ISBNs, 1.8
  see also
    Standard numbers
      Explanation of ISBNs, 1.8
      Invalid ISBNs, 1.8
      Multiple ISBNs given in items (order of transcription), 1.8
      Multiple ISBNs given in items (qualifiers), 1.8
      Multiple ISBNs, 1.8B2
      Nine-digit numbers, 1.8
      Order, 1.8
      Punctuation and paragraphing, 1.8
      Qualifiers, 1.8, 2.8D2, 1.8B2
      Serials, 12.8B1
      Ten-digit numbers, 1.8
      Transcription, 1.8

Islands and island groups
  Headings, 23.4B
  Places in Hawaii, 23.4F1
  Places on islands, 23.4F2

ISSNs
  see also
    Standard numbers
      And changes in title proper (integrating resources), 12.7B4.2
      Main series only, 1.6H7
      Series statements, 1.6F
      Traced series, 21.30L

Issuance
  Change of issuance, 1.0
  Monograph vs. serial vs. integrating resource, 1.0

Issued in parts
  see
    Notes

— J —

J vs. I, 1.0E, 25.1
Index

Jackets
  Distributors given only on jackets, 1.4D4
  Series statements appearing only on jackets, 1.6A2

Jazz ensembles (added entries for individual members), 21.29D

Jazz sound recordings
  see
  Pop, folk, ethnic, jazz sound recordings

Joint committees, commissions, etc.
  As subordinate bodies (headings and references), 24.15B
  Reference structures, 24.15A

Joint pseudonyms (references), 26.2D

Jurisdictional headings
  see
  Geographic names

Jurisdictional types (as qualifiers), 24.6
  Jurisdictions, Ancient, 23.1

Juvenile literature
  see
  Children's literature

— K —

Keyboard instruments (uniform titles for 18th century music—harpsichord vs. piano vs. keyboard instrument), 25.30B4

Keys
  English used, 25.30D
  Parallel statements, 5.1B1

Kits, LC practice, 1.10

— L —

Labels
  see
  Stamps or labels

LAC
  see
  Library and Archives Canada
Index

Language notes
Form, 1.7B2
Language notes vs. languages in uniform titles, 1.7B2
Languages requiring notes, 1.7B2
Summaries, 2.7B18
Uses, 1.7B2
Vocalized title pages, 1.7B2

Languages
see also
Languages (official)
Multilingual works
Translations

Corporate names, 24.3A
Cross references (personal names), 26.1
Cross references (corporate names), 26.3
Forms of name, 1.7B2

Greek
see
Greek (language name)

Notes (language of items unclear from title transcriptions), 1.7B2
Personal names, 22.3B1
Requiring literary form notes, 2.7B1
Uniform title headings, 25.5C

Languages (official)
Chief sources of information, 1.0A3
Corporate bodies, 24.3A

Latvian capitalization, A.53

Laws (collections)
Added entries, 25.15A1
Changes in titles, 25.15A1
Codes of U.S. states, 25.15A1
Collections other than U.S. states, 25.15A1
Coutumes, 21.31B, 21.31C
Coutumes (homologated as statutes), 21.31C
Customary laws, 21.31B, 21.31C
Customary laws (as statutes), 21.31B
Customary laws (homologated as statutes), 21.31C
Customary laws (notes for dates decreed), 21.31B
Session laws (U.S. states), 21.2C, 25.15A1
Subject compilations lacking citation titles and collective titles, 25.15A1
Titles (capitalization), A.20
Uniform titles, 25.15A1
Uniform titles (parts of collections), 25.15A1

Laws (single)
Index

_Coutumes_ (notes for dates decreed), 21.31B
_Coutumes_ (uniform titles), 21.31B
Customary laws (notes for dates decreed), 21.31B
Customary laws (uniform titles), 21.31B
Jurisdictions that changed, 21.31B1
Official short titles or citation titles containing names of jurisdictions (headings and references), 25.15A2
Uniform titles, 25.15A2
Updating uniform titles to AACR2, 26

Lecture series, 1.6
Legislative bodies (successively numbered), 24.21D

Lengths
see
   Durations

Letters
see also
   Initials, initialisms, and acronyms

   Antiquated forms, 1.0E
   Corporate names, 24.1
   Designating subordinate bodies, 24.1
   Not associated with series titles (monographs and serials), 1.6
   Series numbering, 1.6G
   Series titles, 1.6B
   Titles proper (access), 21.30J

Library and Archives Canada
   Corporate names, 24.1
   Geographic names, 23.2

Library's holdings notes (monographs), 1.7B20
Librettos, 21.28A
Ligatures, 1.0E
Limited edition notes, 2.7B7
Line below letters, 1.0E
Linking notes
   Editions, 1.7A4
   Serials, 12.7B8

Linking references (headings)
   AACR2 changes only, 26
   As valid cross references, 26
   Distribution, 26
   Function, 26
   NACO uses, 26
   Name authority records, 26
   Uniform titles, 26, 25.1
   When inappropriate, 26
   When to trace, 26
Index

Linking references (series/serials)
In series authority record, 26.5A
Series uniform titles that have changed, 25.5B
Successive entries, 25.5B
Uniform title changes, 25.5B

Literary forms of items (notes), 2.7B1

Lithuanian capitalization, A.54

Liturgical titles
Choice of entry for liturgical works, 21.1B2
Generic terms (music uniform titles), 25.29A
Languages (uniform titles), 25.19
Omission of media of performance (music uniform titles), 25.29A
Plural forms (music uniform titles), 25.29A

Liturgical works, 21.39

Local churches
Local churches vs. parishes, 24.3G
Qualifiers, 24.10B

Local notes, 1.7B20
Local places vs. institutions (qualifiers for conferences, meetings, etc.), 24.7B

Logos
see
Symbols

Loose-leaf items
see
Integrating resources

— M —

Macroforms
see

Macroreproductions

Macroreproductions
As editions, 2.7B7
Bibliographic descriptions, 1.11A
"Demand" publications, 2.7B7
General material designations, 1.11A
LC policies, 1.11A
Notes, 2.7B7, 1.11A

Main entry headings
see also
Title main entries...
Index

_____ (corporate names), 21.1B2
  Art works, 21.1B2
  Cartographic materials, 21.1B2
  Changes (multipart items), 21.3A2
  Changes (serials and integrating resources), 21.3B
  Consultants' reports, 21.1B2
  Determination, 21.1B2
  Performing groups, 21.1B2
  Subordinate bodies vs. parent bodies, 21.1B2

_____ (corporate vs. personal vs. title entries), 21.1B2

_____ (personal names)
  Authors of texts (catalogs of works by single artists), 21.17B
  Catalogs of works (single artists), 21.17B
  Catalog of works (multiple artists), 21.1B2a
  Changes (multipart items), 21.3A2
  Changes (serials and integrating resources), 21.3B
  Consultants' reports, 21.1B2
  Definition of author, AACR2 21.1A1
  Function designations, 21.0D
  Librettos, 21.28A
  Principal performers (sound recordings), 21.23C
  Serials, 21.1A2

_____ (personal vs. corporate), 21.1B2

Main series vs. subseries, 1.6H
  ISSN for main series only, 1.6H7
  Not appearing together on items, 1.6H

Manufacturers' names and locations omitted, 10.4G2

Manuscripts
  Added entries (names or repositories), 21.30H
  Forms of heading, 25.13
  Physical aspects, 25.13

Maps
  see
    Cartographic materials

MARC character set
  Characters not included, 1.0E

MARC tagging practice
  Changes in other title information (integrating resources), 12.7B6.2
  Changes in parallel titles (integrating resources), 12.7B5.2
  Changes in title other than title proper (integrating resources), 12.7B4.1

Markets, 24.1
Marks of contraction, 1.0E
Married women (parentheses around forenames), 22.2A
Matrix numbers, 6.7B19
Medium of performance
Index

Notes and uniform titles, 5.7B1, 6.7B1
Parallel statements, 5.1B1

Meetings
see
Conferences, meetings, etc.

Memorandum series authority records
see also
Monographic series
Multipart items

Lecture series, 1.6

Microfiches
see
Microreproductions

Microforms
see
Microreproductions

Microreproductions
Bibliographic descriptions, Chapter 11
General material designations, Chapter 11
Items lacking a collective title, 1.1G2
LC policies, Chapter 11
Notes, Chapter 11
Series uniform titles, 25.5B

Military headings, 24.24A
Civil War (U.S.) army units, 24.24A
Expeditions, 24.7
Military installations as local place names, 23.1
Numbered units, 24.24A

Minute/minutes symbols, 1.0E

Misspellings, etc.
see
Inaccuracies, misspellings, etc.

"Mixed editions," 2.2, 2.7B18
Monographs vs. serial vs. integrating resource, 1.0

Monographic series
see also
Series

Analysis (music), 13.3
Index

Different physical media, 1.6
Issued in several editions, 1.6
Lacking analyzable titles (analyzed, classified separately), 13.3
Lecture series, 1.6
References on authority records, 26.5A

Supplements
see
Supplements to series/serials

Morgues, 24.1
Motels, 24.1
Motion pictures
Motion picture music (uniform titles for single composer collections), 25.34C2
Uniform titles, 25.5B Appendix I
Motion pictures, television programs, and Videorecordings
Archival Moving Image Materials, 1.6, 7.1B1
"Mount" in geographic names, 23.2
Moving image materials, archival, 1.6

Multilingual works
see also
Translations
Translations, 25.5C
Uniform titles, 25.5C

Multipart items
Added entries, 21.30L
Analysis (music), 13.3
"Analyzable" titles (definition), 25.6A
Changes of persons or bodies responsible, 21.3A2
Changes in titles proper, 21.2A1
Collective uniform titles, 25.5B
Contents notes, 2.7B18
Dates of publication (complete), 1.4F8
Dependent and independent titles, 13.3
Dependent titles (definition), 25.6A

ISBNS
see
ISBNS
Item described note, 1.7B23
Lacking analyzable titles (analyzed, classified separately), 13.3
Mixture of dependent and independent titles, 13.3
Paginations for individual volumes, 2.5B20
Physical descriptions (complete), 1.5B5
Physical descriptions (incomplete), 1.5B16
Index

