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Introduction

This LCRI represents *LC/NACO practice*.

Although rule 26.5A addresses only see references for traced series, this LCRI contains guidelines for see *and* see also references on series authority records for *all* categories represented by such authority records (monographic series, other serials, multipart items, series-like phrases) *regardless* of local treatment decisions concerning analysis, classification, and tracing practices. Unless a specific category is mentioned, the word "series" in this LCRI applies to all four categories.

N.B.: Full reference structure is *not* given for each example.

If an added entry is needed for a series in a bibliographic record, or if the heading for a series is needed as part of a heading/reference in a name/series authority record, use the heading on the series' own AACR2 bibliographic record or authority record.

General Guidelines for See References

In title references (subfield \$a, subfield \$n, and subfield \$p) and title portions of name/title references, omit an initial article unless it is to be filed on.

Add a qualifier to a reference if it conflicts with the heading of another publication according to the guidelines in LCRI 25.5B.

Add the qualifier "(Series)" to a reference if it is identical to a personal or corporate (including geographic) name (cf. LCRI 25.5B).

Do *not* break a conflict between see references.

When using an existing series authority record, add any appropriate references not already in the record. Do *not* delete references made according to earlier policies.

Types of See References

1) *Alternative forms not selected as series heading*

a) *Heading is uniform title*

(1) Give a name/title proper reference for the situations listed below. If the heading includes a parenthetical qualifier, do *not* include that qualifier when recording the title proper in the reference.

(a) when a body responsible for the series is a noncommercial one or is a commercial one whose responsibility extends beyond that of merely publishing the series; in this context, consider university presses as "commercial";

```
130 #0 $a Environmental sciences and application
410 2# $a United Nations Institute for Training and
      Research. $t Environmental sciences and
      application
```

```
130 #0 $a Langues à l'INALCO
410 2# $a Insitut national des langues et civilisations
      orientales. $t Langues à l'INALCO
```

```
130 #0 $a Studies in education (London, England)
410 2# $a University of London. $b Institute of
      Education. $t Studies in education
```

```
130 #0 $a Occasional paper (Mendocino Academy of
      Science)
410 2# $a Mendocino Academy of Science. $t Occasional
      paper
```

(b) when the name, an initialism/acronym, or part of the name of a corporate body is in the series title even if that body is not responsible for the series; however, do not make the reference if the body is a commercial publisher responsible only for publishing the

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series;

130 #0 \$a Harvard historical monographs
410 2# \$a Harvard University. \$t Harvard historical
monographs
410 2# \$a Harvard University. \$b Dept. of History. \$t
Harvard historical monographs
(Department of History is responsible for the series)

(c) when the title of a *numbered* series consists solely of a form of a corporate body's name whether or not that form of name is identical with the name of the corporate body given as the heading on the body's name authority record.

130 #0 \$a Suffolk Records Society (Series)
410 2# \$a Suffolk Records Society. \$t Suffolk Records
Society

130 #0 \$a HAZ (Series)
410 2# \$a Historical Association of Zambia. \$t HAZ

130 #0 \$a Institut sotšialistističeskogo prava
(Series)
410 2# \$a Institute on Socialist Law. \$t Institut
sotšialistističeskogo prava

130 #0 \$a University of Warsaw, Institute of
Psychology (Series)
410 2# \$a Uniwersytet Warszawski. \$b Instytut
Psychologii. \$t University of Warsaw, Institute
of Psychology

(2) Give a reference from title proper when it differs from the uniform title except when the difference is the addition of a language name or a parenthetical qualifier.

130 #0 \$a EDI policy seminar report. \$l Spanish
430 #0 \$a Informe de un seminario de política del IDE

130 #0 \$a Information (Zurich, Switzerland). \$l French
*(reference not given from title proper in English:
Information)*

130 #0 \$a Skrifter (Dansk folkemindesamling)
(reference not given from title proper: Skrifter)

b) *Heading is name/title proper*

(1) Give a reference from title proper.

