<table>
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<tr>
<th>LCRI</th>
<th>Addition/Change</th>
<th>Action</th>
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<tbody>
<tr>
<td>1.4</td>
<td>Revised to specify Web site location</td>
<td>Replace</td>
</tr>
<tr>
<td>1.4 Appendix</td>
<td>Created to provide guidelines for repeatable 260 fields</td>
<td>Add</td>
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<tr>
<td>1.6</td>
<td>Revised to clarify practice</td>
<td>Replace</td>
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<td>1.6B</td>
<td>Revised to update examples</td>
<td>Replace</td>
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<td>2.7B17</td>
<td>Revised to show new MARC 21 subfield coding</td>
<td>Replace</td>
</tr>
<tr>
<td>12.6B1</td>
<td>Examples revised to reflect MARC 21 format revision</td>
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<td>Revised to reflect changes in normalization</td>
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<td></td>
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*Library of Congress Rule Interpretations*


2009, Update Number 3
<table>
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1.4. PUBLICATION, DISTRIBUTION, ETC., AREA

**LC/PCC practice:** Apply the *MARC 21* repeatable 260 field when there are changes in the publication, distribution, etc., information of a multipart monograph, serial, or integrating resource. Guidelines for the application are posted on the Library of Congress Web site at [http://www.loc.gov/catdir/cpso/260field.html](http://www.loc.gov/catdir/cpso/260field.html) and are included as an appendix to this LCRI.
1.4. PUBLICATION DISTRIBUTION, ETC. AREA. APPENDIX. [New]

**LC/PCC guidelines for MARC 21 repeatable 260 field**

260 Publication, distribution, etc. (Imprint)

**First indicator** = Sequence of publishing statements
- blank Not applicable/No information provided/Earliest available publisher
- 2 Intervening publisher
- 3 Current/latest publisher

**Second indicator** = Undefined
- blank Undefined

**Subfield $c** (date of publication, distribution, etc.): only one 260 field may have subfield $c. The one 260 subfield $c in a record is also used to record an ending date of publication, distribution, etc., for a resource that has ceased or completed publication.

**Application for multipart monographs and serials:**
- Subfield $c$ should appear in the 260 field with indicators ##. It may not be present in that field
  1. if description is not based on first/earliest issue or part, or
  2. if the serial cataloger optionally does not provide it following CONSER Standard Record guidelines.

**Application for integrating resources:**
- Subfield $c$ should appear in the 260 field with indicators 3#.
  - *Alternative* for integrating resources: As long as there is only one 260 field, that 260 field may have both indicators ## and a subfield $c$. When publication, etc., information changes, the indicators in that one 260 field need to be changed to 3# or the subfield $c$ needs to be moved to the new 260 field with indicators 3#.

  *LC practice*: The decision to apply the alternative for integrating resources is cataloger’s judgment.

**Subfield $s$3** (materials specified): give information to differentiate multiple 260 fields; use angle brackets if specific beginning and/or ending information is not known.
Application for multipart monographs*: volume numbering (found or assigned if creating a made-up set); optionally add dates of publication, distribution, etc., if helpful to clarify volumes published out of sequence

Application for serials*: usually chronological designations
Application for integrating resources: numbering assigned to updates if present; otherwise, publication dates

* Exception for multipart monographs and serials: subfield $3 can be omitted in the 260 field with indicators ## if the coverage of that information is clear from the other 260 field(s) and other data in the bibliographic record.

Order of 260 fields: Give fields in chronological order from earliest to latest.

Do not repeat specific changes of publication, etc., information in a 500 field. Use a 500 field if giving a general note about changes not given in an additional 260 field(s): e.g., “Place of publication varies.”

A. MULTIPART MONOGRAPHS and SERIALS

1. Creating the record for a multipart monograph or serial:
   a. Give the earliest publication, etc., information in a 260 field.

      \[260 \#\# \text{\$a Earliest place of publication, etc.} \quad \text{: \$b Earliest publisher, etc., \$c Beginning date}\]

2. Updating the multipart monograph or serial record because information has changed and the new information is necessary for identification or access:
   a. Modify the existing 260 field only to add subfield $3.

      \[260 \#\# \text{\$3 span for first publication statement:} \quad \text{\$a Earliest place of publication, etc.} \quad \text{: \$b Earliest publisher, etc., \$c Beginning date}\]

   b. Add another 260 field for the current publication, etc., information.
3. Updating the multipart monograph or serial record because information has changed again and the new information is necessary for identification or access:

   a. Do nothing to the 260 field with indicators ##.

   b. Modify the 260 field with indicators 3# to change the first indicator to “2” and close the span of information in $3.

   c. Add a third 260 field for the current publication, etc., information.

4. Updating the multipart monograph or serial record another time because information has changed again and the new information is necessary for identification or access:

   a. Do nothing to the 260 field with indicators ## or to the 260 field with indicators 2#.

   b. Modify the 260 field with indicators 3# to change the first indicator to “2” and close the span of information in $3.

   c. Add a fourth 260 field for the current publication, etc., information.

B. INTEGRATING RESOURCES

1. Creating the record for an integrating resource:

   a. Give the current publication, etc., information in a 260 field.¹

¹ See alternative for integrating resources on p. 1.
1.4 Appendix

260 3# $a Current place of publication, etc. : $b Current publisher, etc., $c Beginning date of integrating resource

2. Updating the integrating resource record because information has changed

   a. Revise the existing 260 field to give current information in subfields $a and $b and add subfield $3.

   260 3# $3 beginning information for this publication information: $a Current place of publication, etc. : $b Current publisher, etc., $c Beginning date of integrating resource

   b. If the earlier publication, etc., information is necessary for identification or access, give it in a new 260 field.

   260 ## $3 span for earlier publication information: $a Earlier place of publication, etc. : $b Earlier publisher, etc.

3. Updating the integrating resource record because information has changed again:

   a. Revise the existing 260 field with indicators 3# to give current information in subfields $3, $a, and $b.

   260 3# $3 beginning information for this publication information: $a Place of publication, etc. : $b Publisher, etc., $c Beginning date of integrating resource

   b. Do nothing to the existing 260 field with indicators ##.

   c. If the intervening publication, etc., information is necessary for identification or access, give it in a new 260 field:

   260 2# $3 span for intervening publication information: $a Intervening place of publication, etc. : $b Intervening publisher, etc.

4. Updating the integrating resource record another time because information has changed again:
a. Revise the existing 260 field with indicators 3# to give current information in subfields $3, $a, and $b.

```
260 3# $3 beginning information for this publication
information $a Current place of publication, etc.: $b Current publisher, etc., $c Beginning
date of integrating resource
```

b. Do nothing to the existing 260 field with indicators ##.

c. Do nothing to the existing 260 field with indicators 2#.

d. If the intervening publication, etc., information is necessary for identification or access, add it in a fourth 260 field:

```
260 2# $3 span for intervening publication information:
$a Intervening place of publication, etc.: $b Intervening publisher, etc.
```

**EXAMPLES**

**Numbered multipart monograph**

When first cataloged:

```
260 ## $a Chicago : $b ABC Publishers, $c 2009-
```

When publisher changes and the new information is necessary for identification or access:

```
260 ## $3 v. 1-3: $a Chicago : $b ABC Publishers, $c 2009-
260 3# $3 v. 4- : $a Chicago : $b DEF Publishers
```

When place and publisher changes and the new information is necessary for identification or access:

```
260 ## $3 v. 1-3: $a Chicago : $b ABC Publishers, $c 2009-
260 2# $3 v. 4-5: $a Chicago : $b DEF Publishers
260 3# $3 v. 6- : $a Boston : $b JKL Publishers
```

When publisher changes again and the new information is necessary for identification or access:
1.4 Appendix

260 ## $3 v. 1-3: $a Chicago : $b ABC Publishers, $c 2009-
260 2# $3 v. 4-5: $a Chicago : $b DEF Publishers
260 2# $3 v. 6-8: $a Boston : $b JKL Publishers
260 3# $3 v. 9- : $a Boston : $b RST Publishers

Serial

When first cataloged:

260 ## $a Denver : $b Smith Publishers, $c 2009-

When publisher changes and the new information is necessary for identification or access:

260 ## $3 July 2009-Jan. 2010: $a Denver : $b Smith Publishers, $c 2009-
260 3# $3 Apr. 2010- : $a Denver : $b North Publishers

When place and publisher changes and the new information is necessary for identification or access:

260 ## $3 July 2009-Jan. 2010: $a Denver : $b Smith Publishers, $c 2009-
260 2# $3 Apr. 2010-<July 2010>: $a Denver : $b North Publishers
260 3# $3 <July 2011->: $a Minneapolis : $b Carl Publishers

When publisher changes again and the new information is necessary for identification or access and the serial ceases in 2013:

260 2# $3 Apr. 2010-<July 2010>: $a Denver : $b North Publishers
260 2# $3 <July 2011>- Jan. 2013: $a Minneapolis : $b Carl Publishers
260 3# $3 Apr. 2013-July 2013: $a Minneapolis : $b Hall Publishers

Integrating resource

When first cataloged:

260 3# $a Seattle : $b Richards Co., $c 2009-

---

2 See alternative for integrating resources on p. 1.
1.4 Appendix

When publisher changes:

260 3# $3 2010- : $a Seattle : $b Haugen Co., $c 2009-

-- if the earlier publication, etc., information is necessary for identification or access, give it in a new 260 field:


When place and publisher change:

260 3# $3 2012- : $a Sacramento : $b Short Co., $c 2009-

-- if the intervening publication information is necessary for identification or access, give it in a new 260 field:

260 2# $3 2010-2011: $a Seattle : $b Haugen Co.

