DAY 2

CONTENTS NACO DAY 2

Proposed LCRI Revisions Sample Memo ..... P. 1

Results of RI Census ..... P. 3

Undifferentiated Personal Name Record ..... P. 5

Canadian Headings ..... P. 6

CDS Technical Notice: NLC NACO Records ..... P. 7

(No pages 8-12)

Personal Names Exercises 1-19 ..... P. 13

Personal Names: Things to Remember ..... P. 32

References: Personal Names - Things to Remember ..... P. 33

UNITED STATES GOVERNMENT

Memorandum

LIBRARY OF CONGRESS

TO : Distribution

DATE : July 11, 1994

FROM : Barbara B. Tillett, Chief
CPSO

SUBJECT : Proposed LCRI revisions

CPSO has been asked to consider revising LCRI 24.10B and LCRI 26.2C. In its ongoing effort to simplify the cataloging process, CPSO staff have discussed the proposed revisions and have made recommendations. Please consider both proposals as well as the CPSO recommendations and send your comments to me by August 15, 1994.

LCRI 24.10B/LCRI 26.3A7

LCRI 24.10B requires catalogers to make a reference from the local place when establishing a heading for a church or other religious institution. LCRI 26.3A7 extends this provision to U.S. chambers of commerce. CPSO has been requested to extend this principal in order to allow catalogers to make similar references for other institutions.

CPSO staff had several observations regarding this proposal. Since one of the guiding principals in recent attempts at cataloging modification has been that libraries are functioning in an online mode, observations are based on that principal. Headings established according to AACR2 24.10B contain the name of the place in the qualifier, and therefore the place is present and searchable. CPSO did not believe that the rule interpretation could be limited to art headings and that the provisions would have to apply to any heading established with a local qualifier. This would add to the time and expense involved in the creation of authority records for such headings.

Recommendation: Upon consideration of this issue, and in light of recommendations to simplify the authority process and limit the number of rule interpretations, CPSO recommends the revision of LCRI 24.10B and the revision of LCRI 26.3A7. Other institutions may continue to supply such references as they deem appropriate in their local systems, but they should not be made in the national authority file.

LCRI 26.2C

When the name of a performing group contains the name of one or more of its members, LCRI 26.2C instructs the cataloger to make a *see also* reference from the heading for each person to the heading for the group. In 1991, catalogers in the LC Prints and Photographs Division were given special permission to make such references between photographers and their studios. Art catalogers have requested permission to make similar references between artistis architects, etc. and the firms in which they are participants. Similar requests have come from other specialized cataloging communities.

CPSO had several factors to consider in this request. First, we believe that it is important to take a practical approach to authority file maintenance especially in the current economic climate and in response to the call for cataloging modification. Complicated authority reference structures call for constant maintenance and additional written procedures beyond the basic text of AACR2. Second, the group considering this issue believed that the purpose of the authority file should be one of actual *name* authority rather than one of reference or research authority. Links between a person and a corporate body can be expressed through added entries. Currently, despite the presence of the linking references, catalogers have made added entries for both the corporate body and the personal name in cataloging records, thereby duplicating the access in the catalog as a whole. CPSO would like to move toward focusing on the bibliographic relevance of a name rather than on building elaborate reference structures whenever this is possible. In other words, catalogers should apply the provisions of the AACR2 and the LCRIs when judging whether to make an added entry for personal names or corporate bodies.

Recommendation: In light of recommendations to simplify the authority process and limit the number of rule interpretations, CPSO recommends the elimination of the provision for performing groups contained in LCRI 26.2C. In addition, catalogers in other areas making such references are asked to discontinue this practice.

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Helena Zinkham, P&P
CPSO policy specialists