110 2# \$a Library of Congress. \$b Manuscript Division.
 \$t Registers of papers in the Manuscript
 Division of the Library of Congress
 430 #0 \$a Registers of papers in the Manuscript
 Division of the Library of Congress
 100 1# \$a Breuil, Henri, \$d 1877-1961. \$t Rock
 paintings of southern Africa
 430 #0 \$a Rock paintings of southern Africa

(2) Give a reference from name/title proper for another person or corporate body sharing responsibility for the series. (Generally, do not give a reference from a body when the heading is under a personal name.)

100 1# \$a Brenner, Barbara. \$t Hide and seek science
 400 1# \$a Chardiet, Bernice. \$t Hide and seek science

c) *Heading is name/uniform title*

(1) Generally, give a reference from title proper.

100 1# \$a James, Henry, \$d 1811-1882. \$t Selections. \$f
 1983
 430 #0 \$a Selected works of Henry James, Sr. \$f 1983
 100 1# \$a Sterne, Laurence, \$d 1713-1768. \$t Works. \$f
 1978
 430 #0 \$a Florida edition of the works of Laurence
 Sterne. \$f 1978

(2) Generally, give a reference from name/title proper when the title proper differs from the uniform title except when the difference is the addition of a language name, a date, or a parenthetical qualifier.

100 1# \$a James, Henry, \$d 1811-1882. \$t Selections. \$f
 1983
 430 #0 \$a Selected works of Henry James, Sr. \$f 1983
 400 1# \$a James, Henry, \$d 1811-1882. \$t Selected
 works of Henry James, Sr. \$f 1983

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100 1# \$a Sterne, Laurence, \$d 1713-1768. \$t Works. \$f 1978
430 #0 \$a Florida edition of the works of Laurence Sterne. \$f 1978
400 1# \$a Sterne, Laurence, \$d 1713-1768. \$t Florida edition of the works of Laurence Sterne. \$f 1978

(3) Give a reference from name/title proper for another person or corporate body sharing responsibility for the series. (Generally, do not give a reference from a body when the heading is under a personal name.)

100 1# \$a Landau, L. D. \$q (Lev Davidovich), \$d 1908-1968. \$t Teoreticheskaiā fizika
400 1# \$a Lifshits, E. M. \$q (Evgenii Mikhailovich), \$d 1908- \$t Teoreticheskaiā fizika

2) *Variants of title proper in another source in same/another issue*

Give a reference from a variant title found in the same item or found in another item with the same series title proper. Construct the reference in the same form, title or name/title, that would be used as the heading; generally, do not give references that are variations of these references.

a) *Parallel titles.* Give references for all parallel titles. If the heading is a main series and subseries, use the same language, when present, for all parts of the parallel main series/parallel subseries reference(s).

130 #0 \$a Befolkningsstatistik og sociologisk statistik
430 #0 \$a Bevölkerungs- und Sozialstatistik
430 #0 \$a Demographic and social statistics
430 #0 \$a Statistiques démographiques et sociales
430 #0 \$a Statistiche demografiche e sociali
430 #0 \$a Sociale en bevolkingsstatistiek

130 #0 \$a Europäische Hochschulschriften. \$n Reihe XXV, \$p Forst- und Holzwirtschaft
430 #0 \$a Publications universitaires européennes. \$n Série XXV, \$p Sciences forestières
430 #0 \$a European university studies. \$n Series XXV, \$p Forestry and forest products

b) *Other titles.* If important for access to the heading, give a reference from another form of the series title proper found on another source (e.g., on cover, on spine, on map panel, on label) of the same item or on another source of another item having the same series title proper.