Physical descriptions (sound recordings), 6.5B1

Prices
  see
  Prices

Publishers' numbers (music publications), 5.7B19
Series numbering on incomplete items, 21.30L
Unanalyzable, new, unnumbered, 13.3
Volume numbering, 21.30L
Volume numbering in notes, 2.7B18
Volumes in different editions, 2.2, 2.7B18
Volumes in different, or lacking, series, 1.6B, 1.6J
Volumes lacking titles (formal contents notes), 2.7B18

Multiple parallel data (music), 5.1B1

Music

Duration of performance
  See
  Durations (music)

Music (multiple parallel data), 5.1B1

Music composers
  see
  Composers

Music serial numbers
  see
  Serial numbers (music)

Music sound recordings
  see
  Sound recordings

Music uniform titles
  see
  Uniform titles (music)

Musical excerpts
  see
  Excerpts (musical works)

Musical instruments, 25.30B4, 25.30B5
Musical presentation statements, 5.3
  As statements of responsibility, 5.2B1, 5.3
  In two or more languages or scripts, 5.3
  Inseparable parts of other areas, 5.3
Musical presentation statements vs. edition statements, 5.2B1
Transcription, 5.3

**Musical works, 21.18B**
- Cross references for different titles or variants of the title, 26.4B1
- References for collective titles, 26.4B4
- Reference for misattributed, 21.4C1, 26.4B

Musical works (excerpts)

*see*

**Excerpts (musical works)**

**Musicians**

Resolving conflicts, 22.19

— N —

**NACO**

Linking references on name authority records, 26

Name Authority Cooperative Project

*see*

**NACO**

**Name authority records**

008/29, 26

- Cataloger-generated references
  
*see*

**Cataloger-generated references**

- Linking references
  
*see*

**Linking references (headings)**

- Ongoing conferences, 24.7B
- Reasons for updating (forms of Arabic numerals), C.1
- Related bodies not in LC ([no publs. in LC database]), 26.3B-C

**Name/title references**, 26.4

**Named vs. unnamed meetings**, 21.1B1

**Names**

*see also*

- **Personal names**
- **Corporate names**
- **Geographic names**
Index

National bibliographies
   Series statements appearing only in national bibliographies, 1.6A2

National Coordinated Cataloging Operations
   see
      NACO

National Library of Canada
   see
      Library and Archives Canada

National Library of Medicine
   Personal name headings (usage different from LC), 22.3A
   Nature of resource, 1.0
   Nature, scope, or artistic form notes, 2.7B1

New editions
   see
      Editions

Nicknames
   On title pages with real names, 22.2A
   Use (simultaneously with real names), 22.2A

Night clubs, 24.1

NLC
   see
      Library and Archives Canada

"No more published," 2.5B21
"[No publs. in LC database]," 26.3B-C

Nobility (titles)
   see
      Titles of address, etc.

Non-access areas
   Forms of Arabic numerals, C.1
   Initials, initialisms, and acronyms in, 1.0C
   Spacing after periods and abbreviations, 1.0C

Non-classical music collections (omission of analytical added entries), 25.34
"Non-collection," 25.1
Non-government bodies (unqualified), 24.4C

Non-Gregorian dates
   see
      Gregorian and non-Gregorian dates
Index

Non-MARC bibliographic records

see also

Nonroman languages/scripts bibliographic records

Title access, 21.30J

Nonroman languages/scripts bibliographic records

see also

Non-MARC bibliographic records

Bibliography notes, 2.7B18
Contents notes, 2.7B18
Corporate names (romanization), 24.1B
"i.e." and "et al.," B.4
Language and script notes, 1.7B2
Nonroman elements on otherwise fully romanized records (notes), 1.7A3
Notes, 1.7A3
Series added entries, 21.30L
Series parallel titles, 1.6C

Norwegian (Language name)

Headings, 25.5C
Notes, 1.7B2

Notes

see also

[Specific type of note]

Accompanying materials, 1.5E1, 6.7B11
Added entries (justification), 2.7B18
Added title pages, 2.7B4
Alternate media, 6.7B16
Appendices, 2.7B18
"At head of title" notes, 12.7B7.1
Belles lettres items, 2.7B1
Bibliographic history (integrating resources), 12.7B8
Bibliography notes, 2.7B18
Binders' titles, 2.7B4
"Bound with" notes, 1.7B21
Changes in other title information (integrating resources), 12.7B6.2
Changes in parallel titles (integrating resources), 12.7B5.2
Changes in places of publication (serials), 12.7B11.2
Changes in title other than title proper (integrating resources), 12.7B4.1
Changes in title proper (integrating resources) and ISSN, 12.7B4.2
Citing other editions and works, 1.7A4
Citing personal names, 1.7A4, 1.7B6
Citing serials, 1.7A4
Citing titles, 1.7A4
Index

Containers (sound recordings), 6.7B10
"Continued by ...," 12.7B8a
Copies being described, 1.7B20
Copies in LC's holdings, 1.7B20
Copy-specific notes, 1.7B20
Credits, 1.7A3
Dates of first issues later than publication dates of subsequent issues (serials), 1.4F8
Dates of production, 7.4F2, 7.7B7, 8.4F2, 8.7B7
Dates of release or transmittal, 2.7B9
Designations (serials) in notes, 12.7A2
Discographies, 2.7B18
Dissertations (terms for degrees), 1.7A3, 1.7B13
Duration of performance (music), 5.7B10
Durations (contents), 8.7B18
Earlier/later editions, 1.7A4
Edition and history (music), 5.7B7
Edition information gleaned from texts, 2.2B3
Electronic reproductions, 1.11A
Electronic serials that don’t retain earlier titles, 12.7B4.2
Errata slips, 2.7B18
Filmographies, 2.7B18
For certain languages and scripts, 1.7B2
Foreign films, etc., 7.7B9
Form of composition and uniform titles, 5.7B1, 6.7B1
Formal and informal contents notes, 2.7B18
Formal terms (contents, etc.—capitalization), 1.7A3
Formats, 1.7A1, 2.7B18
Frequency notes, 12.7B1
Hebrew texts (English titles on verso of title pages), 2.7B4
Identification of iterations (integrating resources) in notes, 12.7A2

Imperfect copies

see

Imperfect copies

Indexes, 2.7B18
Initial articles, 1.0
Intended audience notes/intellectual levels, 2.7B14
Item described (Multipart items), 1.7B23
Item described (serials and integrating resources), 12.7B23
Items reproduced, 1.7A4
Iterations (integrating resources) in notes, 12.7A2
Language notes, 1.7B2
Language of notes (continuing resources), 12.7A2
"Large print ed." in edition statements, 1.2B3
Lecture series, 1.6
Limited editions, 2.7B7
Linking different editions, 1.7A4
Index

Linking notes (serials), 12.7B8
Literary form notes (languages requiring), 2.7B1
Local notes, 1.7B20
Loose-leaf services, 12.0
Macroreproductions, 1.11A
Medium of performance and uniform titles, 5.7B1, 6.7B1
Microreproductions, Chapter 11
Multipart items (volumes in different editions), 2.2
Named and unnamed meetings (unmade notes), 26.3B-C
Nature, scope, or artistic form notes, 2.7B1
New editions vs. republications, 2.7B7
Nonroman data, 1.7A3
Numbered copies, 2.7B7
Omissions, 2.7B18
On nonroman scripts/languages bibliographic records, 2.7B18
"Originally published ...", 2.7B7
Other title information, 1.1G3, 2.7B18
Paginations, 2.7B18
Phrases on title pages referring to appendices, subsidiary texts, etc., 1.1E
Physical description (sound recordings), 6.7B10
"Previously published" vs. "Originally published," 2.7B7
Privately printed items, 1.4D1
Publication, distribution, etc., areas (serials), 12.7B11.2
Punctuation, 1.7A1
Punctuation (formal contents notes), 2.7B18

Quoted notes
see

Quoted notes

Recording multiple serial numbering systems, 12.3E1
Referring to other works or manifestations of the same work, 1.7A4

Republication notes
see

Republication notes

Republications vs. new editions, 2.7B7
Revised editions, 1.7A4
Script notes, 1.7B2
Series numbering from cataloging data, 1.6G
Series statements (only in bibliographies), 1.6A2
Series statements (only in publishers' listings), 1.6A2
Series statements (only on jackets), 1.6A2
Series statement (only on labels or stamps), 1.6A2
Several republications in one volume, 2.7B7
Sign languages, 1.7B2
Single republication in one volume, 2.7B7
Index

Spelling, 1.7A3
Stamps or labels, 1.4A2
Statements of responsibility, 2.7B18
Summaries, 1.7A3, 1.7B2
Summaries in other languages, 2.7B18
Supplements (series or serials), 1.5E1, 21.30G
Tables of contents languages, 1.7B2
Theses (terms for degrees), 1.7A3, 1.7B13
Translations, 1.7B2
Used to record imperfections or special features (monographs), 1.7B20
Variant titles (definition and sources), 2.7B4
Vocalized title pages, 1.7B2
When to provide (formal and informal contents notes), 2.7B18
"With" notes, 1.7B21

Numbered copies being cataloged (notes), 2.7B7

Numbering in serial titles, 12.1B7

Numbers

see also

Arabic numerals
Opus numbers
Ordinal numbers in series statements
Serial numbers (music)
Serials
Series numbering

Arabic numerals vs. romanization in romanized Chinese, Japanese, and Korean headings, C.5C
Dates in titles proper (Japanese), C.5C
Not associated with series titles (monographs and serials), 1.6
Ordinal numbers, C.8
Serial/series titles, 12.1B7
Titles proper (access), 21.30J
Transcription, C.1
Used to designate subordinate bodies, 24.1

Numbers of volumes

see

Extent of items
Physical description areas

Nursing homes, 24.1

— O —
Official languages

see

Languages (official)

Old age homes, 24.1

Old catalog headings (references)

see

Linking references (headings)

Omission (marks)

see

Ellipses

Ongoing conferences

see

Conferences, meetings, etc.