RESULTS OF RI CENSUS

21-Jun-94

Chapter	AA2 rule stmnts	RIs (no. of sep. docs)	RIs (no. of dirctn stmnts)	RI directn stmnts as % of rule stmnts	AA2 option decisions	Rule replace-ment decisions	AA2 silent	AA2 needs explana-tion	AA2 incom-plete	AA2 needs amplifi-cation	AA2 needs correction	LC pro-cedures	Intercode policies	Ref to another RI	Option dec ref
00	23	0	0	0.00%	0	0	0	0	0	0	0	0	0	0	0
01	183	72	117	63.93%	28	12	6	8	16	45	0	1	0	1	0
02	135	27	41	30.37%	5	6	0	6	2	12	0	5	0	0	5
03	113	17	17	15.04%	11	0	0	0	0	0	0	0	0	0	6
04	59	6	6	10.17%	3	0	0	0	0	0	0	0	0	0	3
05	86	16	17	19.77%	4	1	0	4	1	1	0	0	0	0	6
06	98	20	20	20.41%	7	0	0	1	2	4	0	0	0	1	5
07	94	20	21	22.34%	8	0	1	0	1	4	0	0	0	0	7
08	94	19	19	20.21%	4	0	0	0	1	2	0	0	0	4	8
09	91	13	14	15.38%	6	2	0	0	0	0	0	0	0	0	6
10	81	9	9	11.11%	3	0	0	1	0	0	0	0	0	0	5
11	88	10	10	11.36%	4	1	0	0	0	0	0	0	0	0	5
12	95	36	48	50.53%	6	5	6	7	8	13	0	0	0	0	3
13	7	3	4	57.14%	2	0	0	0	2	0	0	0	0	0	0
20	4	0	0	0.00%	0	0	0	0	0	0	0	0	0	0	0
21	144	47	88	61.11%	7	20	11	24	4	18	1	1	0	2	0
22	107	35	58	54.21%	2	11	8	10	7	17	0	1	2	0	0
23	15	8	19	126.67%	0	8	2	0	1	8	0	0	0	0	0
24	91	46	78	85.71%	3	20	13	12	5	23	1	0	0	1	0
25	135	33	59	43.70%	3	2	10	8	7	26	0	1	1	1	0
26	43	19	42	97.67%	4	11	2	0	2	17	0	1	4	1	0
AA	243	12	13	5.35%	0	1	4	3	2	3	0	0	0	0	0
AB	15	3	3	20.00%	0	1	1	0	1	0	0	0	0	0	0
AC	21	4	4	19.05%	0	1	0	0	0	3	0	0	0	0	0
AD	———	1	3		0	0	0	2	1	0	0	0	0	0	0
TOTAL	2,065	476	710	34.38%	110	102	64	86	63	196	2	10	7	11	59

Source: CPSO/CCC Task Group on LC-issued Descriptive Cataloging Documentation

Results of RI Census
Sources of RI Direction Statements in the General Chapters Developed by Special Request

June 21, 1994

Chapter	Art libr.	British Lib.	Gov Doc libr.	Large Res. libr.	Law libr.	Map libr.	Ms. libr.	Music libr.	NACO	LC's Overseas Opr. Acq.	Rare Book libr.	Serials libr.
2				1						1		
12				1								
21	3				17			5				4
22				2				1			1	
23						2				1		
24	1	2	2	2			1	2	1			1
25			1		6			15				
26				1	2							
AB				1								
Total	4	2	3	8	25	2	1	23	1	2	1	5

Source: CPSO/CCC Task Group on LC-issued Descriptive Cataloging Documentation

Undifferentiated Personal Name Record

ARN: n95012345

Rec stat:	n	Entered:	19951311				
▸ Type:	z	Upd status:	a	Enc lvl:	n	Source:	
Roman:	■	Ref status:	n	Mod rec:		Name use:	a
Govt agn:	■	Auth status:	a	Subj:	a	Subj use:	a
Series:	n	Auth/ref:	a	Geo subd:	n	Ser use:	b
Ser num:	n	Name:	b	Subd type:		Rules:	c ¶

001 n80127736

040 DLC $c DLC $d DLC $d DNLM

100 10 Stewart, John

670 [Editor of the Best of Tracks]
670 The Best of Tracks, 1975 (a.e.) $b cover (John Stewart, editor)

670 [Author of Of no fixed abode]
670 His Of no fixed abode, 1975: $b t.p. (John Stewart) jkt. (lecturer in soc. admin., Univ. of Lancaster)

670 [Author of Ulster D.V.]
670 His Ulster D.V., 1972: $b t.p. (John Stewart) introd. (former missionary; pastor, Woodvale Meth. Church, Belfast)

675 Who's who in G.B., 1980; $a Commonwealth faculty handbook, 1982.

Canadian Headings

(see also *DCM* C2)

Personal Names (Canadian Imprints)

Establish from:

CanCIP data
headings on NLC's AACR2 bibliographic records in utility databases
headings on AACR2 bib records in NLC's online catalog, ResAnet
(http://amicus.nlc.bnc.ca/resanet/reslogine.htm)

If found:

670 NLC fiche, Mar. 1995 $b (heading from NLC)
670 NLC, Jan. 22, 1999 $b (heading from NLC)
670 Title of work, date: $b t.p. (usage) CanCIP (data found)
670 OCLC, Feb. 15, 1996 $b (hdg. on NLC bib:)

If ***not*** found, cite the NLC source searched and establish heading based on forms found in the item:

675 NLC, August 27, 1999

Corporate Names (incl. Canadian government bodies; cf. *LCRI* 24.1 p. 2 & 4)

Establish from:

CanCIP data
headings on NLC's AACR2 bibliographic records in utility databases
headings on AACR2 bib records in NLC's online catalog, ResAnet
(http://amicus.nlc-bnc.ca/resanet/reslogine.htm)

If found:

670s same as above

If ***not*** found ***MUST*** ask your liaison to contact NLC to establish the heading
(Create NAR in a review file; notify your liaison. LC liaison will search AMICUS authority file for heading, and if necessary, ask NLC to establish a NAR in their authority file and return a copy of it to confirm the official Canadian form; liaison will report results)

NOTE: **Use the 667 field to note NLC form** when you do not use it for your established heading (in case of conflicts, as outlined in *DCM C2*).

CaOONL is the MARC code for **National Library of Canada**
NLC is the OCLC code for **National Library of Canada**

Library of Congress

CDS Technical Notice

National Library of Canada NACO Records in MDS-Names

LC catalogers have begun to process National Library of Canada (NLC) name headings via Z39.50. Beginning immediately, CDS will begin to distribute name authority records with NLC's MARC identification code in the subfields $a and $c of field 040. These name authority records will contain an 010 subfield $a with an "n" prefix (cf. n 00030942) and the subfield $d of field 040 will contain the MARC identification code for the Library of Congress as the modifying library. Example: 040 $a CaOONL $b eng $c CaOONL $d DLC

Beginning in July, 2000 CDS will begin to issue NLC authority records with MARC fields which heretofore have not appeared in authority records issued by CDS. These fields will include the 016 (National Bibliographic Agency Control Number) (e.g., $a 0010C0008); 042 (Authentication Code) (e.g., 042 $a nlc), and may include 7XX (Heading Linking Entry) fields.

The presence of these fields in name authority records does not signal a change in procedures for NACO participants. NACO participants should not include any of these MARC tags in their newly created name authority records nor should catalogers delete any of these fields when otherwise modifying NLC records which have been issued by LC.

Any questions regarding the data content or use of the NLC name authority records can be directed to:

Cooperative Cataloging Team
Regional and Cooperative Cataloging Division
email: acri@loc.gov
phone: (202) 707-7921

June 6, 2000

For more information about LC's MARC Distribution Services, contact:
Library of Congress ▪ Cataloging Distribution Service ▪ Customer Services Section
Washington, DC 20541-5017 USA ▪ Phone: (202) 707-6100 ▪ Fax: (202) 707-1334
TDD number: (202) 707-0012 ▪ Email: cdsinfo@loc.gov

OCLC Personal Name Authority Exercise 1

ARN:	**NEW**						
Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 Religious consciousness and identity status ... 1993: $b t.p. (Douglas Alan Smyth) vita (b. 1954) ¶

OCLC Personal Name Authority Exercise 2

ARN:	**NEW**						
Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 Correspondence with Wayne L. Morse, 1955-1956: $b (Thomas O. Ballinger) ¶

▸ 8 670 OCLC, May 5, 1996 $b (hdg.: Ballinger, Thomas O.; usage: Thomas O. Ballinger) ¶

OCLC Personal Name Authority Exercise 3

ARN:	**NEW**						
Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 Dynamics of cobalt electrodes in chromic acids electrolytes, 1991: $b t.p. (Joseph C. Bell) ¶

▸ 8 670 Univ. of Virginia list of degree recipients, May 1991: $b (Bell, Joseph Charles)
¶

OCLC Personal Name Authority Exercise 4

ARN: NEW

Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd: a**		**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd: n**		**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 The effect of effort allocation information ... 1991: $b t.p. (D. Lynne Persing) vita (b. Sept. 25, 1953) leaf 156, etc. (Lynne Persing, Daryl Lynne Persing) ¶