130 #0 \$a Composers series (Contemporary Records (Firm))
 430 #0 \$a Contemporary composers series
(Composers series was form on label; Contemporary composers series was form on container for same item)

130 #0 \$a Mathematical chemistry
 430 #0 \$a Mathematical chemistry series
(Mathematical chemistry was form on ser. t.p.; Mathematical chemistry series was form on cover)

130 #0 \$a Historical geography research series
 430 #0 \$a Research paper series (Institute of British Geographers. Historical Geography Research Group)
(Later issue with same series title proper on t.p. had cover p. 3 title: Research paper series)

3) Partial titles

Construct the reference in the form, title or name/title, that would be used as the heading; generally, do not give references that are variations of these references.

a) *Typographical prominence.* Give a reference when part of the series title is given typographical prominence.

130 #0 \$a Springer proceedings in physics
 430 #0 \$a Proceedings in physics
(On source "Springer" appears on one line and "proceedings in physics" on another line; other sources in item indicate title begins with "Springer")

b) *Subseries or section title.* Give a reference from the subseries or section title unless that title is dependent on the main/common title or is misleading without that title. Do not include a preceding designation in the reference.

130 #0 \$a Petite bibliothèque. \$n Série C, \$p Science récréative
 430 #0 \$a Science récréative

130 #0 \$a Soviet scientific reviews supplement series.
 \$p Physiology and general biology
 430 #0 \$a Physiology and general biology

130 #0 \$a Contributions in political science. \$p Soviet and American studies on the Third World
 430 #0 \$a Soviet and American studies on the Third World

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c) *Generic noun.* Give a reference from the series title or subseries/section title omitting the introductory generic noun (e.g., Serie, Collection, Schriftenreihe) when that noun is followed by a noun or noun phrase. If the partial title reference would consist only of a proper name, add the qualifier "(Series)" to that reference.

```
130 #0 $a Schriftenreihe Christliche Perspektiven im
      Sport
430 #0 $a Christliche Perspektiven im Sport

130 #0 $a Colección Documentos (Universidad Nacional del
      Litoral)
430 #0 $a Documentos (Universidad Nacional del Litoral)

130 #0 $a Coleção "Paulo Freire"
430 #0 $a Paulo Freire (Series)
```

d) *Person's forename, initial, or title.* When the series title begins with a person's forename(s), initial(s), or title, give a reference

from the surname and the remainder of the title;
from the forename(s) and/or initial(s) and surname and remainder of the title.

```
130 #0 $a Dr. Leonard P. Schultz ichthyological reprint
430 #0 $a Schultz ichthyological reprint
430 #0 $a Leonard P. Schultz ichthyological reprint
```

4) *Variations in title proper that are not "major changes" (applies only to monographic series and other serials)*

When the series title proper of an earlier or later issue differs from the form used in the heading, give a *see* reference from the earlier/later form if the difference does not constitute a major change (cf. AACR2 21.2 and LCRI 21.2). Construct the reference in the form, title or name/title, that would be used as the heading; generally, do not give references that are variations of these references.

```
130 #0 $a Veröffentlichungen des Kölnischen
      Geschichtsvereins e.V.
430 #0 $a Veröffentlichung des Kölnischen
      Geschichtsvereins e.V.
      (later issue had title: Veröffentlichung des Kölnischen
      Geschichtsvereins e.V.; singular/plural change isn't a major
      change)
```

130 #0 \$a Bulletin (Southern Humanities Conference)
 430 #0 \$a Bulletin of the Southern Humanities Conference
(later issues had title: Bulletin of the Southern Humanities Conference; same body's name added to or subtracted from the title isn't a major change)

130 #0 \$a Wiley series in psychology of crime, policing, and law
 430 #0 \$a Wiley series in the psychology of crime, policing, and law
(later issue had title: Wiley series in the psychology of crime, policing, and law; addition/omission of article isn't a major change)

130 #0 \$a Ankara Üniversitesi Hukuk Fakültesi yayınları. \$p Döner sermaye yayınları
 430 #0 \$a Ankara Üniversitesi Hukuk Fakültesi yayınları. \$p AÜHF döner sermaye yayınları
(later issue had title: Ankara Üniversitesi Hukuk Fakültesi yayınları. AÜHF döner sermaye yayınları; addition of body's initialism isn't a major change)

130 #0 \$a Seriiā "Bibliofil'skie redkosti"
 430 #0 \$a Bibliofil'skie redkosti
(addition/deletion of type of resource isn't a major change)

5) *Fluctuating titles*

a) *Different languages.* If the language of the numbered monographic series title proper on later issues varies according to the language of the text, give a reference from the series title proper in the other language(s). (Cf. LCRI 21.2A.) However, if there are parallel editions in different languages, establish separate headings (cf. LCRI 1.6).