Open entries

see

Closing open entries

Multipart items

Series added entries

Opera companies, houses, etc. (added entries), 21.29D, 21.30E

Opera houses, 24.1

Optional or alternative provisions (decisions), 1.1C, 1.1E5, 1.1F11, 1.2B5, 1.2C4, 1.2C5, 1.2E3, 1.4D2, 1.4D5, 1.4E, 1.4F5, 1.4F7, 1.4F8, 1.4G4, 1.5A3, 1.5B4, 1.5D2, 1.5E1, 1.6G3, 1.8E1, 1.10D1, 2.1C, 2.2B3, 2.2B4, 2.4D1, 2.4E, 2.4G2, 2.5B23, 2.5C2, 2.5C5, 2.7B4, 2.8C, 3.1C, 3.1G4, 3.2B3, 3.2B4, 3.3B1, 3.3B2, 3.3B4, 3.3C2, 3.3D, 3.4D1, 3.4E, 3.4G2, 3.5B2, 3.5B4, 3.5C6, 3.5D1, 3.5D3, 3.5D5, 3.7B4, 3.8, 4.1C, 4.1F2, 4.2B3, 4.5B2, 4.5B3, 4.7B4, 5.1C, 5.2B3, 5.2B4, 5.3, 5.4D1, 5.4E, 5.4G2, 5.5B1, 5.5B3, 5.7B4, 6.1C, 6.1G4, 6.2B3, 6.2B4, 6.4D1, 6.4E, 6.4G2, 6.5B1, 6.5C8, 6.7B4, 7.1B2, 7.1C, 7.1G4, 7.2B3, 7.2B4, 7.4D1, 7.4E, 7.4F2, 7.4G2, 7.5B1, 7.5B2, 7.7B4, 8.1C, 8.2B3, 8.2B4, 8.4D1, 8.4E, 8.4G2, 8.5B6, 8.5C1g), 8.7B4, 9.1C, 9.1G1, 9.1G4, 9.2B3, 9.2B5, 9.4D1, 9.4E, 9.4F4, 9.4G2, 9.5B1, 9.5B3, 9.5C2, 9.5D1, 9.7B4, 10.1C, 10.2B3, 10.2B4, 10.4D1, 10.4E, 10.4G3, 10.5B1, 10.7B4, 11.1C, 11.2B4, 11.1G1, 11.1G4, 11.2B3, 11.4D1, 11.4E, 11.5B1, 11.7B4, 12.1C, 12.2B3, 12.4D1, 12.4E, 12.4G3, 12.7B4, 12.7B8a), 12.7B8c), 12.7B8d), 21.18B, 21.27, 21.28A, 21.29G, 21.30L, 21.36C1-9, 22.3C, 22.18A, 24.1B, 24.3A, 24.7B2, 25.2A, 25.5D, 26.1A, 26.2D2, 26.6

Options

see

Optional or alternative provisions (decisions)

Opus numbers

Parallel title statements, 5.1B1

Uniform titles (abbreviations, numerical transcription), 25.31A1
Index

Orchestras of opera companies
   see
   Opera companies, houses, etc. (added entries)

Order
   Added entries, 21.29
   Information on chief sources (publication, distribution, etc., areas), 1.4D1
   Multiple ISBNs, 1.8

Ordinal numbers, C.8
   In series statements, 1.6G

Original editions of republications
   Dates and/or places of publication appearing with titles proper, 1.11C
   Dates of publication (notes), 2.7B7
   Notes, 2.7B7
   Sources of information, 2.7B7

Orphanages, 24.1

Orthographic reforms
   Corporate names, 24.2C
   Personal names, 22.3D
   Series statements, 25.3A
   Titles proper, 25.3A
   Transcription, 25.3A
   Uniform titles (monographs), 25.3A

Other title information
   see also
   Other title information (music)

   Changes in other title information (integrating resources), 12.7B6.2
   Formal contents notes, 2.7B18
   Linking notes (serials), 12.7B8
   Main title-part title, 1.1E5
   More than one language, AACR2 1.1E5
   Only one language, 1.1E5
   Phrases on title pages referring to appendices, subsidiary texts, etc., 1.1E
   Single statements applying to several titles proper, 1.1G3

Other title information (music)
   Musical presentation statements, 5.2B1
   Distinctive titles, 5.1B1
   Generic titles with partial repetition in multiple languages, 5.1B1
   Repetitions (partial) in multiple languages, 5.1B1

— P —
Paginations

see also

Extent of items

Physical description areas

Unpaged items

Complicated or irregular paginations, 2.5B8
Formal contents notes, 2.7B18
Informal contents notes, 2.7B19
Multipart items, 2.5B20
“Of music,” 5.5B1
Parts (printed music), 5.5B2

Parades, 24.7

Parallel titles

Changes (integrating resources), 12.7B5.2
Generic titles proper partially repeated in multiple languages, 5.1B1
Other title information (one language), 1.1E5
Parallel other title information (single titles proper), AACR2 1.1E5
Recording, 1.1D2
Series, 1.6C
Series/subseries, 1.6H6

Parentheses

see also

Qualifiers

[Type of items] as qualifiers

Additions of full forms of initials (personal names), 22.18A
Married women using married names and enclosing forenames in parentheses, 22.2A
Scientific names of plants and animals (capitalization and punctuation), A.25
Used in personal names, 22.2A

Parishes (ecclesiastic), 24.3G
Park districts, 23.1
Parks as agencies, 24.1

Partial contents

see

Contents notes (formal)

Particles

see

Prefixes/particles
Personal names

Parts (music)

see also

Excerpts (musical works)
Index

Paginations, 5.5B2

Parts of a work
Designations of parts in titles proper (title access), 21.30J
Radio and television series, 25.6B3
Selecting the title, 25.32A1
Uniform titles, 25.6A, 25.6A2
Uniform titles (references), 26.4D2

Performers
see also
Conductors (separate entities ... )
Principal performers
Accompanists as separate entities from corporate bodies accompanied (main and added entries), 21.23C, 21.29D
Accompanying ensembles (unnamed—added entries for individuals), 21.29D
Added entries, 21.29D
Added entries (many performing the same functions), 21.29D
Added entries (omission when belonging to the performing groups given added entries), 21.29D
Added entries (omission when given main entries as principal performers), 21.29D
Added entries (omission when participating in small number of works), 21.29D
Added entries (omission when participation is minor), 21.29D
As members of performing groups given main or added entries, 21.23C
Composers performing own works (added entries), 21.29D
Conductors as separate entities from corporate bodies conducted (main and added entries), 21.23C, 21.29D
Individual performer’s name appears in conjunction with the name of a performing group, 24.1
Individuals in unnamed accompanying ensembles (added entries), 21.29D
Jazz ensembles (added entries for individual members), 21.29D
Opera companies, houses, etc., 21.29D
Performers not considered contemporary authors, 22.2
Prominence (in relation to other performers and to works performed), 21.23C
Prominence (determining added entries), 21.29D
Sound recordings (definition), 21.23C
Statements of responsibility (popular music), 5.1F1

Statements of responsibility (participation goes beyond performance, execution, interpretation, 6.1F1

Performing groups as authors, 21.1B2

Periods
In initials, initialisms, and acronyms, 1.0C
Spacing conventions, 1.0C
Periodicals
  see
  Serials
  Occasionally analyzable serials

Personal authorship
  see
  Main entry headings (personal names)
  [Type of item]

Personal names
  see also
  Main entry headings (personal names)
  Personal names (determination of commonly known forms)
  Personal names (different names or forms of name)
  Revisions to established headings (personal names)

  AACR2 "compatible" guidelines, 22.1
  AACR2 forms of name when headings already in use in the catalog, 22.3A
  Abbreviations (forenames or surnames), 22.1B
  Abbreviations (spacing and punctuation), 22.1B
  Abbreviations (surnames by Spanish- and Hungarian-language authors), 22.5C2
  Added entries for persons mentioned in the title proper or other title information of
  musical works, 21.30F

Additions
  see
  Additions to personal names

  Additions in parentheses of full forms of initials, 22.18A
  "Author's" preference, 22.3A
  Arabic names, 22.4, 22.22
  Arabic names (English-language reference sources), 22.3C, 22.22
  Bishops, etc. (saints), 22.16C
  "c" subfields (delimiting), 22.5D
  Colophons (forms of name found in items published in the USSR), 22.3A
  Composers as authors, 22.1B

Conflicts
  see
  Conflicts

  Consisting of all initials (punctuation and references), 1.0C, 22.10, 26.1, 26.2B2,
  26.2B4
  Copyright statements, 22.3A
  Corporate names (references), 26.3A3
Index

Cross references

see

Cross references

Cyrillic surnames, 22.3C

Dates in headings

see

Additions to personal names

Delimiters, 22.1B, 22.5D
Derived from nonroman scripts (writers in roman-alphabet languages, 22.3C

Determination of commonly known forms

see

Personal names (determination of commonly known forms)

Different forms in one work, 22.3A
Directly entered names (delimiting), 22.1B
Double surnames (entries under second elements, forenames lacking, 22.5C4

Double surnames (entries under second element, forenames lacking— punctuation and references), 22.1B
Dutch names (entry elements of authors writing in English), 22.5D1
English-language forms of name written in nonroman scripts, 22.3C
Entered under elements following prefixes (spacing and punctuation), 22.1B
Entry elements (Spanish and Hungarian names), 22.5C2
Entry elements (references from different elements), 26.2
Ethiopian names (entry and references), 22.8
Famous people too recent for reference sources (nonroman scripts), 22.3C
Filipino names (form, references, and diacritics), 22.5A
"fl." (resolving conflicts), 22.17, 22.19
Forenames abbreviated (non-initials), 22.1B
Forenames and phrases (punctuation and references for exceptional cases), 22.8A1
Forenames and titles of nobility, 22.6
Forenames and initials (no surnames), 22.10
Full forms of initials added in parentheses, 22.18A
Fullness of forenames, 22.3A, 22.18A
Function designations, 21.0D, 21.27, 21.36C
Government officials acting in official capacities, 24.20E
Heads of state (references), 26.3B-C
Hebrew surnames, 22.3C, 22.4
Hungarian-language authors (abbreviations of surnames), 22.5C2
Hungarian married women with compound surnames, 22.5C5
Indic names (romanized) with initials, 22.3C2
Individual performer’s name appears in conjunction with the name of a performing group, 24.1
Indonesian names (entry elements), 22.26C1c
Indonesian names (references), 22.26C1c
Initial articles, 22.4
Initials (full forms added in parentheses), 22.5C2, 22.18A
Initials and abbreviations (transcription), 1.0C
Initials (spacing and punctuation), 22.1B
Initials (Spanish and Hungarian surnames), 22.5C2
Japanese priests, 22.16D
Joint pseudonyms (references), 26.2D
Lacking forenames, 22.15A
Lacking forenames (double surnames, entry under second element), 22.5C4
Lacking forenames (double surnames, entry under second element—punctuation and references), 22.1B
Latest forms, 22.3A
Married women (Hungarian) with compound surnames, 22.5C5
Married women (maiden names enclosed within parentheses), 22.2A
Married women (terms of address in names), 22.15B
Married women using husbands' forenames (full form of initials known), 22.18A
More than one language (doubtful cases), 22.3C
Multiple given names (additions for entries not under first elements), 22.8A2
Multiple headings, 22.2B
Multiple real names (used in different works), 22.2
Musicians (resolving conflicts), 22.19
Names unknown, 22.11D
Nicknames and real names (used simultaneously), 22.2A
Nicknames (parentheses, etc., with real names), 22.2A
Nonroman script names (English-language form likely), 22.3C
Nonwriters, 22.1B
North Indian names, 22.25B1
Orthographic reforms (names of persons), 22.3D
Parentheses, 22.2A
Persons known only by phrases or appellations, 22.1B
Persons of religious vocations entered under given names (titles), 22.16D
Phrases associated (non-English reference sources), 22.8A1
Phrases used as personal name headings (name unknown), 22.11D, 22.19
Portuguese names (forenames lacking), 22.1B, 22.5C4
Prefixes and particles (reference structures), 26.2
Prefixes and particles (spacing and punctuation), 22.1B
Prefixes and particles (placement of delimiters), 22.5D
Prosecuting attorneys, 24.23
Pseudonyms, 22.2, 22.2B