OCLC Personal Name Authority Exercise 5

ARN:	**NEW**						
Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 Bilingualism and mathematics learning, 1991: $b t.p. (P.C. Clarkson) t.p. verso (Australian CIP hdg.: Clarkson, P.C. (Philip Castledine), 1947-) ¶

OCLC Personal Name Authority Exercise 6

ARN:	**NEW**						
Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 The goodly fellowship of the prophets, 1948: $b t.p. (John Paterson; prof. of Hebrew, Drew Theological Seminary) ¶

▸ 8 670 LC database, May 11, 1996 $b (hdg.: Paterson, John, 1887- [from old catalog] ; usage: John Paterson) ¶

OCLC Personal Name Authority Exercise 7

ARN:	**NEW**						
Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 The architectural heritage of Guadalajara, 1992: $b t.p. (Fco. Javier Pérez Carrasco) verso (Francisco Javier Pérez C.) introd. (b. Mexico City; May 16, 1951; photog. Life magazine) ¶

OCLC Personal Name Authority Exercise 8

ARN:	**NEW**						
Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 Delle persone e della famiglia, c1989: $b t.p. (Adriano Della Valle) ¶

OCLC Personal Name Authority Exercise 9

ARN: NEW

Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 Valuing differences in the workplace, 1991: $b t.p. (Garfield DeBardeleben) ¶

▸ 8 670 OCLC, July 21, 1996 $b (hdg.: De Bardeleben, Garfield, 1946-) ¶

OCLC Personal Name Authority Exercise 10

ARN:	**NEW**						
Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 Beloved friends, 1937: $b t.p. (Barbara von Meck) ¶

▸ 8 670 OCLC, May 23, 1996 $b (hdg.: Meck, Barbara Karpoff von, 1889-) ¶

Author is Dutch

OCLC Personal Name Authority Exercise 11

ARN: NEW

Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 J. Siebmacher's Grosses und allgemeines Wappenbuch, 1857- : $b Bd. 1, Heft 5, t.p. (Otto Titan von Hefner) p. 4 of cover (b. 1826) ¶

▸ 8 670 OCLC, Apr. 4, 1996: $b (hdg.: Hefner, Otto Titan von) ¶

OCLC Personal Name Authority Exercise 12

ARN: NEW

Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 Parental links to antisocial and delinquent ... 1993: $b t.p. (Corinne "Kim" Golden-Bentley) ¶

OCLC Personal Name Authority Exercise 13

ARN: NEW

Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 Literary essay in English, 1923: $b t.p. (Sister M. Eleanore) ¶

▸ 8 670 Catholic authors, 1948: $b p. 227 (Sister Mary Eleanore; Katherine Mary Brosnahan, 1890-1940) ¶

▸ 9 670 OCLC, May 27, 1996 $b (hdg.: Eleanore, Mary, Sister, 1890- ; hdg.: Eleanore, M., Sister; usage: Sister M. Eleanore) ¶

OCLC Personal Name Authority Exercise 14

ARN: NEW

Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 A History of the Eleventh Regiment, 1866: $b t.p. (compiled by Horton & Teverbaugh, members of the Regiment) p. 137 (J.H. Horton appt. 2nd Lt. May 22, 1861; promoted to Adjutant July 19, 1861) ¶

OCLC Personal Name Authority Exercise 15

ARN: NEW

Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 Who likes babysitters? 1989: $b t.p. (Bucket, illustrator) ¶

OCLC Personal Name Authority Exercise 16

ARN:	**NEW**						
Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 Tales of an Elizabethan wayfarer, 1857: $b t.p. (Captain George Brown) ¶

Brown, George already established

OCLC Personal Name Authority Exercise 17

ARN: n94-12345

Rec stat:	**n**	**Entered:**	**199412345**				
▸ Type:	**z**	**Upd status:**	**a**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**n**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status:**	**a**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**a**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 DLC $c DLC ¶

▸ 2 100 10 Watts, Robert **already existing heading¶**

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 Watts, R. Poems of a Renaissance genius, 1918: $b t.p. (Robert Watts) ¶