When publisher changes again:

260 2# $3 2010-2011: $a Seattle : $b Haugen Co.
260 3# $3 2014- : $a Sacramento : $b Long Co., $c 2009-

-- if the intervening publication information is necessary for identification or access, give it in a new 260 field:

260 2# $3 2012-2013: $a Sacramento : $b Short Co.

260 fields if all intervening information is included:

260 2# $3 2010-2011: $a Seattle : $b Haugen Co.
260 2# $3 2012-2013: $a Sacramento : $b Short Co.
260 3# $3 2014- : $a Sacramento : $b Long Co., $c 2009-
1.6. SERIES AREA

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4) Statement of in-house editor, etc.
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Republications

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Series Title Grammatically Connected to Title of Item

Motion Pictures, Television Programs, and Videorecordings

Introduction

Transcribe in the series area the title of any comprehensive publication (monographic series, other serial, multipart item, integrating resource) of which the item is a part.

Unless a specific category is mentioned, the term "series" in any of the 1.6 LCRIs means any of the comprehensive publications mentioned above.

Organization of the LCRIs on Series

This general LCRI (1.6) addresses situations in which a series statement should be given in a bibliographic record. LCRI 1.6A2 gives information about sources for the series statement. LCRIs for 1.6B-C, E-H deal with the separate data elements given in a series statement. LCRI 1.6J includes information about some special situations in which more than one series statement is given. The
intent is that these LCRIs deal with the series statement only as an area of bibliographic description; however, until a new introductory rule or LCRI is written for AACR2 Chapter 21, LCRI 1.6 and LCRI 1.6H will continue to include some information about the number of series headings appropriate to specific situations.

See AACR2 rule 21.30L and LCRI 21.30L for information about access points for series.

On June 1, 2006, LC announced a change in policy applying to all bibliographic resources (monographs, serials, and integrating resources) in series. LC now analyzes and classifies separately all parts of monographic series and of multipart monographs with the exception of those categories listed in LCRI 13.3.

As of the same date, LC does not give “controlled” access points for series in new LC original cataloging (CIP and non-CIP) bibliographic records, does not update series access points in existing bibliographic records, and does not consult, make, or update series authority records. (Exception for consulting SARS: see LCRI 25.5B for determining “conflict.”) LC will “pass through” the series information already in bibliographic records used by LC as copy (CIP/LC partner records, PCC records, and non-PCC (including Casalini) records).

PCC practice: Transcription of the series statement is mandatory if applicable. Searching for series authority records, tracing the series, and the creation and maintenance of series authority records are optional.

Series or Phrases

Distinguish between phrases that are true series and those that are not, with the latter sometimes included elsewhere in the bibliographic record (e.g., given as a quoted note) and sometimes not transcribed at all. A decision on series vs. phrase does not apply to such specific information as publishers' and plate numbers for printed music or publishers' stock numbers for sound recordings; such numbers are addressed in AACR2 rules 5.7B19 and 6.7B19.

PCC practice: If a decision concerning the phrase has not been recorded in the national authority file, base the current decision primarily on judgment. The guidelines below apply to some common situations; it is not a closed list. Generally, make SARS for categories 1) through 5).

1) If the phrase is essentially an unnumbered statement of the name of the body from which the item emanates, reject it as a series. Give the phrase as a quoted note if the name of the emanating body is not given elsewhere in the bibliographic record (e.g., in the publication, distribution, etc., area; in a note for the issuing body).

in source: An American Astronautical Society Publication
(Reject the phrase as a series; do not give it as a quoted note)
2) If the phrase is essentially a numbered statement of the name, initialism/acronym, or part of the name of the body from which it emanated and that body is not a commercial publisher, transcribe the information in the series area.

   \textit{in source:} Buckinghamshire Record Society No. 21

   \texttt{260 \#\# \$a Buckinghamshire Record Society ; \$v no. 21}

   (\textit{Reject the phrase as a series; do not give it as a quoted note})

3) If the phrase is essentially a numbered/unnumbered statement of the commercial publisher or includes a sub-imprint name or name of a subsidiary, a division, etc., of a publishing firm, reject it as a series. Give the phrase as a quoted note if the name is not given in the publication, distribution, etc., area.

   \textit{in source:} DAW Books No. 761

   \texttt{260 \#\# \$a New York : \$b DAW Books, \$c 1991.}

   (\textit{Reject the phrase as a series; do not give it as a quoted note})

   \textit{in source:} A Raccoon Pamphlet

   \texttt{260 \#\# \$a Memphis, Tenn. : \$b Raccoon Books, \$c 1982.}

   (\textit{Reject the phrase as a series; do not give it as a quoted note})

   \textit{in source:} An Interscience publication

   \texttt{260 \#\# \$a New York : \$b Wiley, \$c 1993.}

   \texttt{500 \#\# \$a "An Interscience publication."}

   (\textit{Reject the phrase as a series; give as a quoted note})

4) If the phrase includes the name of an in-house editor or the name or designation of some other official of the firm, etc., reject it as a series. Give the phrase as a quoted note.

   \texttt{500 \#\# \$a "A Helen and Kurt Wolff book."}

5) If a named lecture series appears on the item as a series title, i.e., it is not extracted from another context (e.g., other title information, prefatory material) and it has or is likely to have data that remain constant from issue to issue, treat the name of the lecture series as a series title. In case of doubt, do not treat the name as a series. If the name is rejected as a series, record the name in the title and statement of responsibility area or give the name with associated data in a quoted note.
6) Do not treat as a series a number that cannot be associated with a series title. Generally, do not treat as a series a combination of letters or letters and numbers that cannot be associated with a series title if there is evidence that the combination is assigned either to every item the entity issues for control purposes or to certain groups of items for internal control or identification. Give the information as a quoted note; do not give the note on a bibliographic record for a serial.

500 ## $a "UC-13."
500 ## $a "CRN 780206-00050."
500 ## $a "SP-MN."

7) If the phrase is a slogan, motto, prize, etc., reject it as a series. Give it as a quoted note if it appears on the chief source.

   in source: 25 años de paz
         (Reject the phrase as a series)

   in source: 50-letii pobody posviashchaetsia
         ("Dedicated to the 50th anniversary of the victory")
         (Reject the phrase as a series)

   in source: Workers of the whole world, unite!
         (Reject the phrase as a series)

   in source: Premio Casa de las Américas 1994
         (Reject the phrase as a series)

8) If an unnumbered genre/characterizing word in the singular form or plural form having singular meaning (e.g., "Novel," "Mystery," "Témoignage," "Essai," "Piano solo," "Graphics," "Multimedia") appears only on the cover or container, reject it as a series. Generally, do not give it as a quoted note.

9) If an unnumbered phrase indicating a broad subject or category (e.g., "Computers," "Etiquette," "Contemporary history," "Educational software") appears only on page 4 of cover or the flaps or on container, reject it as a series; the phrase is provided by the
publisher/manufacturer for retail stores, etc. Do not give it as a quoted note.

10) If the publisher's listing is subdivided into broad categories, generally do not consider the captions to be series titles unless (a) the same phrases appear elsewhere in the item as series titles, (b) the phrases include a word such as "series," "library," "collection," etc., or (c) there is other evidence of intent to consider the captions to be series titles (e.g., the titles listed under each caption are numbered sequentially). Do not give as quoted notes.

   in source:  Romans et nouvelles
               (listing of six titles with authors -- no numbering)
               Théorie et essais
               (listing of four titles with authors -- no numbering)
               (Reject both captions as series titles: words do not appear elsewhere as series titles)

   in source:  Vocal solos
               (listing of nine titles --- no numbering)
               Choral arrangements
               (listing of ten titles --- no numbering)
               Dance orchestrations
               (listing of three titles -- no numbering)
               (Reject all captions as series titles: words do not appear elsewhere as series titles)

Republications

When cataloging a republication, transcribe in the series area a series statement relating only to the republication.

490  $a Pierpont Morgan Library music manuscript reprint
     series
490  $a Reprints in Canadian history

In the bibliographic history note, transcribe, in parentheses, a series statement for the original series only if the original series statement was also reproduced in the republication. (Cf. LCRI 2.7B7)

Selected Issues of Periodicals Published Separately

Several publishers (e.g., Haworth Press, Pergamon Press) publish separate hardcover or softcover editions of selected issues of their periodicals.