References
  see

Cross references

Roman-alphabet language authors names derived from nonroman scripts), 22.3C
Saints (uniqueness of names in reference sources), 22.13B
Saints who are also bishops, etc., 22.16C
### Index

Simultaneous use of different names (updating pre-1981 headings to **AACR2**), 26
Simultaneous use of several names, 22.2B
Somalian names (entry and references), 22.8
Spirits of real persons, 22.14
Spanish-language authors (abbreviations of surnames), 22.5C2
Spanish-language authors (abbreviations of surnames—references, 26.2
Surnames and terms of address used (unused forenames known), 22.5D
Surnames in corporate names, 24.4B
Surnames only used (authors), 22.1B
Surnames only, or surnames and terms of address, used, 22.5D, 22.15A
Surnames only, or surnames and terms of address, used (punctuation and spacing), 22.1B
Surnames only, or surnames and terms of address, used (placement of delimiters), 22.5D
Surnames without forenames (entry under last element), 22.5C4
Surnames without forenames (entry under last element—punctuation and references), 22.1B, 22.5D
Terms of address, etc., and surnames only used, 22.1B, 22.15A
Terms of address, etc. (resolving conflicts), 22.17, 22.19
Theses (forms of name used on theses), 22.3A
Titles of nobility as entry elements of names, 22.6
Titles of nobility vs. personal names, 26.6
Unused names added to resolve conflicts, 22.17
Urdu names, 22.4
Writers using several different names, 22.2B
Yiddish surnames, 22.3C, 22.4
Zairian names (entry and references), 22.8

**Personal names (determination of commonly known forms)**

Early writers vs. modern reference sources, 22.1B
Fullness of forenames, 22.3A
Persons known only by phrases or appellations, 22.1B
Works issued during and after lifetime, 22.1B

**Personal names (different names or forms of name)**

**AACR2** forms of name when headings already in use in catalog (not yet coded **AACR2**), 22.3A
Composers, 22.1B
Different forms in one work, 22.3A
Forms most commonly found (fullness of forenames), 22.3A
Fullest forms (forenames), 22.3A
Fullness of names (general guidelines), 22.3A
Multiple real names, 22.2
Multiple real names treated as pseudonyms, 22.2
Persons writing in more than one language (doubtful cases), 23B1
Pseudonyms, 22.2B
Simultaneous use of different names, 22.2A, 22.2B
Personal names (variant forms)

see also

Personal names (determination of commonly known forms)

Personal names (different names or forms of name)

Citations on name authority records, 26.2
Copyright statements, 22.3A
Different forms in one work, 22.3A
References, 26.2
Variations in fullness of forenames, 22.3A

Photocopies of published works (texts, graphic items, etc.)

see

Macreproductions

Phrases

Commonly associated with names entered under given name (punctuation and references for exceptional cases), 22.8A1
Descriptive phrases for pre-20th century persons, 22.19
Non-English sources commonly associated with names entered under given names, 22.8A1
Personal name headings (names of persons unknown), 22.1B, 22.11D
Phrases vs. series titles, 1.6

Physical description areas

see also

Extent of items

Paginations

Accompanying materials, 1.5E1
Frame counts, 8.5B2
Items in different formats, 1.10, 1.5A3
Leaves or pages of plates, 2.5B9
"Made up" collections, 2.5B18
“Of music,” 5.5B1
Production method (cartographic materials), 3.5C3
Recording and reproduction characteristics, 6.5C8
Sound channels, 6.5C7
Specific material designation for updating loose-leafs, 12.5B1

Place names

see

Geographic names

Places of publication

see also

Publication, distribution, etc., areas
Index

Abbreviations of state names, B.14
CIP items at the galley stage, 1.4D4

Planetariums, 24.1
Plans (Programs), 24.1
Plate numbers
  Definition, 5.7B19
  Location of notes, 5.7B19
Plates
  Recording leaves or pages of plates, 2.5B9
"Plucked instruments" vs. "plectral instruments" (music uniform titles), 25.30B5
Poorhouses, 24.1
Pop, folk, ethnic, jazz sound recordings (analytical added entries), 25.34
Popular music folios (main entry), 21.23C
Port authorities, 24.1

Prefixes/particles
  see also
  Personal names

    Delimiters, 22.5D
    Personal names (references), 22.5D, 26.2
    Personal names entered under element following (punctuation and spacing), 22.1B

Preliminaries
  Glossary entry amplified, Appendix D
  Source for series statement, 1.6A2

Prices
  see
    Terms of availability

Principal performers
  see also
  Performers

    Guidelines, 21.23C
    Identification, 21.23C

Printing dates
  see
    Dates of manufacture

Privately printed works
  Notes, 1.4D1
  Publishers, 1.4D1
Production method, 3.5C3
Program notes/inserts, 6.7B11
Projects, 24.1
Prominent sources
   Conference names, 21.30E
   Determination of access points, 21.0B
   Performers (added entries), 21.29D
   Principal performers, 21.23C

Proposed bodies, 24.2
Prosecuting attorneys of jurisdictions, 24.23
Psalters, 21.11B, 21.39, 25.18A

Pseudonyms
   Multiple real names treated as pseudonyms, 22.2
   On non-separately-published items, 22.2B
   Use of several pseudonyms, 22.2B

Public celebrations, pageants, anniversaries, 24.7

Publication
   see
   Publication, distribution, etc., areas
   Publishers

Publication dates
   see
   Dates of publication

Publication, distribution, etc., areas
   see also
   Dates of publication
   Distributors
   Places of publication
   Publishers
   Bodies associated with the publication of items, 1.4D4
   Bracketing, 1.4G
   Capitalization, A.7A
   CIP items at the galley stage, 1.4A2, 1.4D4
   Elements presented obscurely, 1.4A2
   Elements to include/exclude, 1.4D2, 1.4D3, 1.4D4
   Hierarchies of commercial publishers, 1.4D2, 1.4D4
   Initial articles, 1.0
   More than one entity with the same/different functions, 1.4E
   Publication information for original editions appearing with titles proper of reprints, 1.11C
   Transcription, 1.4D4

Publishers
   see also
   Distributors
   Publication, distribution, etc., areas
Index

Addresses (monographs), 1.4C7  
Bodies associated with the publication of items, 1.4D4  
Change in name of publisher (serials), 12.4D2  
CIP items at the galley stage, 1.4D5  
Elements to omit from names (personal names), 1.4D2  
Government printers, 1.4D1  
Hierarchies, 1.4D2  
Multiple publishers, 1.4D4  
Parent companies and subsidiaries named in items, 1.4D4  
Phrases denoting functions, 1.4E  
Private entities, 1.4D1  
Published for ... by ..., 1.4D3  
Publishers and subsidiaries named on items, 1.4D4  
Serial titles as publishers, 1.4D2  
[S.n.] (“nonromanizing” languages), 1.4D6  
Unknown (nonroman script languages), 1.4D6

Publishers (Producers) as qualifiers to uniform titles, 25.5B, 25.8

Publishers' numbers (music)
Definition, 5.7B19  
Location of notes, 5.7B19  
Multipart items, 5.7B19  
Publishers' names or other verbal designations, 5.7B19  
Transcription, 5.7B19  
Two or more distinct numbers, 5.7B19

Publishers' numbers (sound recordings)
Location of notes, 6.7B19  
Set numbers and individual disc numbers, 6.7B19  
Transcription, 6.7B19

Punctuation
see also

[Various types of publications]

Spacing conventions

Ampersands in corporate names, 24.1  
Arabic numerals, C.1  
Between areas of description, 1.0C  
Contents notes (formal and informal), 2.7B18  
Corporate names, 24.1  
Corporate or meeting names include ISBD-type punctuation marks, 1.1B1  
Dashes in corporate names, 24.1

Delimiters
see Delimiters
Ellipses

see

Ellipses

Ends of areas of description, 1.0C
Hyphens in corporate names, 24.1
ISBD-type punctuation marks appearing on title pages, 1.1B1
ISBNs, 1.8
Notes, 1.7A1
Personal names (entry and references), 22.1B
Personal names consisting of initials, 22.10
Personal names entered under elements following prefixes, 22.1B
Personal names entered under given names and associated phrases, 22.8A1
Personal names entered under last element of multiple surnames lacking forenames
(entry and references), 22.1B
Personal names with abbreviations or lacking forenames, etc., 22.1B
Personal names with initials or abbreviations, 1.0C, 22.1B
Quotation marks in corporate names, 24.1
Scientific names of plants and animals, A.25
Series added entries, 21.30L
Series numbering, 1.6G
Series of words (English), 24.1
Series of words (English—in corporate names evaluated for AACR 2), 24.1
Title and statement of responsibility areas for items lacking collective titles, 1.1G3
Transcription, 1.0E, 1.1B1
"With" notes, 1.7B21
Uniform title qualifiers, 25.5B