130 #0 \$a Taschenbücher zur Musikwissenschaft
 430 #0 \$a Pocketbooks of musicology

b) *Regular pattern.* If the title proper on later issues of a monographic series varies according to a regular pattern, give a reference from that later title.

6) *Other situations*

a) *Substitutions.* Use judgment when deciding whether a reference that is a modification of the form used in the heading (spelled out form for an abbreviation, word for symbol, word for numeral, arabic numeral for roman numeral, two words for compound word, initialism without periods for initialism with periods, etc.) is appropriate. Consider whether users might expect that form to have been the established form and whether the substitution would occur in the

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first five words. Construct the reference in the form, title or name/title, that would be used as the heading; generally, do not give references that are variations of these references.

130 #0 \$a Mathématiques & applications
430 #0 \$a Mathématiques et applications

130 #0 \$a Advances in colour chemistry series
430 #0 \$a Advances in color chemistry series

130 #0 \$a Database search aids
430 \$0 \$a Data base search aids

b) *Other title information.* Give a reference from the other title information when it could be construed as the series title proper or subseries title. When an acronym or initialism of the title proper of a serial or an integrating resource is present (cf. AACR2 12.1B2), give a reference from the acronym or initialism.

130 #0 \$a Worldly philosophy
430 #0 \$a Studies at the intersection of philosophy and economics
(Later issue had series subtitle: Studies at the intersection of philosophy and economics)

130 #0 \$a Österreichische Schriftenreihe zum gewerblichen
Rechtsschutz, Urheber- und Medienrecht
430 #0 \$a ÖSGRUM

c) *Title of series/serial.*

Give a reference from the name of the main series (in its AACR2 form) and subseries when a subseries is not entered subordinately to the main series (cf. LCRI 1.6H).

130 #0 \$a De signo
430 #0 \$a Collana Sapiens. \$p De signo
(Subseries De signo is not entered subordinately to the main series; no source in preliminaries or publisher's listing in item has both main series title Collana Sapiens and subseries title)

Give a reference from the name of the serial (in its AACR2 form) and title of series when the name of a serial is contained in the series title proper.

130 #0 \$a Quaderni della Rivista italiana di musicologia
 430 #0 \$a Rivista italiana di musicologia. \$p Quaderni
 della Rivista italiana di musicologia
 (Rivista italiana di musicologia *is a separately published
 serial*)

d) *Multipart item: change of title or change in person or body responsible.* If the title proper changes (AACR2 21.2B2), give a reference from the later title. If the person or body responsible for the multipart item changes (AACR2 21.3A2), give a name/title proper reference from the later person or body.

e) *Romanization/word division.* Give a reference from a form representing another romanization or word division policy.

130 #0 \$a Jibi inkoka rinsho
 430 #0 \$a Jibiinkoka rinsho

f) *Change in parenthetical qualifier not requiring a new record.* If there is a change in parenthetical qualifier in the series heading and a new record is not required (cf. LCRI 25.5B), give a reference from the series title proper and the changed qualifier if it would help in identification.

130 #0 \$a Wissenschaftliche Reihe (Husum, Schleswig-
 Holstein, Germany)
 430 #0 \$a Wissenschaftliche Reihe (Nienburg, Germany)

g) *Introductory words to title proper.* If introductory words to the title proper were not transcribed as part of the title proper in the heading (1.1B1), give a reference from the title including those words.

130 #0 \$a Easy handcrafts series
 430 #0 \$a Scandinavian heritage presents easy handcrafts
 series

h) *Correction of title proper of a serial or an integrating resource.* If the title proper in the heading has been transcribed in a corrected form (cf. AACR2 12.0F, 12.1B1), give a reference for the title as it appears on the serial or integrating resource.