LC/PCC practice: Do not consider such a separately published issue to constitute an integral part of the periodical, i.e., do not consider it an analyzable issue of the periodical. In the bibliographic record for the separately published issue, give the pertinent information as a note, not as a series statement. (Cf. LCRI 21.30G for the related work added entry.)
Supplements and Special Numbers to Serials

**Numbered supplements.** Treat a numbered supplement to a serial as a series.

*in source:* Supplement to Word monograph number 3

| 490 | $a Supplement to Word ; $v monograph no. 3 |

*in source:* Journal of Ultrastructure Research Supplement 7

| 490 | $a Journal of ultrastructure research. Supplement ; $v 7 |

**Special numbers and unnumbered supplements**

*LC/PCC practice:* Do not treat a special number or an unnumbered supplement to a serial as a series. Give the information in a note if it is not already recorded in the title and statement of responsibility area. (Cf. LCRI 21.30G for the related work added entry.)

| 500 | ## $a Special number of Malaysian journal of tropical geography. |
| 500 | ## $a "Supplemento all'Annuario statistico italiano"—T.p. verso. |
| 500 | ## $a "Allegato al n. 7/85 di Musica jazz." |

(*Note:* A supplement that is numbered only in relation to a particular number of a serial (e.g., supplements 1 and 2 to v. 10, no. 1 of the serial) is considered to be unnumbered since there isn't a separate numbering system for that supplement.)

Series Title Grammatically Connected to Title of Item

*LC/PCC practice:* If the series title is grammatically linked to the title of the item being cataloged, do not separate the series title from the latter. Record the grammatically-linked title as the title proper of the item; record information in the series area only if the series title is presented separately in another source in the item.

*title on t.p.:* Case Presentations in Heart Disease

*another source lists titles:* Case Presentations in Arterial Disease, Case Presentations in Clinical Geriatric Medicine, Case Presentations in Endocrinology and Diabetes, Case Presentations in Gastrointestinal Diseases, etc.

*no source in item giving series title "Case Presentations" separately*
100 1# $a Mackintosh, Alan.
245 10 $a Case presentations in heart disease
(no 4XX field)

Motion Pictures, Television Programs, and Videorecordings

Note: LC uses the cataloging manual Archival Moving Image Materials for its moving image materials cataloging. This manual has different guidelines than those in AACR2 about the choice and construction of titles proper and series titles.

PCC practice: Use AACR2 when cataloging motion pictures, television programs, and videorecordings. Do not request changes in LC’s bibliographic records for motion pictures, television programs, and videorecordings.
1.6B. TITLE PROPER OF SERIES

Inaccuracy in Title Proper of Series

Only Some Parts in a Series

Embedded Series Titles

Series Title in Two or More Languages or Scripts

Single Letter or Group of Letters at End of Title Proper

Recording Section Title Without the Title Common to All Sections

Single Series Statement Encompassing More Than One Series

Inaccuracy in Title Proper of Series

Do not correct an inaccuracy in the title proper of a series; transcribe such an inaccuracy according to rule 1.0F1. If the series title proper appears in full and in the form of an acronym or initialism in the prescribed source for the series area, the choice of which form to transcribe as series title proper in the series area is cataloger’s judgment.

PCC practice

When determining headings in series authority records for serials (including monographic series) and integrating resources: 1) if there is an inaccuracy in the title proper, correct that inaccuracy in the heading (rule 12.1B1); 2) if the series title appears in full and as an acronym or initialism in the prescribed source, use the full form in the heading (rule 12.1B2). For multipart monograph headings, correct any inaccuracy; the choice of full form vs. acronym or initialism in the heading for a multipart monograph is cataloger’s judgment.

Only Some Parts in a Series

If some parts of an item are issued in a series and the other parts are not, precede the series title with an indication of the particular parts to which the series title applies. Separate the indication of the particular parts from the series title with a colon-space.

300 $a 2 v. ; $c 28 cm.
490 $3 v. 1: $a Dance and dancers to-day ; $v 13

LC practice: When only some parts of an item are issued in a series and the series is
classified as a collection, include the call number of the series, enclosed in parentheses, at the end of the series statement.

490 $3 1974- : $a Alaska local government $1 (JS3.A4A64)
(Series statement is on a bibliographic record for a serial; numbering of volumes in series is not included)

Embedded Series Titles

If a series statement is not formally presented, select the series title proper carefully, ensuring that extraneous words the publisher did not intend to be part of the title proper are excluded.

p. preceding t.p.: Concrete city is the fourteenth volume in the Essential poets series published by Guernica Editions

490 $a Essential poets ; $v 14th v.

t.p. verso: This book is #9 in the DAMASCUS ROAD continuing series of modern writing and is published at 6271 Hill Drive, Wescosville, Route #2, Pennsylvania.

490 $a Damascus road ; $v #9

Series Title in Two or More Languages or Scripts

If a series title appears in two or more languages or scripts, choose as the title proper for the series statement the title that matches the language (or the clearly predominant language) of the particular item being cataloged. If this criterion cannot be applied, choose the first series title as the title proper. (For recording series titles in other languages or scripts as parallel titles, see AACR2 rule 1.6C and LCRY 1.6C.)

Single Letter or Group of Letters at End of Title Proper

When a letter or group of letters is presented in the item in combination with its numbering, determine whether the letter or letters constitutes a part of the title proper or part of the numbering system. Consider the letter or letters to constitute part of the title proper if, were the letter or letters omitted from the title proper and recorded as part of numbering, the resulting title would be identical to other series titles emanating from the same corporate body.

in source: Report-HTKK-TKO-A41
490 $a Report-HTKK-TKO-A ; $v 41

in source: Report-HTKK-TKO-B41
490 $a Report-HTKK-TKO-B ; $v 41

in source: Bulletin A1
490 $a Bulletin. A ; $v 1
When information is not available or in case of doubt, treat the letter or letters as part of the numbering system (cf. LCRI 1.6G).

**Recording Subseries Title When Main Series Title is Not Present**

AACR2 rule 12.1B6 stipulates that "If the title of a section or supplement is presented in the chief source of information without the title that is common to all sections, give the title of the section or supplement as the title proper." For purposes of recording information in the series area, "section" refers to a subseries title and "common title" generally refers to a main series title. In some cases, the title proper comprises a configuration that would be regarded as a designation of a subseries followed by the subseries title were a main series title present.

- Serie G, Estudios doctrinales
- Serie E, Varios
- Serie B, Forskningsrapporter

**LC/PCC practice:** When such configurations are being recorded in the absence of a main series title, use a dash (two adjacent hyphens) in place of a comma-space to make it absolutely clear that the entire configuration is the title.

- 490 $a Serie G—Estudios doctrinales ; $v 26
- 490 $a Serie E—Varios / Instituto de Investigaciones Jurídicas ; $v 8
- 490 $a Serie B--Forskningsrapporter / Yleisradio Oy., Suunnittelua ja tutkimusosasto ; $v 3

**Single Series Statement Encompassing More Than One Series**

When the series statement on the resource being cataloged encompasses more than one series, transcribe the information according to the guidelines below.

a) **Single series statement.** If the information is presented with no or minimal extraneous wording, transcribe it as a single series statement.
in source: Publicación núm. 3 del Centro de Estudios Bilbitanos y núm 750 de la Institución “Fernando el Católico”

b) Quoted note. If the information includes extraneous wording grammatically linked or not easily omitted, transcribe it as a quoted note.

500 $a “Ce volume fait également partie de ... Grandes publications tome XXIII, et de la collection des Cahiers de l’Association interuniversitaire de l’Est dont il constitue le no 21.”
1.6J. MORE THAN ONE SERIES STATEMENT

If some parts or issues of a multipart item or serial are published in one series and other parts/issues are published in another series, precede each series title with an indication of the particular parts or issues to which that series title applies. If a series has changed its title (cf. AACR2 21.2C and its LCRI), treat each title as a separate series. Separate the indication of the particular parts from the series title with a colon-space.

300 $a 5 v. ; $c 14 cm.
490 $3 v. 1, 3-5: $a Music bibliographies ; $v 12, 15, 21-22
490 $3 v. 2: $a Baroque musical studies ; $v 2
(Volumes of multipart item are in different series)

300 $a 10 v. : $b ill. ; $c 28 cm.
490 $3 v. 1-8: $a Anthropological monographs ; $v no. 23-30
490 $3 v. 9-10: $a Art and anthropological monographs ; $v no. 31-32
(Title of series changed with no. 31)

300 $a v. ; $c 28 cm.
490 $3 1969-1979: $a DHEW publication
490 $3 1980- : $a DHHS publication
(Title of series changed. Series statement is on a bibliographic record for a serial; numbering of volumes in series is not included)

If all the parts or issues appear in one series and some of the parts or issues appear also in another series, precede the second series title with an indication of the particular parts or issues applicable to that title.

300 $a 3 v. ; $c 20 cm.
490 $a Testi del Risorgimento ; $v 4-6
490 $3 v. 2: $a Saggi e documentazioni ; $v 17
LC practice: Routinely make notes on any special features or imperfections of the copy being described. Carefully distinguish between such copy-specific notes and other kinds of notes that record information valid for all copies of an edition. Introduce copy-specific notes with the phrase “LC copy ...” or “LC set ...” or “LC has ...” as appropriate. Formulate the note according to current cataloging conventions, including those for ending punctuation. Add the MARC Code List for Organizations (MCLO) code for LC (DLC) in subfield $5 at the end of the field without any ending punctuation. Generally do not make such notes for serials or integrating resources, use field 590 instead.