— Q —

Qualifiers

see also

General designation qualifiers

Conflicts, 24.4C

Corporate names

see

Corporate names as qualifiers

Dates

see

Dates as qualifiers

Additions to personal names
### Index

#### Geographic names

*see*

Geographic names as qualifiers

- Military installations, 23.1
- Multiple qualifiers, 24.4B
- Thesis notes, 1.7B13

#### Qualifiers (conference headings)

- Conflicting ongoing named conferences, 24.7B
- Dates as part of meeting names, 1.0C, 24.1, 24.7A, 24.7B
- Electronic conferences, 24.7B
- Local places vs. institutions, 24.7B
- Omitted from references, 26.3A3
- Ongoing conferences, 24.7A, 24.7B

#### Qualifiers (corporate names)

*see also*

General designation qualifiers

- Choice, 24.4C
- Corporate names, 24.4C, 24.4C5
- Corporate names in all capital letters, 24.4B, 26.1
- Directly entered government bodies, 24.4C
- Independent non-government bodies, 24.4C
- Local churches, 24.10B
- Form, 24.4C
- Geographic names, 24.4C
- Multiple qualifiers, 24.10B
- Not required, 24.4C
- References, 26.1
- Required/not required by variant forms, 24.2B

#### Qualifiers (geographic names)

- City sections, 23.4F2
- Great Britain, 23.4D
- Islands and island groups, 23.4B
- Local places in Hawaii, 23.4F1
- Names qualified have earlier/later names, 23.4B
- Not needed, 23.4B
- Qualifiers change names (names qualified do not), 23.4B, 24.4C4
- Resolving conflicts, 23.4F1
- States, provinces, etc., 23.4C
- Succession of jurisdictions with the same names, 24.6
- Types of jurisdictions, 24.6
- Washington (State), 24.6
Index

Qualifiers (personal names)

see

Additions to personal names

Qualifiers (uniform titles), 25.5B

Choice, 25.5B
Choreographic works, 25.5B
Collective uniform titles, 25.8, 25.5B
Corporate names, 24.4C, 25.5B
Dates, 25.5B
Edition statements, 25.5B
Electronic resources, 25.5B
Form, 25.5B
Laws, 25.15A1
Places of publication (series/serials), 25.5B

Quotation marks

Corporate names, 24.1

Quoted notes

Bibliographic, etc., 2.7B18
Dates of release or transmittal, 2.7B9
Lecture series, 1.6
Letters and/or numbers unassociated with series statements, 1.6
Nonroman data, 1.7A3
Phrases rejected as series, 1.6
Romanized data, 1.7A3
Series consisting solely of commercial publishers' names or corporate bodies' initials, 1.6
Series consisting solely of letters and/or numbers, 1.6
Sources for information quoted, 1.7A3
Use, 2.7B18

— R —

Races (Contests), 24.7
Radio and television programs

Headings, 25.5B Appendix I

Railroads, 24.1
Rare books

Copies, issues, editions, etc., 1.0
Descriptive cataloging of books published before 1801, 2.12-2.18
Uniform titles (incunabula), 25.14

Reciprocal notes (editions), 1.7A4

Recording and reproduction characteristics, 6.5C8
Recreation districts, 23.1
Reference evaluation

"Compatible" headings (corporate names), 26.1
Index

"Compatible" headings (personal names), 26.1
Corporate names entered subordinately, 24.13, 24.18
"Do not make" (keep or delete), 26
Earlier names of a corporate bodies covered by references to later names, 26.3
"General references," 26.3B-C
Guidelines (constructing references), 26.1
Government bodies entered subordinately, 24.18, TYPE 2
Initialisms, etc., 26.3B-C

Linking references

see

Linking references (headings)

"[No publs. in LC database]," 26.3B-C
Scope, 26
See also references, 26.3B-C
Uniform titles, 26
Unused subdivisions of corporate bodies, 26.3
Values in fixed fields, 26
Variant names (personal names), 26.2
When to evaluate, 26

Reference sources

"Conventional" vs. "other," 22.1B
Cyrillic surname headings, 22.3C
Encyclopaedia Judaica, 22.3C
English-language forms of name written in nonroman scripts, 22.3C
Hebrew and Yiddish surname headings, 22.3C
Names written in nonroman scripts, 22.3C
Nonwriters, 22.1B
Persons of recent fame (nonroman script languages), 22.3C
Saints, 22.13B
Supplying phrases or appellations for persons known only by those phrases, 22.1B
U.S. Civil War army units, 24.24A

References

see

Cross references
See also references

Regularization of i's and j's, 1.0E
Reissues or new editions, 1.0

Related work added entries

see also

Analytical added entries

Bible texts, 21.28B
Definition, 21.30M
Index

Form and responsibilities, 21.30G
Format, 21.30M
Linking records for different editions, 1.7A4
Separately cataloged indexes (not made for main work), 21.28B
Separately published issues of periodicals, 21.30G
Serials, 21.28B, 21.30G
Special numbers to serials, 21.30G
Supplements to serials, 21.30G

Related works, 21.28A, 21.28B
"Relational" reference structures
Guidelines, 26.3B-C
Instructions and examples, 26.3B-C
"[No publs. in L C database]," 26.3B-C

Religious denominations (works), 21.1B2

Religious titles, etc.
see also
Titles of address, etc.

Japanese priests, 22.16D
Persons of religious vocations entered under given names, 22.16D

Remainder distributors, 1.4D4

Remote access
No longer available, 9.7B

Repositories of manuscripts
see
Manuscripts

Reproductions (notes), 1.7A4

Reproduction notes
Definition, 2.7B7
Edition statements, 2.7B7
Elements to include/omit, 2.7B7
Format, 2.7B7
Original series statements not reproduced, 2.7B7
Original editions (notes), 2.7B7
"Previously published" vs. "Originally published," 2.7B7
Series statements, 2.7B7
Sources of information, 2.7B7
Uses in CIP cataloging, 2.7B7

Republications
see also
Original editions of republications

Dates, etc., of original editions appearing with titles proper of republications, 1.11C
Several independent publications in one volume, 2.7B7
Index

Republications, reissues, etc. (serials or series), 25.5B  
   Added entry guidelines, 21.30L  
   Bibliographic units vs. physical units (serials), 12.5B2

Research stations, 24.1

Restaurants, 24.1

Reversed order of names, 21.6C1

Revised editions
   Changes of entry, 1.7A4  
   Changes of entry because of rule change, 1.7A4  
   Changes of title, 1.7A4  
   Connecting notes, 1.7A4  
   Previous editions not in LC, 1.7A4  
   Previous editions not in MARC, 1.7A4  
   Reciprocal notes, 1.7A4  
   Related work added entries, 1.7A4

Revised translations
   see
   Translations

Revisions of established headings
   see also
      Conflicts
      Updating pre-AACR2 headings

______ (personal names)
   "Author's" preference, 22.3A  
   Authors using multiple pseudonyms, 22.2B  
   Authors using multiple real names, 22.2  
   Coding of names ("Mrs."), 22.15B  
   Dates, 22.17  
   Full names discovered for forename initials, 22.18A  
   National Library of Medicine headings, 22.3A  
   Resolving conflicts, 22.17  
   Surnames only used by authors, 22.1B

Roman numerals
   Titles proper (access), 21.30J

Romanizations
   Arabic names, 22.22  
   Chinese, Japanese, and Korean numerals, C.5C  
   Corporate names, 24.1B  
   Geographic names supplied by BGN, 23.2  
   Headings for authors living in 20th century Israel or Palestine, 22.3C

Romanized records
   see
      "Romanizing" languages bibliographic records
      Nonroman languages/scripts bibliographic records
"Romanizing" languages bibliographic records
   Notes, 1.7A3

— S —

Sailing vessels, 24.4B
"Saint" in geographic names, 23.2

Saints
   see also
   Personal names
   Reference sources, 22.13B
   Unique names? 22.13B

Sales catalogs, 21.1B2
Sanitariums, 24.1
Sanitation districts, 23.1
"Scharfes s," 1.0E
School districts, 24.1
Scientific names of plants and animals (capitalization and punctuation), A.25
Script notes, 1.7B2

Seals
   see
   Symbols

Searching
   Dates (personal names), 22.17
Second-hand dealers as distributors, 1.4D4
Second/Seconds symbols, 1.0E

Second series
   see
   Subseries/second series

Section titles
   As titles proper, 1.6B
   With introductory words (Capitalization), A.4D1

See also references
   see also primarily
   Cross references
   Definition, 26.3B-C
   Heads of state, 26.3B-C
   Individuals to the group, 26.2C
Index

Justification, 26.3B-C
Manuscript headings, 25.13
Personal names identical to established headings, 26.2C
Pseudonyms, 22.2B
Reference structures (guidelines and examples), 26.3B-C
Series, 26.5A

See references
see

Cross references

Serial numbers (music)
Titles proper or other title information, 5.1B1
Parallel statements, 5.1B1

Serial titles
see also
Serials
Titles proper
Uniform titles (serials/series)

Authority records for, 26.5B
Chronological designations omitted, 12.1B7
Consisting solely of the words "Annual report," 21.30J
Elements to include and exclude, 12.1B3
Serial titles as publishers, 1.4D2

Serials
see also
Monographic series
Multipart items
Uniform titles (serials/series)