▸ 8 670 Alive, 1993: $b credits (producer, Robert Watts) [*NEW]* ¶

▸ *9* 675 Halliwell's filmgoer's companion, 1988; $a The encylopedia of film, 1991. [*NEW]*

OCLC Personal Name Authority Exercise 18

ARN: n94-12345
Rec stat: n Entered: 199412345

▸ Type:	z	Upd status:	a	Enc lvl:	n	Source:	c
Roman:	■	Ref status:	n	Mod rec:		Name use:	a
Govt agn:	■	Auth status:	a	Subd:	a	Subj use:	a
Series:	n	Auth/ref:	a	Geo subd:	n	Ser use:	b
Ser num:	n	Name:	a	Subd type:		Rules:	c ¶

▸ 1 040 DLC $b eng $c DLC ¶

▸ 2 100 10 Solis, Theodore **already existing heading ¶**

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 The Marimba in Mexico city, 1983 $b t.p. (Theodore Solis) ¶

▸ 8 670 Puerto Rican music in Hawai'i [SR] p1989: $b label (Ted Solís, ethnomusicologist at the Univ. of Arizona) [*NEW]* ¶

▸ 9 670 Phone call to T. Solís, Aug. 11, 1996 $b (prefers: Ted Solís) [*NEW]* ¶
ARN: n86-12345

OCLC Personal Name Authority Exercise 19

Rec stat:	**n**	**Entered:**	**198612345**				
▸ Type:	**z**	**Upd status:**	**a**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**n**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status:**	**a**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Name:**	**a**	**Subd type:**		**Rules:**	**b ¶**

▸ 1 040 DLC $ eng $c DLC ¶

▸ 2 100 10 Vaandrager, Cornelis Bastiaan, $d 1935- ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 His Sampleton, 1973. ¶

▸ 8 670 670 Sampleton expanded, 1990: $b (C.B. Vaandrager) [*NEW]* ¶

▸ 9 670 RLIN, Oct. 28, 1996 $b (hdg.: Vaandrager, Cornelis Bastiaan, 1935- ; usage: C.B. Vaandrager) [*NEW]*

OCLC Personal Name Authority Exercise 20

ARN:	**NEW**						
Rec stat:	**n**	**Entered:**	**[system supplied]**				
▸ Type:	**z**	**Upd status:**	**_**	**Enc lvl:**	**n**	**Source:**	**c**
Roman:	**■**	**Ref status:**	**_**	**Mod rec:**		**Name use:**	**a**
Govt agn:	**■**	**Auth status**	**_**	**Subd:**	**a**	**Subj use:**	**a**
Series:	**n**	**Auth/ref:**	**a**	**Geo subd:**	**n**	**Ser use:**	**b**
Ser num:	**n**	**Nyame:**	**_**	**Subd type:**		**Rules:**	**c ¶**

▸ 1 040 MARC $b eng $c MARC ¶

▸ 2 1 __ __ __ __ ¶

▸ 3 4 __ __ __ __ ¶

▸ 4 4 __ __ __ __ ¶

▸ 5 4 __ __ __ __ ¶

▸ 6 5 __ __ __ __ ¶

▸ 7 670 ¶

▸ 8 670

PERSONAL NAMES : THINGS TO REMEMBER

- Establish the heading per title page usage
- The author's preference may override cataloging rules (LCRI22.3A; 22.5C2)
- Add dates if available (apply option in 22.17)
- Fill out initials if possible (apply option in 22.18)
- Add references when necessary (22.5C1, etc.)
- Resolve conflicts for headings *and* references (LCRI 22.17-22.20)
- Make sure headings and references are justified in 670(s), including additions
- Beware of AACR2-compatible traps, previous practices, and other bad examples

REFERENCES: PERSONAL NAMES
THINGS TO REMEMBER

Justify in 670 field unless allowed by rules

Formulate as if a heading

Additions should usually match the heading (cf. LCRI 26.1)

Make all references that would affect "primary elements" (cf. LCRI 22.2)

Use ǂw to show special relationships (earlier AACR2 or pre-AACR2 headings; pseudonyms)

SEARCH all x-refs. to assure they do not conflict with ESTABLISHED HEADINGS

Remember references **may** conflict with each other

Resolve conflicts between headings and references by following list on **LCRI 22.17-22.20 (apply LCRI 26.2C if you cannot resolve)**

☞ *remember the rules for normalization* ☜