500 ## $a LC copy imperfect: all after leaf 44 wanting. $5 DLC
500 ## $a LC set incomplete: v. 12 wanting. $5 DLC
500 ## $a LC has 24 parts. $5 DLC
   (Item as issued has more than 24 parts)
500 ## $a LC set lacks slides 7-9. $5 DLC
500 ## $a LC has v. 1, 3-5, and 7 only. $5 DLC
500 ## $a LC has no. 20, signed by author. $5 DLC
500 ## $a LC has no. 145. $5 DLC

590 ## $a LC copy not updated.
   (Integrating loose-leaf publication)
2.7B17. SUMMARY.

_LC practice:_ Bibliographic records issued by the Library of Congress may include summaries, reviews, and abstracts from various sources, both internal and external. They are included either in MARC 21 field 520 (Summary, Etc.) or 856 (Electronic Location and Access). Those written by LC staff are not attributed. Those from other sources are enclosed within quotation marks (except those retained in records used for copy cataloging) and are attributed.

**Field 520**

Input **summaries written by LC staff** in field 520 with Indicator 1 set to **blank** (display constant “Summary”). Do not include statements of attribution.

**Background:** Records for which LC staff may write summaries include those:

1) originating in LC overseas offices (042 = lcode) to indicate the subject content of materials in languages not readily known in the U.S.;
2) for material intended for young readers most likely to be included in organized collections such as those found in school or public libraries (042 = lcaac);
3) for electronic resources.

Input **summaries obtained from external sources** in field 520 with Indicator 1 set to **blank** (display constant “Summary”), in quotation marks, and with attribution. Examples of these include:

1) those provided by publishers in the Cataloging in Publication (CIP) program; attribution:
   ... -- $c Provided by publisher.

2) those occurring in records supplied by vendors and used as the basis for LC original cataloging; attribution:
   ... -- $c Provided by vendor.

3) those taken directly from resources themselves; attribution:
   ... -- $c Unedited summary from book.

LC staff do not write reviews for bibliographic records. However, when officially sanctioned by LC management, they can be obtained from external sources. Input such **reviews** in field 520 with Indicator 1 set to **1** (display constant “Review:”), in quotation marks, and with attribution. Some examples of attribution are:

2) ... -- $c Handbook of Latin American Studies, v. 58. $u
http://www.loc.gov/hlas/

Input **abstracts** obtained from external sources (LC staff do not write abstracts for
bibliographic records) in field 520 with Indicator 1 set to 3 (display constant “Abstract:”), in
quotation marks, and with attribution. Some examples of attribution are:

1) ... -- $c World Bank Web site.

Retain **summaries, reviews, and abstracts already present** in field 520 in records used for
copy cataloging (042 = lccopycat, pcc, etc.). Retain any attribution already present. If none is
present, add the attribution:

... -- $c Source other than Library of Congress.

However, do not enclose the summary, review, or abstract within quotation marks.

**Field 856**

**Note:** Providing 856 links to publisher descriptions, reviews, summaries, etc. is generally
done as part of projects sanctioned by LC management.

Provide links to publisher descriptions in field 856 and include subfield $3 (Materials
specified) containing “Publisher description”.

Provide links to externally obtained reviews in field 856 and include a subfield $3 (Materials
specified) that characterizes the review and its source, for example:

*In link to externally obtained review:*

856 42 $3 Book review (H-Net) ...

Provide links to summaries in field 856 and include a subfield $3 (Materials specified) that
characterizes the summary and its source, for example:

*In link to summary scanned from book:*

856 41 $3 Unedited summary from book ...
12.6B1. SERIES STATEMENTS.

Serials: In Numbered Series

Record the number of the series in the series statement in the three situations listed below. *PCC practice:* Include the number in the series added entry for the first and second situations; see the specific instruction for the third situation. Examples illustrate PCC practice.

1) a single issue of a serial is in a series;

   490 $3 v. 1: $a Contributions in seismology ; $v no. 13
   830 $a Contributions in seismology ; $v no. 13.

2) a known span of issues of a serial is in a span of consecutive numbers of a series;

   490 $3 v. 1-4: $a Smithsonian miscellaneous collections ; $v v. 19-22
   830 $a Smithsonian miscellaneous collections ; $v v. 19-22.

3) all issues of the serial in the series carry the same number of the series. If part of the series number is the same on all issues of the serial, record only that part. *PCC practice:* include that part in the added entry for the series.

   830 $a KBL bulletin ;$v 101-2.

   490 $a DHEW publication ; $v no. (NCES)
   830 $a DHEW publication ; $v no. (NCES)

Serial Record for Subseries in Numbered Main Series

When a serial bibliographic record is created for a subseries in a numbered main series, also give the main series in the series area.

   245 $a Research papers in psychology. $p Behavior modification studies
   490 $a Research papers in psychology
13.3. ANALYSIS OF MONOGRAPHIC SERIES AND MULTIPART MONOGRAPHS.

**LC practice:**

On June 1, 2006, LC announced a change in policy applying to all bibliographic resources (monographs, serials, and integrating resources) in series. LC now analyzes and classifies separately all parts of monographic series and of multipart monographs with the exception of those categories listed at the end of this LCRI. As of the same date, LC does not give “controlled” access points for series in new LC original cataloging (CIP and non-CIP) bibliographic records, does not update series access points in existing bibliographic records, and does not consult, make, or update series authority records. (Exception for consulting SARS: see LCRI 25.5B for determining “conflict.”) LC will “pass through” the series information already in bibliographic records used by LC as copy (CIP/LC partner records, PCC records, and non-PCC (including Casalini) records).

**PCC practice:**

Transcription of the series statement is mandatory if applicable. Searching for series authority records, tracing the series, and the creation and maintenance of series authority records are optional.

**Monographic Series**

When a publication in a monographic series that is analyzed in full lacks a title other than that of the comprehensive title or has a title that is dependent on the comprehensive title, prepare a separate bibliographic record for that part, regardless of whether the part is numbered or not. Apply the following when preparing the record.

1) Transcribe the comprehensive title as the common title of the analytic.

2) If there is numbering only, transcribe the numbering as the section title; if there is numbering and also a dependent title, transcribe the numbering as enumeration (cf. 12.1B6) and the dependent title as the section title; if there is a dependent title but no numbering, transcribe the dependent title as the section title.

3) Do not formulate a series statement for the analytic record.

245 10 $a Studies in gypsy lore. $n Volume 25

245 10 $a Studies in 19th century French literature. $n Volume 57, $p Paris / $c edited ...

**Multipart Monographs**

1) **Classified separately.** When a part of a multipart monograph that is classified separately lacks a title other than that of the comprehensive title or has a title that is dependent on the
comprehensive title, prepare a separate bibliographic record for that part, regardless of whether the part is numbered or not. Apply the following when preparing the record:

a) Transcribe the comprehensive title as the common title of the analytic.

b) If there is numbering only, transcribe the numbering as the section title; if there is numbering and also a dependent title, transcribe the numbering as enumeration or alphabetic designation and the dependent title as the section title; if there is a dependent title but no numbering, transcribe the dependent title as the section title.

c) Do not formulate a series statement for the analytic record.

245 10 $a Recent trends in elementary education. $n Volume 1, $p Introduction

(1) Mixture of independent and dependent titles. If a multipart monograph consists of parts whose individual titles are a mixture, i.e., some are independent of the comprehensive title and some are dependent on it, give a regular series statement in the records of the analytics with independent titles.

The art of sewing
(An unnumbered multipart item)
Basic tailoring
(Title of analytic)

245 $a Basic tailoring / $c ...
300 $a 203 p. ; 26 cm.
490 $a The art of sewing

For the analytics with dependent titles, use the technique described in 1) above, i.e., do not give a series statement on the record for the analytic.

The sporting scene
(Title of analytic)

130 #0 $a Art of sewing. $p Sporting scene
245 14 $a The art of sewing. $p The sporting scene / $c ...
300 ## $a 203 p. ; $c 26 cm.

(2) All titles dependent titles: unnumbered. If all the titles of the parts are dependent on the comprehensive title and the multipart monograph is unnumbered, for the analytics transcribe the comprehensive title as the common title and the title of the part as a section title.

100 1# $a Bates, James D.
245 10 $a Minnesota legal forms. $p Probate / $c ...
Exceptions to the LC Series Policy to Analyze in Full and Classify Separately

A. The following categories will not be analyzed and will be classed as a collection; series authority records will not be consulted, created, or updated:
   1. Numbered multipart monographs with all parts lacking analyzable titles
   2. Unnumbered multipart monographs cataloged per “2A cataloging” guidelines (“2A cataloging” is a local LC practice to create a made-up collected set record for an unnumbered multipart monograph, assigning numbers to parts as received)
   3. Auction and sales catalogs
   4. Legal multipart monographs identified by LC’s Law Library
   5. Numbered monographic series already assigned these treatment decisions prior to June 1, 2006 (issues identified by presence of check-in records in acquisitions units)

B. The following category will not be analyzed and will not be classified; series authority records will not be consulted, created, or updated:
   1. Technical report series identified by LC’s Science, Technology, and Business Division or LC’s Asian Division and shelved in those divisions

C. The following categories will be analyzed in full but will be classed as a collection; series authority records will not be consulted, created, or updated:
   1. Scholarly collections of music historical sources eligible to be classed together in M2
   2. “Web access to monographic series” project in LC’s Social Sciences Cataloging Division
   3. Microform sets
   4. Proceedings of a single conference published in more than one volume with analyzable volume titles
   5. Legal monographic series and multipart monographs identified by LC’s Law Library

D. The following category will be analyzed in full: applies to analyzable parts and will be classed as a collection; series authority records will not be consulted, created, or updated.
   1. Complete editions of collected works of individual composers (classed in M3)
Prepare separate bibliographic records only\(^1\) for parts that meet one of the following criteria (applying the definition of musical work in 25.25A, footnote 9):

- a) A single part (in one or more physical volumes) contains a single musical work or a single excerpt from a work.
- b) A single part (in one or more physical volumes) contains two musical works or two excerpts from one or two works.