AACR2 forms needed, 21.28B
Absorptions, 12.7B6f
Added entries (collections of excerpts), 21.28B
Added entries (corporate bodies), 21.30E
Added entries (separately published issues of periodicals), 21.30G
Added entries (series), 21.30L
Added entries (special numbers), 21.30G
Added entries (supplements), 21.30G
Almanacs (U.S.), 17th-19th century, 21.2C
Alphabetic and/or numeric designations, 12.3, 12.3B1, 12.3C1
Alphabetic and/or numeric designations (lacking), 12.3B1
"At head of title" notes, 12.7B7.1
Authority records for, 26.5B
Basic description, 12.0B1
Bibliographic units vs. physical units, 12.5B2
Changes in edition information, 12.2F1
Changes in places of publication, 12.7B11.2
Changes is name of publisher, 12.4D2
Changes in titles proper (definition), 21.2C
Changes in titles proper vs. variations, 21.2C
Changes in type and extent of resource area notes (electronic serials), 12.7A2
Changes in uniform titles, 25.5B
Changes of issuing bodies, 25.5B
Changes of persons or bodies responsible (serials and integrating resources), 21.3B
Chronological designations, 12.1B7, 12.3C1 12.3C4 12.3D1, 12.3G1
Chronological designations (lacking), 12.3B1
Chronological designations (several systems), 12.3E1
Common titles and section titles, 12.1B4, 25.5B
Conflicts, 25.5B
"Continues/Continued by" notes, 12.7B8a)
Corporate body qualifiers (uniform titles), 25.5B
Date qualifiers (uniform title), 25.5B
Dates of absorption, 12.7B8d)
Dates of immediately succeeding serials, 12.7B8a)
Dates of publication (first issues later than dates of subsequent issues), 1.4F8
Dates of numbering at end of titles proper, 12.1B7
Definition amplified, 1.0
Edition statements (two or more languages or scripts), 12.2B3
Editions, 12.7B8f)
Electronic resources (common titles and section titles), 12.1B4
Electronic resources (uniform titles), 25.5B
Electronic serials that don’t retain earlier titles/bodies, 12.0B1
Electronic serials that don’t retain earlier titles (notes), 12.7B4.2
Ellipses, 12.1B7
Entries under corporate bodies, 21.1B2
Excerpts (treatment), 21.28B
Forms of numbering (related work added entries), 21.30G
Forms of serial names (linking notes), 12.7B8
Forms of series added entries, 21.30L
Frequency notes, 12.7B1
Hardcover editions of selected issues, 1.6
In multiple series, 1.6J
Indexes, 1.5E1, 21.28B
Integrating resources, 1.0
Internal numbering, 12.3B1
Issued in cumulations, 12.0
Issued in parts, 12.0
Item described note, 12.7B23
Language of notes, 12.7A2
Linking notes, 12.7B8
Linking notes (uniform titles), 25.5B
Microreproductions (LC policy), Chapter 11
Index

Monographic series

see

Monographic series
Series
Series ...

Newspapers, 12.0
No designation on first issue or part, 12.3D1
Nonprint serials (prescribed sources of information), 12.0B3
Notes (first issues with dates of publication later than those of subsequent issues), 1.4F8
Notes (frequency), 12.7B1
Notes on changes in type and extent of resource area (electronic serials), 12.7A2
Notes (publication, distribution, etc. areas), 12.7B11.2
Numbered series, 12.6B1
Numbering (at end of titles proper), 12.1B7
Numbering (series added entries), 12.6B1
Numbering (series statements), 12.6B1
Numbering (system changes), 12.3G1
Numbering grammatically integrated with title proper, 25.5B
Numeric and/or alphabetic designations, 12.3, 12.3B1, 12.3G1
Numeric and/or alphabetic designations (lacking), 12.3B1, 12.3G1
Numeric and/or alphabetic designations (notes), 12.7A2
Numeric and/or alphabetic designations (several systems), 12.3E1
Personal authority, 21.1A2
Physical units vs. bibliographic units, 12.5B2
Place of publication changes, 12.7B11.2
Place of publication qualifiers (uniform titles), 25.5B
Prescribed sources of information (nonprint serials), 12.0B3
Publisher statements (capitalization), A.7A
Related work added entries, 21.28A, 21.30G
Reprints, reissues, etc., 1.0, 12.0, 12.0B1, 12.5B2, 25.5B
Retrospective serials treatment, 1.0
Section titles, 12.1B4, 25.5B
Separate records (criteria), 12.0
Separate records (numbering systems change), 12.3G1
Session laws (U.S. states) and successive entry, 21.2C
Signs and symbols (first five words of titles proper), 21.30J
Source citations, 26.3B-C
Splits, 12.7B8c)
Subseries in numbered main series, 12.6B1
Substitute title pages, 12.0B2
Successive designations, 12.3G1
Successive entries, 25.5B
Supplements, 1.5E1
Supplements (added entries), 21.30G
Supplements (uniform titles needed), 25.5B
Supplements to other serials, 21.28B
Title page substitutes, 12.0B2

Titles
   see
   Serial titles
   Uniform titles (serials/series)

Travel guides, 1.0
Treatment, 1.0
Variations vs. changes in titles, 21.2C
"With" notes, 1.7B21

Serials vs. monographs vs. integrating resource, 1.0

Series
   see also primarily
   Monographic series
   Multipart items
   Serial titles
   Serials
   Series added entries
   Series numbering
   Series statements
   Series titles
   Uniform titles (serials/series)

   Added entries (issuing bodies), 21.30E
   Analysis (music), 13.3
   Appearing only in bibliographies, 1.6A2
   Appearing only in publishers' listings, 1.6A2
   Appearing only in some items, 1.6B
   Appearing only on jackets, 1.6A2
   Appearing only on labels or stamped in items, 1.6A2
   Changes of issuing bodies, 25.5B
   Collected musical works of composers, 21.30L
   Different physical media, 1.6
   Inaccuracy in series title proper, 1.6B
   Integrating resources in more than one series, 21.30L
   ISSNIs, 1.6f
   Lacking analyzable titles (analyzed, classified separately), 13.3
   Multiple series on multipart items or serials, 1.6J
   Personal authors (traced), 21.30L
   Phrases, etc., 1.6
   Places/publishers vary, 25.5B
   Published in more than one place, 25.5B
   References on authority records, 26.5A
   Reprint, reissue, etc., 25.5B
   Section titles without titles common to all sections (recording), 1.6B
   Series and subseries (uniform title qualifiers), 25.5B
Index

Series or phrases, 1.6
Series vs. subseries (ISSN for main series only), 1.6H7
Series vs. subseries (not appearing together on the items), 1.6H
Single statement encompassing more than one series, 1.6B, 21.30L
Subseries, 1.6H

Technical report series
   see
   Technical report series

Titles
   see
   Series titles
   Uniform titles (serials/series)

Added entry decisions (reasons to change), 21.30L
When to trace, 21.30L

Series added entries
   see also
   Uniform titles (serials/series)

   Collected musical works of composers, 21.30L
   Form, 21.30L
   Guidelines, 21.30L
   Main series and indirectly entered subseries, 21.30L
   Numbering, 21.30L
   Punctuation, 21.30L
   Related series, 21.30G
   Reprint editions, 21.30L

Series added entries vs. series statements
   Composition, 21.30L
   Punctuation and spacing, 1.0C

Series area
   see
   Series statements

Series-like phrases
   Qualifiers, 25.5B

Series numbering
   see also
   Serials
   Numbering ...

   Abbreviations, 1.6G, B.5
Appearing only in bibliographies, 1.6G
Appearing only in cataloging data, 1.6G
Collected musical works of composers, 21.30L
Editions with identical numbering, 1.6G
Equal signs, 1.6G
Grammatically integrated with title proper, 25.5B
How to record in series added entries, 21.30L
Incomplete multipart items, 21.30L
Inferred numbering, 1.6G
Letter(s) at end of title proper, 1.6B
Letters in series numbering, 1.6G
More than one system of designation, 1.6G
New sequence, 1.6G1
Ordinal number abbreviations, 1.6G
Parallel numbering, 1.6G
Superscript characters, 1.6G
Supplements, 21.30G
Within specific activities, 1.6G

**Series numbering vs. subseries**

Appearing only on CIP data sheets, 1.6A2
Appearing only on jackets, 1.6A2
Appearing only on labels or stamped in items, 1.6A2
Appearing only in publishers’ listings, 1.6A2
Consisting solely of corporate body names, 1.6
Consisting solely of initials, 1.6
Consisting solely of numbers and/or letters, 1.6
Containing words in old orthographies, 25.3A
Initial articles, 1.0, 21.30L
Phrases rejected as series, 1.6A2
Prefaces as sources, 1.6A2
Related series, 21.30G
Section titles without titles common to all sections, 1.6B
Sources, 1.6A2
Spacing and punctuation, 1.0C
Statements of responsibility, 1.6E1
Supplements in series statements, 25.5B

**Series statements**

More than one, 1.6J
Single statement encompassing more than one series, 1.6B, 21.30L

**Series statements vs. headings for series**

Added entry guidelines, 21.30L

**Series title pages (definition), 12.0B1**

**Series titles**

*see also*

**Uniform titles (serials/series)**

Appearing in some parts of items classed as a collection, 1.6B
Index

Appearing in two or more languages, 1.6B
Changes or variations, 21.2C
Consisting solely of corporate body names or initials, 1.6, 25.5B
Embedded in other text, 1.6B
Letter(s) at the end of the title proper, 1.6B
Numbering grammatically integrated with title proper, 25.5B
Section titles without titles common to all sections, 1.6B
Series titles or phrases? 1.6
Series titles grammatically connected to title of item, 1.6

Series titles (variant forms)
Languages, 1.6C
Lecture series, 1.6
References on authority records, 26.5A
Serials, 21.2C

Series tracings
see
Series added entries

Series uniform titles
see
Uniform titles (serials/series)

Service stations, 24.1

Sets
see
Multipart items

Ships
Ambiguous heading, 24.1
Forms of name, 24.4B
Sailing vessels, 24.4B

Shipyards, 24.1
Signature dates on U.S. Congressional documents, 1.4F2
Sign languages notes, 1.7B2
Signs and symbols, 1.0E

Simple added entries
see
Related work added entries

Single works vs. collections, 25.1

Sizes
see
Dimensions
[S.n.] (nonroman script languages), 1.4D6

Software

see

Electronic resources (uniform titles)

Somalian personal names, 22.8

Sound channels, 6.5C7
Sound recordings

Added entries

see

Added entries

Authors'/performers’ names preceding individual titles, 6.1B1
Analytical added entries, 21.7B-C
Analytical added entries (excerpts from single works), 25.34

Analytical added entries (not yet complete collections of works by one composer), 25.34
Analytical added entries (omission for non-classical collections), 25.34
Analytical added entries (pop, folk, ethnic, jazz collections), 25.34
Collections (without collective titles—two or more composers), 21.7C
Copyright dates ("c" vs. "p"), 1.4F5, 6.4F1
Descriptions as units when lacking collective titles, 6.1G1
Distributors, 6.4D1

Durations

see

Durations

Main entries (collections containing three-five works by one composer), 25.34
Matrix numbers, 6.7B19
Phonogram copyright dates, 1.4F5
Principal performers (main entries), 21.23C
Publishers' numbers (location of notes), 6.7B19
Publishers' numbers (sets or individual discs), 6.7B19
Publishers' numbers (transcription), 6.7B19
Recording and reproduction characteristics (optional transcription in physical description areas), 6.5C8
Specific material designations, 6.5B1
Statements of responsibility (transcription of performers when participation goes beyond performance, execution, interpretation), 6.1F1
Uniform titles (collective—three-five works of one composer), 25.34