130 #0 \$a Studies in American art
 430 #0 \$a Studies in Amerrican art

i) *Pre-AACR2 form of heading.* Optionally, include information about the pre-AACR2 form of heading in the series authority record. If there is a one-to-one relationship, give a reference from the pre-AACR2 form of heading and code subfield \$w appropriately. If there is not a one-to-one relationship or if the reference normalizes to the same form as a heading or another reference, give the pre-AACR2 heading information in a note.

130 #0 \$a Bulletin of the Scripps Institution of
Oceanography, University of California, San
Diego
410 1# \$w nnaa \$a California. \$b University. \$b Scripps
Institution of Oceanography, La Jolla.
\$t Bulletin

130 #0 \$a APA private practice series
667 ## \$a Includes the old catalog headings: American
Psychological Association. APA private practice
series; American Psychological Association.
A.P.A. private practice series
*(made-up example; not a one-to-one relationship: only one
heading needed for AACR2 but two headings needed earlier)*

130 #0 \$a Monograph (International Violin, Guitar Makers
& Musicians Association)
667 ## \$a Previous to AACR2 covered by the heading:
International Violin, Guitar Makers &
Musicians Association. Monograph
*(made-up example; not a one-to-one relationship: two
headings needed for AACR2; other heading: Monograph
(Violin, Guitar Makers & Musicians Association); only one
heading needed earlier because name change of body was
handled via latest entry cataloging)*

130 #0 \$a Journal of mathematical biology. \$p Supplement
667 ## \$a Old catalog heading: Journal of mathematical
biology : supplement

j) *Miscellaneous.* Give any other see reference not already mentioned if it is important for access to the heading.

130 #0 \$a Discussion paper (University of East Anglia.
School of Development Studies)
430 #0 \$a Development studies discussion paper
*(Cataloger's judgment whether series title should be recorded
as Discussion paper or Development studies discussion paper
based on presentation; reference given from form not chosen
as approach to the heading for someone who would have
chosen the other form as series title)*

General Guidelines for See Also References

If the earlier or later heading is not represented by its own series authority record, give the earlier or later information in a note instead of giving a see also reference. End such a note with the

label "[unevaluated heading]."

```
130 #0 $a Occasional paper (University of Singapore.
      Dept. of Political Science)
667 ## $a Continues: Singapore (City). University. Dept.
      of Political Science. Occasional paper series -
      Department of Political Science, University of
      Singapore [unevaluated heading]
```

See Also References by Category of Series Authority Record

1) *Monographic series and other serials*

If a new series authority record is required by AACR2 21.2A, 21.2C, or 21.3B and related LCRIS, connect the series authority records for the earlier and later headings with see also references.

For numbered monographic series, code subfield \$w to indicate whether the reference is for the earlier or later heading. Generally, do not code subfield \$w for unnumbered monographic series.

```
130 #0 $a Royal Institute of Philosophy lectures
530 #0 $w b †a Royal Institute of Philosophy supplement

130 #0 $a Royal Institute of Philosophy supplement
530 #0 $w a $a Royal Institute of Philosophy lectures

130 #0 $a Weidenfeld psychology series
530 #0 $a Weidenfeld modern psychology series
      (series is unnumbered: subfield $w is not coded)

130 #0 $a Weidenfeld modern psychology series
530 #0 $a Weidenfeld psychology series
      (series is unnumbered: subfield $w is not coded)
```

2) *Multipart items*

Remember that a change in main entry heading or title of a multipart item is handled with a *see* reference (see 6)d) above).

3) *Series-like phrases*

Any change in a series-like phrase requires a new series authority record. Connect the records with see also references. Generally, do not code subfield \$w.

```
130 #0 $a Aladdin book
530 #0 $a Aladdin books
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130 #0 \$a Aladdin books
530 #0 \$a Aladdin book