E. Existing LC shelflist records missing in LC’s database now being input to the database: the records will reflect the existing series decisions (i.e., presence or absence of controlled series access point; a classed-separately or a classed-as-a-collection call number) at the time the resource was cataloged originally.

\(^1\)The purpose of this restriction is to avoid analytic bibliographic records for parts of such sets that would themselves receive collective uniform titles under 25.34B or 25.34C.
21.30L. SERIES.

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Series Added Entry Guidelines (For PCC libraries only – the Library of Congress will no longer provide controlled series access)

LC practice:

On June 1, 2006, LC announced a change in policy applying to all bibliographic resources (monographs, serials, and integrating resources) in series. LC now analyzes and classifies separately all parts of monographic series and of multipart monographs with the exception of
those categories listed in LCRI 13.3. As of the same date, LC does not give “controlled” access points for series in new LC original cataloging (CIP and non-CIP) bibliographic records, does not update series access points in existing bibliographic records, and does not consult, make, or update series authority records. LC will “pass through” the series information already in bibliographic records used by LC as copy (CIP/LC partner records, PCC records, and non-PCC (including Casalini) records).

**PCC practice:**

Transcription of the series statement is mandatory if applicable. Searching for series authority records, tracing the series, and the creation and maintenance of series authority records are optional. As of June 1, 2006, the following instructions in this LCRI apply only to PCC participants.

All the material in the remainder of this LCRI assumes the series decision recorded on the series authority record is to "trace" the series.

Unless a specific category is mentioned, the term "series" in this LCRI means any of these comprehensive items: monographic series, other serial, multipart item, integrating resource.

See AACR2 rules for the series area (1.6 ff.) and related LCRI for information about series statements.

**Option Decision**

**PCC practice:** Apply the optional provision for adding the numeric, etc., designation of the series in the series added entry. Give it in the form established in the series authority record.

**Form of Series Added Entries**

Although a series statement may include a parallel title (1.6C), other title information (1.6D), a statement of responsibility (1.6E), or an ISSN (1.6F), the heading for a series consists only of one of the following: a title proper, a uniform title heading, a name heading/title proper, or a name heading/uniform title.

**Multipart Item in a Series**

If the parts of a multipart item are separately numbered within a series, give the numbers in the series added entry as they are given in the series statement (cf. LCRI 1.6G2) and in the form established in the series authority record.

**Integrating Resource in One or More Series**

Also provide an 8XX series added entry for any traced series included in a note. (Series
not present on the latest iteration will be given in the note area instead of in the series area — cf. rule 12.6B2 and 12.7B14.2b.)

existing record

260 ## $a Chicago : $b CJ Press, $c 1983-
490 1# $a Real estate professional series
830 #0 $a Real estate professional series

same record updated later

260 ## $a Chicago : $b CJ Press, $c 1983-
      no 490 field
500 ## $a Series title, 1983-1995: Real estate
      professional series.
500 ## $a Description based on: release 23, published
      in Jan. 1996.
830 #0 $a Real estate professional series.

existing record

490 1# $a Research in library acquisitions
500 ## $a Description based on: update 2, published in
830 #0 $a Research in library acquisitions

same record updated later

490 1# $a Library acquisitions
500 ## $a Series title <1991> : Research in library
      acquisitions.
500 ## $a Description based on: update 9, published in
      2000.
830 #0 $a Research in library acquisitions.
830 #0 $a Library acquisitions (Denver, Colo.)

Republications

If a republication contains the original series statement (transcribed in parentheses in the bibliographic history note—cf. LCRI 2.7B7), provide an 8XX series added entry for the series. Do not give a series added entry if the original series statement is lacking on the item even if information about the series is recorded in the bibliographic history note.

Numbering Grammatically Integrated with Series Title
If the numbering, etc., of the series volume is grammatically integrated with the series title (cf. rule 1.6B1), in the 8XX field omit the numbering from the title and record it in subfield $v.$

490 1# $a Publication number 22 of the Southwestern Iowa History Society
830 #0 $a Publication ... of the Southwestern Iowa History Society ; $v no. 22.

490 1# $a The twenty-sixth L. Ray Buckendale lecture
830 #0 $a L. Ray Buckendale lecture ; $v 26th.

490 1# $a 31. tom Biblioteki SIB
830 #0 $a Biblioteka SIB ; $v 31. t.

**More Than One System of Numbering**

If more than one system of numbering is transcribed in the series statement (cf. LCRI 1.6G), in the 8XX field subfield $v$ give the numbering in the system specified in the series authority record.

490 1# $a ___________ ; $v Bd. 6, Nr. 2 = Nr. 32
830 #0 $a ___________ ; $v Bd. 6, Nr. 2.

**Numbering Errors**

If the number has been corrected in the series statement (cf. rule 1.6G1), give the correct number in the series added entry.

490 1# $a Kieler historische Studien ; $v Bd. 24 [i.e. 25]
830 #0 $a Kieler historische Studien ; $v Bd. 25.

**Number Preceded by One or More Letters**

When the numbers of items in the same series are preceded by a letter or letters varying from item to item (cf. LCRI 1.6B and LCRI 1.6G), omit the letter or letters from the 8XX subfield $v.$

**in sources of different items in series:** D1, C2, SW3, F4, etc.
490 1 $a ___________ ; $v D1
830 #0 $a ___________ ; $v 1.

When the only information available is from the one item in hand, assume all items in that series will have the same letter(s) preceding the number until differing information is available. Record the letter(s) in subfield $v.$
Numbering for Publications of the U.S. Congress

In series added entries for publications of the U.S. Congress, give the numbering relating to the numbering of the Congress and Session as the first part of subfield $v$, followed by the number of the publication within that Congress and Session.

```
490 1# $a Mis. doc / 49th Congress, 1st Session, Senate ; $v no. 82
830 #0 $a Mis. doc (United States. Congress. Senate) ; $v 49th Congress, 1st session, no. 82.
```

Transcribe the information for series publications of other legislatures as above if the situations are the same.

Main Series and Indirectly Entered Subseries

1) Main series is unnumbered

Do not give a separate series added entry for the main series unless the main series has already appeared by itself on other publications. Instead, give a series added entry for the main series/subseries combination.

```
490 1# $a Progress in nuclear energy. Series V, Metallurgy and fuels
830 #0 $a Progress in nuclear energy. $n Series V, $p Metallurgy and fuels
490 1# $a University publications series. The social sciences ; $v no. 4
830 #0 $a University publications series. $p Social sciences ; $v no. 4.
```

Exception. If the main series appears on a later publication without any subseries, at that point establish the main series separately and make the series added entry separately for this and any other item showing only the main series. However, if later forms show both the main series and a subseries, use in the added entry only the form in which the subseries is already established.

2) Main series is numbered

Give two series added entries: one for the main series and one for the main series/subseries combination.
3) **Hierarchy of numbered and unnumbered main series/subseries**

If a hierarchy of main series and multiple subseries is involved and only some are numbered, treat the unnumbered ones under 1) above and the numbered ones under 2) above.

### Single Series Statement Encompassing More Than One Series

When a single series statement encompassing more than one series has been included in the bibliographic record either in the series area or as a quoted note, provide a series added entry for each traced series.

```
490 1# $a Publicación núm. 3 del Centro de Estudios Bilbitanos y núm 750 de la Institución “Fernando el Católico”
830 #0 $a Publicación ... del Centro de Estudios Bilbitanos ; $v no. 3.
830 #0 $a Publicación ... de la Institución “Fernando el Católico” ; $v no. 750.
500  $a “Ce volume fait également partie de ... Grandes publications tome XXIII, et de la collection des Cahiers de l’Association interuniversitaire de l’Est dont il constitue le no 21.”
830 #0 $a Collection “Grandes publications” ; $v t. 23.
830 #0 $a Cahiers de l’Association interuniversitaire de l’Est ; $v 21.
```

### One or Several Series Headings

1) **Language editions**

   a) **Numbered series.** Distinguish between series that are issued in two or more parallel editions, i.e., complete editions in each language for which separate records and the use of uniform titles (cf. AACR2 25.3C3) are appropriate and those that are issued variously in two or more languages but for which no separate editions of the series exist in any language. If no separate editions exist, establish a single heading, basing it on the first item in the series; if the first item is not available, base the heading provisionally on the earliest item available.
first/earliest item itself is issued in several languages, choose the language of the title proper of the series according to the provisions of AACR2 1.0A3. In case of doubt, assume that a single edition exists.

b) Unnumbered series. If the language of the title of the series varies, generally establish separate headings for each language form and connect the headings by simple see also references. Do not assign AACR2 25.3C uniform titles.