Soviet bloc countries "stamps of approval," 24.17
Space vehicles, 24.1
Spacing conventions
Index

Abbreviations, 1.0C
Access areas, 1.0C
Bibliographic descriptions, 1.0C
Corporate names, 24.1
Following periods, 1.0C
Initials, initialisms, and acronyms, 1.0C
Initials in corporate names, 24.1
Non-access areas, 1.0C
Personal names, 1.0C, 22.1B
Personal names containing abbreviations or lacking forenames, etc., 22.1B
Personal names containing initials, etc., 22.1B
Personal names entered under elements following prefixes, 22.1B
Title information of items lacking collective titles, 1.1G3

Special letters, 1.0E
Special numbers to serials
  Added entries, 21.30G

Specific material designations
  Graphic materials, 8.5B1
  Sound recordings, 6.5B1
  Updating loose-leaves, 12.5B1

Spelling conventions (notes composed by catalogers), 1.7A3
Spirits (forms of name and qualifiers), 22.14
Sporting events, 24.7
Sports teams, 24.4B
"Stamps of approval" (Soviet bloc countries), 24.17

Stamps or labels
  Publication information, 1.4A2, 1.4D4
  Series statements, 1.6A2

Standard numbers
  see also primarily
  ISBNs
  ISSN

  Items with several numbers, 1.8B2, 12.8B1
  Qualifiers, 1.8B2

Statements of responsibility

  Edition statements
    see
    Edition statements

    First words of titles proper (title added entries), 21.30J
    First words of titles proper (uniform titles needed), 25.3B
    Formal contents notes, 2.7B18
    Functions to include/exclude, 1.1F1
    Guidelines, 1.1F1
    Musical presentation statements, 5.2B1
Performers (participation goes beyond performance, execution, interpretation, 6.1F1
Phrases on title pages referring to appendices, subsidiary texts, etc., 1.1E
Multiple corporate bodies with hierarchy (ambiguities), 1.1F4
Series statements, 1.6E1
Single subsequent statements applying to several titles, 1.1G3
Sound recordings, 6.1B1
Transcriptions (partial repetition in multiple languages), 5.1B1

Statements of responsibility vs. titles proper, 6.1B1
States, provinces, etc., as qualifiers, 23.4C
Stores, Retail, 24.1
Strokes below letters, 1.0E
Studies (Research projects), 24.1

Subordinate bodies
see also
Corporate names
Corporate names entered subordinately

Subordinate bodies vs. parent bodies (responsibility for works), 21.1B2
Subscript characters, 1.0E
Subscript periods, 1.0E

Subsequent edition statements
see
Edition statements

Subseries/second series
Applicability, 1.6H
Chief source for series-subseries, 1.6A2
Guidelines, 1.6H
ISSN for main series only, 1.6H7
Main series/subseries appearing in same source, 1.6H
Parallel titles, 1.6H6
Section titles without titles common to all sections, 1.6B
Section titles without titles common to all sections (punctuation), 1.6B
Title change, 1.6H

Subtitles
see
Other title information

Successive entries, 21.3B, 25.5B
see also
Monographic series
Serials

Almanacs (U.S.), 17th-19th century, 21.2C
Session laws, 21.2C
Index

Summaries
   Notes, 1.7B2, 2.7B18, 12.7B18
   Notes (LC overseas offices), 2.7B17, 12.7B18

Superscript characters, 1.0E
   Series numbering, 1.6G

Superscript periods, 1.0E

Supplementary materials
   see
      Accompanying materials

Supplements to series/serials
   Recording, 1.5E1, 21.30G
   Section titles (uniform titles), 25.5B
   Treatment, 21.30G

Surnames
   see also
      Personal names
      Corporate names, 24.4B

Symbols
   Corporate names, 24.1
   Publication, distribution, etc., areas information, 1.4A2
   Title page transcriptions, 1.0E
   Titles proper (access instructions), 21.30J

— T —

Tables of contents
   Languages, 1.7B2

Teachers' manuals
   see
      Accompanying materials

Telephone calls
   Personal name conflicts, 22.17

Television programs
   see
      Radio and television programs

Temporary data (monographs)
Bibliographic volumes issued as one physical volume later expanded to several physical volumes, 2.5B18

Terms of address, etc.
see
Titles of address, etc.

Terms of availability, 1.8, 1.8E1
Rental materials (music), 5.8D1

Terms of honor, etc.
see
Titles of address, etc.

Theses (forms of personal names), 22.3A
Thesis notes
Academic degrees (terms), 1.7B13
Institutions and places, 1.7B13

Thorough basses
see
Continuos (Uniform titles)

Three dots
see
Ellipses

Title added entries
246 field and initial articles, 21.30J
Abbreviations, 21.30J
Alternative titles, 21.30J
Ampersands, 21.30J
"Annual report," 21.30J
Arabic numerals, 21.30J
Binders' titles, 2.7B4
Collective titles, 21.30J
Contractions, 1.0E
Corrected titles, 21.30J
Dates, 21.30J
Guidelines, 21.30J
[i.e.] or [sic], 21.30J
Initial articles omitted, 21.30J
Initialisms or acronyms, 21.30J
Items lacking collective titles, 21.30J
Letters, 21.30J
Limitation on numbers of special cases, 21.30J
MARC tagging information, 21.30J
Non-MARC bibliographic records, 21.30J
Index

Numbers, 21.30J
Other title information, 21.30J
Partial titles, 21.30J
Parts of works, 21.30J
Roman numerals, 21.30J
Romanized titles, 1.7B4
Series statements appearing only on jackets or in bibliographies, 1.6A2 [sic] or [i.e.], 21.30J
Statements of responsibility begin titles proper, 21.30J
Symbols, signs, etc., 1.0E, 21.30J
Titles consisting solely of the words "Annual report," 21.30J
Titles proper consisting of more than one title, 21.30J
Titles similar to headings, 21.30J
Titles that are not sufficiently distinctive, 21.30J
Uniform titles, 21.30J
With uniform title, 21.30J

Title main entries vs. corporate main entries, 21.1B2
Title main entries vs. personal main entries, 21.1C
"Title on added title page" notes, 2.7B4

Title pages

see
Chief sources of information
Series title pages

Titles, parallel

see
Parallel titles

Titles as acronyms or initialisms, 1.1E1

Titles of address, etc.
Coding of a name for AACR2, 22.15B
Cross references (uses and languages), 26.1
Delimiters, 22.1B, 22.5D
Names entered under elements following prefixes, 22.1B
Persons of religious vocations entered under given names, 22.16D
Punctuation and spacing, 22.1B
Resolving conflicts, 22.17, 22.19
Surnames and titles only used, 22.15A
Titles following forename (Mrs.), 22.15B
Titles of nobility as entry elements of names, 22.6
Titles of nobility as entry elements of names (use of forenames, 22.6

Titles proper

Abbreviations of corporate body names as parts of titles proper—serials), 12.1B3
Acronyms or initialisms, 1.1E1
Added entries

see

Title added entries

Access guidelines, 21.30J
Access (items containing more than one title), 21.30J
Acronym/initialism (Serials and integrating resources), 21.30J
Ampersands (first five words), 21.30J
"Annual report," 21.30J
Changes (integrating resources) and issns, 12.7B4.2
Changes (monographic series), 21.2C
Changes (multipart items), 21.2A1
Changes (serials—definition), 21.2C
Changes vs. variations (serials), 21.1A
Chronological designations omitted (serials), 12.1B7
Common titles and section titles (serials), 12.1B4
Conflicts, 25.5B
Consisting of name of a type of composition, 1.0
Corporate body names included (serials), 12.1B3
Credits integrated, 7.1B1
Dates, etc., of original publication appearing with titles proper of reprint editions, 1.11C
Dates (Japanese), C.5C
Dates or numbering at end (serials), 12.1B7
Dates or numbering that vary from issue to issue (serials), 12.1B7
Definition (items lacking collective titles), 21.30J
Documents (capitalization), A.20
Electron serials that don’t retain earlier titles, 12.7B4.2
Elements to include and exclude (serials), 12.1B3
[i.e.] or [sic], 21.30J
Initial articles, 1.0
Introductory wording (Added entry), 21.30J
Introductory wording (Capitalization), A.4A1, A.4D1
Introductory wording (Reference), 26.5A
Introductory wording (Transcription), 1.1B1
Legal collections (capitalization), A.20
Moving image material, 7.1B1
Music (generic titles with partial repetition in multiple languages), 5.1B2
Omissions (serials), 12.1B7
Popular journals, 1.1B1
Section titles (serials), 12.1B4
Series title grammatically connected to title of item, 1.6
Several languages or scripts, 2.7B4
[sic] or [i.e.], 21.30J
Signs and symbols (first five words), 21.30J
Sound recordings, 6.1B1
Statements of responsibility begin (title access instructions), 21.30J
Statements of responsibility begin (uniform titles needed), 25.3B
Index

Variant titles
  see
  Titles proper (variant forms)

Words in old orthographies, 25.3A

Titles proper (variant forms), 1.7B4
  Access, 21.30J
  Added title pages, 2.7B4
  Binders' titles, 2.7B4
  Format of notes, 2.7B4
  Hebrew texts (English titles on versos of title pages), 2.7B4
  Notes, 1.7B4, 2.7B4
  Notes for sources, 2.7B4
  Other languages, 2.7B4
  Serials, 21.2C
  Sources, 2.7B4

Titles proper vs. statements of responsibility, 6.1B1

Tournaments, 24.6

Townships
  see
  Geographic names
    Townships
  Conflicts
    Townships

Tracings
  see
  Added entries

Translations
  see also
  Multilingual works

    Added entries for translators, 21.30K1
    Collections (uniform titles), 25.11
    Notes, 1.7B2
    Revised translations (notes), 1.7A4

Travel guides

  Monograph vs. serial, 1.0

Treaties, international agreements, etc.