2) Changes in numbering (addition, omission, etc.)

a) Single series. Consider that a single series exists if

   (1) a numbered series has some random issues lacking numbering;

   (2) a series first issued as unnumbered later has numbers and the numbering system takes into account the previous unnumbered issues (e.g., the first ten issues were published without numbering; numbering starts with "volume 11").

   (3) a numbered series begins a new sequence of numbering either with or without wording such as “new series.” (See 1.6G1 and its LCRI.)

b) Multiple series. Consider that multiple series exist if

   (1) an unnumbered series becomes numbered and the numbering system excludes the previous unnumbered issues;

   (2) a numbered series becomes unnumbered.

c) In case of doubt, consider the series to be a single series until other differing information is available.

3) Different physical media

   a) Single series. Consider that a single series exists if the physical medium varies within the series (not a change from only one medium to only a different medium).

   b) Successive entry of a single monographic series. If there is a change from only one medium to only a different medium, create a successive entry heading for the monographic series published in the different medium (cf. LCRI 21.3B).

   c) Multiple series. Consider that multiple series exist if all parts of the series are each published in two or more different physical media. If the headings for the series are the same, add a qualifier to break the conflict in the headings. If the headings are not the same, connect the headings by simple see also references.

   d) In case of doubt, consider the series to be a single series until other differing information is available.
26.1. GENERAL RULE.

Scope

In general, apply the guidelines in LCRI 26 when formulating the reference structure for new authority records and in evaluating references on existing authority records.

Normalization

Do not trace a see reference that would normalize to the same form as the heading or see reference on the same record or to the same form as a heading on another record.

Forms of References

In general, construct a reference in the same form in which it would be constructed if chosen as the heading. However, because the LC LC/NACO Authority File includes headings constructed according to earlier practices, in some cases references must be formulated to "match" the existing heading. Specific instructions are below.

1) Personal names

a) Dates. Include dates in references if they have been included in the heading. If the form of reference conflicts with an established heading, resolve the conflict by using dates in the reference, even if they are not used in the heading.

b) Initials. In references containing initials, generally include in parentheses the full form of the name represented by the initial(s) when known. However, if the initial is represented in the heading without the full form being given there, make the reference "match" the heading.

| 100 1#  | $a Boudin, Eugène, $d 1824-1898          |
| 400 1#  | $a Boudin, E. $q (Eugène), $d 1824-1898 |
| 400 1#  | $a Boudin, L. E. $q (Louis Eugène), $d 1824-1898 |
| 400 1#  | $a Boudin, Louis Eugène, $d 1824-1898    |
| not     | 100 1# $a Hays, James D., $d 1926-         |
| 400 1#  | $a Hays, J. D. $q (James D.), $d 1926-     |

Do not combine different language forms or romanizations.

| 100 1#  | $a Arnol’dov, Arnol’d Isaevich          |
| 400 1#  | $a Arnoldow, A. I.                      |
| not     | 400 1# $a Arnoldow, A. I. (Arnol’d Isaevich) |
c) **Titles/epithets.** Include titles and epithets used in the heading in the reference unless, for titles, they are not appropriate to the form in the reference, or, for epithets, the purpose is to refer from a form containing a different epithet. For variant language forms for a person entered under surname, include titles of nobility and terms of honor and address in the form found in the source for the reference. If the source for the reference does not include the title, etc., use in the reference the term used in the heading, although it is in a different language.

```
100 0# $a William, $c of Auvergne, Bishop of Paris, $d d. 1249
400 0# $a Guillaume, $c d'Auvergne, Bishop of Paris, $d d. 1249

100 0# $a Maria, Mother, $d 1912-1977
400 0# $a Gysi, Lydia, $d 1912-1977

100 0# $a Gruoch, $c Queen, consort of Macbeth, King of Scotland
400 0# $a Gruach, $c Queen, consort of Macbeth, King of Scotland
400 0# $a Macbeth, $c Lady

100 1# $a Custine, Astolphe, $c marquis de, $d 1790-1857
400 1# $a KÜstin, Adolf, $c markiz de, $d 1790-1857

100 1# $a Aufsess, Hans Max, $c Freiherr von und zu
400 1# $a Aufsess, H. M. $q (Hans Max), $c Freiherr von und zu
```

d) **Compatible headings.** In August 2007, the policies on “AACR2 compatible” headings were relaxed by introducing guidelines permitting the revision of “AACR2 compatible” headings but requiring the revision under certain circumstances. See LCRI 22.1 for additional details.

Additional examples illustrating formats of references (full reference structure not necessarily shown):

```
100 1# $a Scottow, Joshua, $d 1618-1698
400 0# $a J. S. $q (Joshua Scottow), $d 1618-1698

100 1# $a Sassoon, Siegfried, $d 1886-1967
400 0# $a Author of Memoirs of a fox-hunting man, $d 1886-1967
400 0# $a Memoirs of a fox-hunting man, Author of, $d 1886-1967

100 1# $a Evans-Pritchard, E. E. $q (Edward Evan)
400 1# $a Pritchard, E. E. Evans- $q (Edward Evan Evans-)
```
26.3. GEOGRAPHIC NAMES AND NAMES OF CORPORATE BODIES.

Follow these principles for new headings and as closely as possible in evaluating references on existing authority records and in creating automated authority records for headings found in the machine files (or in the manual catalog, if consulted). When the particular reference structure has already been evaluated, it is not necessary to delete references not provided for by these instructions but provided for by earlier editions of these instructions.

Generally, trace a reference from variant forms of entry that apply to the name chosen for the heading. However, do not trace a reference that would normalize to the same form as the heading or see reference on the same record or to the same form as a heading on another record. For variant forms of a name, generally, trace only one reference from each variant, normally, constructing it in the form in which it would be constructed if chosen as the heading. If, however, the name chosen for the heading is not in English and the name occurs in an English form, also trace references from the variant forms of entry that apply to the English form. (If, in such a case, there are multiple English forms, choose only one of the English forms to use in tracing references from the different forms of entry.)

```
110 2# $a Fogg Art Museum
410 2# $a William Hayes Fogg Art Museum
410 2# $a Harvard University. $b Fogg Art Museum

not also
410 2# $a Harvard University. $b William Hayes Fogg Art Museum

110 1# $a Wyoming. $b Mineral Development Division
410 1# $a Wyoming. $b Mineral Division
410 1# $a Wyoming. $b State Dept. of Economic Planning and Development. $b Mineral Development Division

not also
410 1# $a Wyoming. $b State Dept. of Economic Planning and Development. $b Mineral Division

110 2# $a Museum für Indische Kunst (Germany)
410 2# $a Museum of Indian Art (Germany)
410 2# $a Staatliche Museen Preussischer Kulturbesitz. Museum für Indische Kunst
410 2# $a Staatliche Museen Preussischer Kulturbesitz. Museum of Indian Art
```
Earlier Names of Corporate Bodies Now Subsumed Under the Heading for a Later Name Through a "See" Reference

Under earlier cataloging policies, when a corporate body changed its name, the heading was changed and all records revised to use the newer name. The earlier name or names was traced as a see reference to the later form used as the heading. In retrospectively converted records, these situations were identified by a note in the 667 field, e.g., "The following earlier name is a valid AACR2 heading: [earlier name or names in AACR2 form]."

When evaluating references, allow these see references to stand (correcting the form to AACR2 style, if necessary) until an item is received that requires the use of one of these earlier names as an access point. At that time, establish the heading, convert the see reference to a see also reference, and delete the 667 field note. Also, make any other necessary references (cf. LCRI 26.3B-C). Do not change any existing MARC or non-MARC bibliographic records.
110 2# $a National Rehabilitation Association
410 2# $a National Civilian Rehabilitation Conference (U.S.)
667 ## $a The following earlier name is a valid AACR2 heading: National Civilian Rehabilitation Conference (U.S.)

Retain the reference and note until publications are received that would require the use of the heading "National Civilian Rehabilitation Conference (U.S.)."

110 2# $a Granville Airplane Company
410 2# $a Granville Brothers Aircraft, inc., Springfield, Mass.
667 ## $a The following earlier name is a valid AACR2 heading: Granville Brothers Aircraft, Inc.

Correct the reference to the AACR2 form (Granville Brothers Aircraft, Inc.) and retain it and the note until publications are received that require the use of the heading "Granville Brothers Aircraft, Inc."

Unused Subdivisions

Under earlier cataloging policies, certain corporate subdivisions were not established. Instead, the heading for the parent body was used whenever the subheading would have been used. Usually, an internal LC reference was made from the unused subdivision to the heading for the parent body. In earlier periods of this practice, the unused subdivisions were only listed on the manual authority records for the parent body or were given in a form explanatory reference.

In the automated system, these unused subdivisions are identified by the following statement in the 667 field:

667 ## $a Unused subdivision: [list of subdivisions, e.g., Administrative Branch; Personnel Section; Research Unit]

Whenever one of these unused subdivisions is to be used in current cataloging, establish the subdivision and use it henceforth in all cataloging for which it is appropriate, leaving the existing bibliographic records as they are. Do not make any references between the two headings for the parent and the subdivision, and cancel any references that may already exist in the automated name authority file. Delete the name of the subdivision from the 667 field of the authority record for the parent body.

Information/Publication Agencies

Under AACR1, rule 18A1, references were made to a parent body from subordinate units that functioned as information or publication agencies. Whenever one of these agencies is encountered
in current cataloging, use it henceforth in all cataloging for which it is appropriate, leaving the existing bibliographic records unchanged. Do not make any reference between the two headings for the parent body and the information/publication agency, and cancel any references that may already exist in the automated name authority file.

If the information/publication agency has been established separately, apply these instructions both when the information/publication agency is needed for immediate use as a heading and when it is not (e.g., it is encountered in handling the authority record for the parent body). If, however, the information/publication agency has only been traced as an unused subdivision reference on the manual authority record for the parent body, handle it according to the instructions above for unused subdivisions.
26.5A. REFERENCES TO ADDED ENTRIES FOR SERIES AND SERIALS. SERIES.

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Introduction

*LC practice:*

On June 1, 2006, LC announced a change in policy applying to all bibliographic resources (monographs, serials, and integrating resources) in series. LC now analyzes and classifies separately all parts of monographic series and of multipart monographs with the exception of those categories listed in LCRI 13.3. As of the same date, LC does not give “controlled” access points for series in new LC original cataloging (CIP and non-CIP) bibliographic records, does not update series access points in existing bibliographic records, and does not consult, make, or update series authority records. (Exception for consulting SARS: see LCRI 25.5B for determining “conflict.”) LC will “pass through” the series information already in bibliographic records used by LC as copy (CIP/LC partner records, PCC records, and non-PCC (including Casalini) records).

*PCC practice:*

Transcription of the series statement is mandatory if applicable. Searching for series authority records, tracing the series, and the creation and maintenance of series authority records are optional. As of June 1, 2006, the following instructions in this LCRI apply only to PCC participants creating and maintaining series authority records.
Although rule 26.5A addresses only see references for traced series, this LCRI contains guidelines for see and see also references on series authority records for all categories represented by such authority records (monographic series, other serials, multipart items, series-like phrases) regardless of local treatment decisions concerning analysis, classification, and tracing practices. Unless a specific category is mentioned, the word "series" in this LCRI applies to all four categories.

N.B.: Full reference structure is not given for each example.

If an added entry is needed for a series in a bibliographic record, or if the heading for a series is needed as part of a heading/reference in a name/series authority record, use the heading on the series' own AACR2 bibliographic record or authority record.

General Guidelines for See References

In title references (subfield $a, subfield $n, and subfield $p) and title portions of name/title references, omit an initial article unless it is to be filed on.

Add a qualifier to a reference if it conflicts with the heading of another publication according to the guidelines in LCRI 25.5B.

Add the qualifier "(Series)" to a reference if it is identical to a personal or corporate (including geographic) name (cf. LCRI 25.5B).

Do not break a conflict between see references.

When using an existing series authority record, add any appropriate references not already in the record. Do not delete references made according to earlier policies.

Types of See References

1) Alternative forms not selected as series heading

   a) Heading is uniform title

      (1) Give a name/title proper reference for the situations listed below. If the heading includes a parenthetical qualifier, do not include that qualifier when recording the title proper in the reference.

      (a) when a body responsible for the series is a noncommercial one or is a commercial one whose responsibility extends beyond that of merely publishing the series; in this context, consider university presses as "commercial";

         130 #0 $a Environmental sciences and application
         410 2# $a United Nations Institute for Training and Research. $t Environmental sciences and application
(b) when the name, an initialism/acronym, or part of the name of a corporate body is in the series title even if that body is not responsible for the series; however, do not make the reference if the body is a commercial publisher responsible only for publishing the series;

(c) when the title of a numbered series consists solely of a form of a corporate body's name whether or not that form of name is identical with the name of the corporate body given as the heading on the body's name authority record.
of Psychology

(2) Give a reference from title proper when it differs from the uniform title except when the difference is the addition of a language name or a parenthetical qualifier.

130 #0 $a EDI policy seminar report. $l Spanish
430 #0 $a Informe de un seminario de política del IDE

130 #0 $a Information (Zurich, Switzerland). $l French
   (reference not given from title proper in English: Information)

130 #0 $a Skrifter (Dansk folkemindesamling)
   (reference not given from title proper: Skrifter)

b) Heading is name/title proper

(1) Give a reference from title proper.

110 2# $a Library of Congress. $b Manuscript Division.
   $t Registers of papers in the Manuscript Division of the Library of Congress
430 #0 $a Registers of papers in the Manuscript Division of the Library of Congress

100 1# $a Breuil, Henri, $d 1877-1961. $t Rock paintings of southern Africa
430 #0 $a Rock paintings of southern Africa

(2) Give a reference from name/title proper for another person or corporate body sharing responsibility for the series. (Generally, do not give a reference from a body when the heading is under a personal name.)

100 1# $a Brenner, Barbara. $t Hide and seek science
400 1# $a Chardiet, Bernice. $t Hide and seek science

c) Heading is name/uniform title

(1) Generally, give a reference from title proper.

100 1# $a James, Henry, $d 1811-1882. $t Selections. $f 1983
430 #0 $a Selected works of Henry James, Sr. $f 1983
(2) Generally, give a reference from name/title proper when the title proper differs from the uniform title except when the difference is the addition of a language name, a date, or a parenthetical qualifier.

100 1# $a Sterne, Laurence, $d 1713-1768. $t Works. $f 1978
430 #0 $a Florida edition of the works of Laurence Sterne. $f 1978

100 1# $a James, Henry, $d 1811-1882. $t Selections. $f 1983
430 #0 $a Selected works of Henry James, Sr. $f 1983
400 1# $a James, Henry, $d 1811-1882. $t Selected works of Henry James, Sr. $f 1983

100 1# $a Sterne, Laurence, $d 1713-1768. $t Works. $f 1978
430 #0 $a Florida edition of the works of Laurence Sterne. $f 1978
400 1# $a Sterne, Laurence, $d 1713-1768. $t Florida edition of the works of Laurence Sterne. $f 1978

(3) Give a reference from name/title proper for another person or corporate body sharing responsibility for the series. (Generally, do not give a reference from a body when the heading is under a personal name.)

100 1# $a Landau, L. D. $q (Lev Davidovich), $d 1908-1968. $t Teoreticheskaia fizika
400 1# $a Lifshits, E. M. $q (Evgenii Mikhailovich), $d 1908- $t Teoreticheskaia fizika

2) Variants of title proper in another source in same/another issue

Give a reference from a variant title found in the same item or found in another item with the same series title proper. Construct the reference in the same form, title or name/title, that would be used as the heading; generally, do not give references that are variations of these references.

a) Parallel titles. Give references for all parallel titles. If the heading is a main series and subseries, use the same language, when present, for all parts of the parallel main series/parallel subseries reference(s).
b) Other titles. If important for access to the heading, give a reference from another form of the series title proper found on another source (e.g., on cover, on spine, on map panel, on label) of the same item or on another source of another item having the same series title proper.

3) Partial titles

Construct the reference in the form, title or name/title, that would be used as the heading; generally, do not give references that are variations of these references.

a) Typographical prominence. Give a reference when part of the series title is given typographical prominence.
b) Subseries or section title. Give a reference from the subseries or section title unless that title is dependent on the main/common title or is misleading without that title. Do not include a preceding designation in the reference.

130 #0 $a Petite bibliothèque. $n Série C, $p Science récréative
430 #0 $a Science récréative

130 #0 $a Soviet scientific reviews supplement series. $p Physiology and general biology
430 #0 $a Physiology and general biology

130 #0 $a Contributions in political science. $p Soviet and American studies on the Third World
430 #0 $a Soviet and American studies on the Third World

c) Generic noun. Give a reference from the series title or subseries/section title omitting the introductory generic noun (e.g., Serie, Collection, Schriftenreihe) when that noun is followed by a noun or noun phrase. If the partial title reference would consist only of a proper name, add the qualifier "(Series)" to that reference.

130 #0 $a Schriftenreihe Christliche Perspektiven im Sport
430 #0 $a Christliche Perspektiven im Sport

130 #0 $a Colección Documentos (Universidad Nacional del Litoral)
430 #0 $a Documentos (Universidad Nacional del Litoral)

130 #0 $a Coleção "Paulo Freire"
430 #0 $a Paulo Freire (Series)

d) Person's forename, initial, or title. When the series title begins with a person's forename(s), initial(s), or title, give a reference

from the surname and the remainder of the title;
from the forename(s) and/or initial(s) and surname and remainder of the title.
4) Variations in title proper that are not “major changes” (applies only to monographic series, other serials, and series-like phrases)

When the title proper or series-like phrase found on an earlier or later issue differs from the form used in the heading, give a see reference from the earlier/later form if the difference does not constitute a major change (cf. AACR2 21.2C and LCRI 21.2C). Construct the reference in the form, title or name/title, that would be used as the heading; generally, do not give references that are variations of these references.