  References (form), 25.6C, 26.4B
  References in lieu of added entries (conferences), 21.35A2
  References in lieu of added entries (other parties), 21.35A1, 21.35A2, 21.35B, 21.35C
  References in lieu of added entries (general revisions), 21.35E2
Tribes, 24.1
Tribes (United States Indian), 23.1
Type of issuance, 1.0

— U —

U.S. Board on Geographic Names
  BGN forms conflict with established LC headings, 23.2
  Brief forms vs. long forms, 23.2
  English forms vs. vernacular forms, 23.2
  Forms provided, 23.2
  BGN romanized forms conflict with ALA/LC romanization tables, 23.2
  When to consult, 23.2

U vs. V, 1.0E, 25.1

Unanalyzable multipart items
  see
    Multipart items

Undertakers, 24.1
  UNESCO national commissions, 24.17, 24.18, TYPE 11
Uniform titles (antiquated orthographies), 25.1
Uniform titles (choreographic works), 25.5B

Uniform titles (monographs)
  see also
    Collective uniform titles
    Uniform titles (music)
    Uniform title (serials/series)
      Access not provided, 21.30J
      Additions ("Selections"), 25.9
      Additions or corrections, 25.1
      Alternative titles omitted, 25.3B
      "and" (updating to AACR2), 25.1
      Anonymous classics, 25.1
      Art works, 25.3A, 25.4A, 25.5B
      Brackets, indentions, and punctuation (name authority records), 25.1
      Changes to bibliographic records, 25.5B
      Collections of two works by one author, 25.7
      Collections vs. single works, 25.1
      Collective uniform titles for multipart items, 25.5B
      Comic strips, 25.5B
      Complete literary and musical works of composers, 25.8, 25.10
      Conflicting monographic titles, 25.5B
      Constitutions, 25.3B
Index

Coutumes homologated as statutes, 21.31B
Customary laws homologated as statutes, 21.31B
Electronic resources, 25.5B
Elements to omit, 25.3B
"Flipping," 26
Form (qualifiers), 25.5B
General material designations, 25.5D
Initial articles, 25.1
Languages used in uniform titles, 25.5C
Languages (liturgical works), 25.19
Laws, 25.15A1, 25.15A2
Laws of changed jurisdictions, 21.31B1
Linking references, 25.1, 25.5B, 26
"Literary works," 25.8
Literary works of composers, 25.8, 25.10
Main entries under name headings, 25.5B
Main entries under titles, 25.5B
Manuscripts (forms and references), 25.13
Monographs published in countries with orthographic reforms, 25.3A
Motion pictures, 25.5B Appendix I
Multilingual works, 25.5C
Numbering, 25.6A2
Part titles entered subordinately (consisting of titles and numbering), 25.6A2
Parts of a work, 25.6A
Parts of a work ("Laws, etc." as uniform titles), 25.12A1
Parts of a work (numbering), 25.6A2
Parts of a work (radio and television series), 25.6B3
Parts of a work (references), 26.4D2
Punctuation (qualifiers), 25.5B
Qualifiers, 25.5B
Qualifiers (law collections), 25.15A1
Radio and television programs, 25.5B Appendix I
Radio and television series, 25.6B3
Reference evaluation, 26
"Selections," 25.8, 25.9
"Selections" (updating to AACR2), 25.1
Single law titles containing names of jurisdictions, 25.15A2
Single works vs. collections, 25.1
Statements of responsibility begin titles proper, 21.30J, 25.3B
Subject compilations lacking collective titles (law), 25.15A1
Successive entries (collections of U.S. states' laws), 25.15A1
Titles proper contain words in old orthographies, 25.3A
Treaties (references), 25.4B, 25.4C, 26.4B
Transcription (note areas), 1.7A4
Translations (partial collections), 25.11
Unpublished works, 25.5C
Updating to AACR2, 25.1, 26
U.S. Bureau of the Census publications, 25.5B
When to make, 25.1, 25.5B
"Works," 25.8
"Works" (updating to AACR2), 25.1
Works in several languages, 25.5C

**Uniform titles (music)**

- Accompaniment for songs, lieder, etc., 25.30B10
- Accompanying ensembles (one player/part), 25.30B7
- Additions, 25.35
- Additions to initial title elements consisting of the name(s) of one or more types of composition, 25.30
- Alternative titles, 25.27
- Arrangements, 25.35C
- Collective uniform titles, 25.5B
  - Additions [rule correction], 25.35A1
  - Entries for three-five works by one composer, 25.34
- Complete musical and literary works of composers, 25.10, 25.8
- Conflict resolution, 25.31B1
- Dates of publication (omission for selections), 25.34
- Form of composition and notes, 5.7B1, 6.7B1
- Groups of instruments, 25.30B5
- Identical to title proper, 25.25
- Individual instruments, 25.30B4
- Initial title element, 25.28
- "Instrumental ensemble" as statements of medium, 25.30B5
- Keyboard instrument terminology for 18th century music (harpsichord vs. piano vs. keyboard instrument), 25.30B4
- Keys—English used, 25.30D
- Language, 25.35F
- Liturgical titles (generic terms), 25.29A
- Liturgical titles (omission of media of performance), 25.29A
- Medium of performance and notes, 5.7B1, 6.7B1
- Mélodie vs. melody, 25.29A
- Motion picture music (single composer collections), 25.34C2
- Musical instrument terminology, 25.30B4, 25.30B5
- Musical works of literary writers, 25.10, 25.8
- Opus numbers, 25.30C3
- Ordinal numbers, C.8
- Parts of a work, 25.32A1, 25.32B1

Parts of larger works

*see*

- Excerpts

"Plucked instruments" vs. "Plectral instruments," 25.30B5
- Post-nineteenth-century works, 25.30D2
- References for collective titles, 26.4B4
- References for titles of parts cataloged under the title of the whole work, 26.4B3
### Index

Revised, transcribed, recomposed, reordered, altered, etc., versions of an earlier work, 25.25A  
Selection of title, 25.27A1, 25.27A1, footnote 10  
Selections, 25.34B1  
Selections and works of various types in one broad or specific medium and works of one type for one specific medium or various media, 25.34B-25.34C  
  *Consecutively numbered, 25.34C3*  
  *Keyboard music, 25.34C1*  
  *Trio sonatas and other sonatas, 25.34C2*  
Serial numbers, 25.30C2  
Singulars or plurals (first occurrence of particular types), 25.29A  
Singulars to plurals, 25.29A  
Solo instruments and accompanying ensemble, 25.30B7  
Standard combinations of instruments, 25.30B3  
Statements of medium for masses or requiems, 25.30B1  
Titles including the name of a type of composition, 25.27D1  
Vocal and chorus scores, 25.35D1  

**Uniform titles (serials/series)**, 25.5B  
Additions, 25.5B  
Changes of issuing bodies, 25.5B  
Changes (qualifiers), 25.5B  
Choice (qualifiers), 25.5B  
Common/section titles, 25.5B  
Conflicts, 25.5B  
Corporate names as qualifiers, 25.5B  
Corporate name as uniform titles, 25.5B  
Dates as qualifier, 25.5B  
Edition statements as qualifiers, 25.5B  
Electronic resources, 25.5B  
Form (qualifiers), 25.5B  
Guidelines, 25.5B  
Linking references, 25.5B, 26.5A  
Numbering grammatically integrated with title proper, 25.5B  
Part titles, 25.5B  
Places of publication as qualifiers, 25.5B  
Published in more than one place, 25.5B  
Punctuation (qualifiers), 25.5B  
Qualifiers, 25.5B  
References, 26.5A  
Related series, 21.30G  
Reprints, microfilms, etc., 25.5B  
Series consisting solely of corporate body names or initials, 25.5B  
Series/subseries situations, 25.5B  
Successive entries, 25.5B  
Supplements, 25.5B  
Supplements involved in uniform titles, 25.5B  
Title changes or title variations, 21.2C  
When to make, 25.5B
Index

Unit descriptions for sound recordings lacking collective titles, 6.1G1
United Nations
  Government body, 24.17

United States. Congress
  Numbering in headings, 24.21D
  Documents (single dates vs. title page dates), 1.4F2

United States Postal Service abbreviations, B.14
Universities
  Statewide system names contained in names, 24.13, TYPE 6
  Unit names indicating fields of study, 24.13, TYPE 5
  University libraries named for persons, 24.2

Unpaged items, 2.5B7
Unpublished works (uniform titles), 25.5C
Updates issued for publications, 2.5B9

Updates
  see Loose-leaf items

Updating bibliographic records
  Monographs with title main entries requiring uniform titles, 25.5B
  Motion pictures requiring uniform titles as main or secondary entries, 25.5B
    Appendix I

  Multipart items
    see Multipart items

Uniform titles, 25.1

Updating pre-AACR2 headings
  see also Reference evaluation

  Additions within parentheses of full forms of initials used in headings, 22.18A
  Conferences/meetings, 26
  Corporate headings AACR2 except for punctuation of a series of words, 24.2
  Earlier forms of corporate names in AACR2 form in 667 field, 26.3
  Headings valid before particular dates, 26
  Linking reference technique, 26
  Multiple pre-AACR2 headings become single AACR2 headings, 26
  One-for-one correspondence of bibliographic headings and authority records, 26
  Quotation mark usages, 24.1
  Series, 24.1
  Single pre-AACR2 headings becomes multiple AACR2 headings, 26
  Uniform titles, 26
  Use in related work added entries, 21.30G

Updating uniform title headings for serials (qualifier policy changes), 25.5B
Index

Utility districts, 23.1

— V —

V vs. U, 1.0E, 25.1

"V.I.," B.14

Variant forms of ...

see

... (variant forms)

Variant titles

see

Titles proper (variant forms)

Vernacular forms of geographic names provided by BGN (list of English forms used), 23.2

Versos of title pages containing publishing information, 1.4D4

Vocal score

Definition, Appendix D

Vocalized title pages, 1.7B2

Voice range

Song, song cycle, or set or collection of songs bearing a statement designating the voice range, 5.2B2

Volume designations

see also

Extent of items

Series numbering

Serials

Formal contents notes, 2.7B18

VV vs. W, 1.0E

— W —

W. vs. VV, 1.0E

Washington, D.C., vs. District of Columbia in headings, 23.2

Water districts, 23.1

Web sites

see

Integrating resources
"With" notes, 1.7B21

Works issued separately
  Bound together, 1.7B21

Works of art
  Uniform titles, 25.3A, 25.4A, 25.5B

Works to be updated (e.g., legal services), 2.5B9

World Wide Web
  see
    Integrating resources

Writers and composers, 25.8, 25.10

— X —

Xerox copies
  see
    Macroreproductions

— Z —

Zoogeographic regions (capitalization), A.15A
Zoological gardens, 24.1