130 #0 $a Dr. Leonard P. Schultz ichthyological reprint
430 #0 $a Schultz ichthyological reprint
430 #0 $a Leonard P. Schultz ichthyological reprint

130 #0 $a Veröffentlichungen des Kölnischen Geschichtsvereins e.V.
430 #0 $a Veröffentlichung des Kölnischen Geschichtsvereins e.V.
(later issue had title: Veröffentlichung des Kölnischen Geschichtsvereins e.V.; singular/plural change isn’t a major change)

130 #0 $a Bulletin (Southern Humanities Conference)
430 #0 $a Bulletin of the Southern Humanities Conference
(later issues had title: Bulletin of the Southern Humanities Conference; same body's name added to or subtracted from the title isn’t a major change)

130 #0 $a Wiley series in psychology of crime, policing, and law
430 #0 $a Wiley series in the psychology of crime, policing, and law
(later issue had title: Wiley series in the psychology of crime, policing, and law; addition/omission of article isn’t a major change)

130 #0 $a Ankara Üniversitesi Hukuk Fakültesi Yayınları. $p Döner sermaye yayınları
430 #0 $a Ankara Üniversitesi Hukuk Fakültesi yayınları. $p AÜHF döner sermaye yayınları
(later issue had title: Ankara Üniversitesi Hukuk Fakültesi yayınları. AÜHF döner sermaye yayınları; addition of body's initialism isn’t a major change)

130 #0 $a Seria "Bibliofil’skie redkosti"
430 #0 $a Bibliofil’skie redkosti
(addition/deletion of type of resource isn’t a major change)
5) **Fluctuating titles**

   a) **Different languages.** If the language of the numbered monographic series title proper on later issues varies according to the language of the text, give a reference from the series title proper in the other language(s). (Cf. LCRI 21.2C.) However, if there are parallel editions in different languages, establish separate headings (cf. LCRI 1.6).

   | 130 #0 $a Taschenbücher zur Musikwissenschaft |
   | 430 #0 $a Pocketbooks of musicology |

   b) Regular pattern. If the title proper on later issues of a monographic series varies according to a regular pattern, give a reference from that later title.

6) **Other situations**

   a) **Substitutions.** Use judgment when deciding whether a reference that is a modification of the form used in the heading (spelled out form for an abbreviation, word for symbol, word for numeral, arabic numeral for roman numeral, two words for compound word, initialism without periods for initialism with periods, etc.) is appropriate. Consider whether users might expect that form to have been the established form and whether the substitution would occur in the first five words. Construct the reference in the form, title or name/title, that would be used as the heading; generally, do not give references that are variations of these references.

   | 130 #0 $a Mathématiques & applications |
   | 430 #0 $a Mathématiques et applications |

   | 130 #0 $a Advances in colour chemistry series |
   | 430 #0 $a Advances in color chemistry series |

   | 130 #0 $a Database search aids |
   | 430 $0 $a Data base search aids |

   b) **Other title information.** Give a reference from the other title information when it could be construed as the series title proper or subseries title. When an acronym or initialism of the title proper of a serial or an integrating resource is present (cf. AACR2 12.1B2), give a reference from the acronym or initialism.

   | 130 #0 $a Worldly philosophy |
   | 430 #0 $a Studies at the intersection of philosophy and economics |

   *(Later issue had series subtitle: Studies at the intersection of philosophy and economics)*

   | 130 #0 $a Österreichische Schriftenreihe zum gewerblichen Rechtsschutz, Urheber- und Medienrecht |
   | 430 #0 $a ÖSGRUM |
c) Title of series/serial.

Give a reference from the name of the main series (in its AACR2 form) and subseries when a subseries is not entered subordinately to the main series (cf. LCRI 1.6H).

130 #0 $a De signo
430 #0 $a Collana Sapiens. $p De signo
(Subseries De signo is not entered subordinately to the main series; no source in preliminaries or publisher's listing in item has both main series title Collana Sapiens and subseries title)

Give a reference from the name of the serial (in its AACR2 form) and title of series when the name of a serial is contained in the series title proper.

130 #0 $a Quaderni della Rivista italiana di musicologia
430 #0 $a Rivista italiana di musicologia. $p Quaderni della Rivista italiana di musicologia
(Rivista italiana di musicologia is a separately published serial)

d) Multipart item: change of title or change in person or body responsible. If the title proper changes (AACR2 21.2A1), give a reference from the later title. If the person or body responsible for the multipart item changes (AACR2 21.3A2), give a name/title proper reference from the later person or body.

e) Romanization/word division. Give a reference from a form representing another romanization or word division policy.

130 #0 $a Jibi inkoka rinsho
430 #0 $a Jibiinkoka rinsho

f) Change in parenthetical qualifier not requiring a new record. If there is a change in parenthetical qualifier in the series heading and a new record is not required (cf. LCRI 25.5B), give a reference from the series title proper and the changed qualifier if it would help in identification.

130 #0 $a Wissenschaftliche Reihe (Husum, Schleswig-Holstein, Germany)
430 #0 $a Wissenschaftliche Reihe (Nienburg, Germany)

g) Introductory words to title proper. If introductory words to the title proper were not transcribed as part of the title proper in the heading (1.1B1), give a reference from the title including those words.
130 #0 $a Easy handcrafts series
430 #0 $a Scandinavian heritage presents easy handcrafts series

h) Correction of title proper of a serial or an integrating resource. If the title proper in the heading has been transcribed in a corrected form (cf. AACR2 12.0F, 12.1B1), give a reference for the title as it appears on the serial or integrating resource.

130 #0 $a Studies in American art
430 #0 $a Studies in American art

i) Pre-AACR2 form of heading. Optionally, include information about the pre-AACR2 form of heading in the series authority record. If there is a one-to-one relationship, give a reference from the pre-AACR2 form of heading and code subfield $w appropriately. If there is not a one-to-one relationship or if the reference normalizes to the same form as a heading or another reference, give the pre-AACR2 heading information in a note.

130 #0 $a Bulletin of the Scripps Institution of Oceanography, University of California, San Diego
410 1# $w nnaa $a California. $b University. $b Scripps Institution of Oceanography, La Jolla. $t Bulletin

130 #0 $a APA private practice series
667 ## $a Includes the old catalog headings: American Psychological Association. APA private practice series; American Psychological Association. A.P.A. private practice series
   (made-up example; not a one-to-one relationship: only one heading needed for AACR2 but two headings needed earlier)

130 #0 $a Monograph (International Violin, Guitar Makers & Musicians Association)
667 ## $a Previous to AACR2 covered by the heading: International Violin, Guitar Makers & Musicians Association. Monograph
   (made-up example; not a one-to-one relationship: two headings needed for AACR2; other heading: Monograph (Violin, Guitar Makers & Musicians Association); only one heading needed earlier because name change of body was handled via latest entry cataloging)

130 #0 $a Journal of mathematical biology. $p Supplement
667 ## $a Old catalog heading: Journal of mathematical biology : supplement
j) Miscellaneous. Give any other see reference not already mentioned if it is important for access to the heading.

130 #0 $a Discussion paper (University of East Anglia. School of Development Studies)
430 #0 $a Development studies discussion paper
(Cataloger’s judgment whether series title should be recorded as Discussion paper or Development studies discussion paper based on presentation; reference given from form not chosen as approach to the heading for someone who would have chosen the other form as series title)

General Guidelines for See Also References

If the earlier or later heading is not represented by its own series authority record, give the earlier or later information in a note instead of giving a see also reference. End such a note with the label "[unevaluated heading]."

130 #0 $a Occasional paper (University of Singapore. Dept. of Political Science)
667 ## $a Continues: Singapore (City). University. Dept. of Political Science. Occasional paper series - Department of Political Science, University of Singapore [unevaluated heading]

See Also References by Category of Series Authority Record

1) Monographic series and other serials

If a new series authority record is required by AACR2 21.2C or 21.3B and related LCRIs, connect the series authority records for the earlier and later headings with see also references.

For numbered monographic series, code subfield $w to indicate whether the reference is for the earlier or later heading. Generally, do not code subfield $w for unnumbered monographic series.

130 #0 $a Royal Institute of Philosophy lectures
530 #0 $w b $a Royal Institute of Philosophy supplement

130 #0 $a Royal Institute of Philosophy supplement
530 #0 $w a $a Royal Institute of Philosophy lectures

130 #0 $a Weidenfeld psychology series
530 #0 $a Weidenfeld modern psychology series
(series is unnumbered: subfield $w is not coded)
26.5A

130 #0 $a Weidenfeld modern psychology series
530 #0 $a Weidenfeld psychology series
(series is unnumbered: subfield $w is not coded)

2) Multipart items

Remember that a change in main entry heading or title of a multipart item is handled with a see reference (see 6)d) above).

3) Series-like phrases

If a new series authority record is required by AACR2 21.2C or 21.3B and related LCRIs, connect the series authority records for the earlier and later headings with see also references. Generally, do not code subfield $w.

130 #0 $a Golden Press book
530 #0 $a Golden Press modern book

130 #0 $a Golden Press modern book
530 #0 $a Golden Press book
LIBRARY OF CONGRESS RULE INTERPRETATIONS (LCRI)

Cumulative list of currently valid LCRI to the *Anglo-American Cataloguing Rules*, second edition, 2002 revision.

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