

B17. Copy-Specific Data Elements: General and Rare Book Cataloging

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B17.1. COPY-SPECIFIC INFORMATION

B17.1.1. General

This DCM provides guidelines for the treatment of copy-specific information under the LC ILS, gives the rationale behind the guidelines, and provides additional information in an attempt to state comprehensively in one place the copy-specific conventions applicable to general and rare book cataloging. Conventions applicable to rare book cataloging are specifically identified as such.

B17.1.2. Basic Guidelines For Recording Copy-Specific Information

Record copy-specific information in 5XX fields in the bibliographic record (BR) or in

subfield \$c of an 051 field according to the following guidelines. All notes in 5XX fields in the BR implicitly apply to the copy under the call number in field 050 (the first 050 if there is more than one).

Use field 051 (Library of Congress Copy, Issue, Offprint Statement) to:

- 1) record the existence of another copy under a different call number from that in field 050; if that copy requires copy-specific information, provide it in 051 subfield \$c (Copy information);
- 2) record copy-specific information in subfield \$c about another copy under the 050 call number.

In the very special cases of multiple 050 fields, information pertaining to a copy represented by a second or subsequent 050 field may be recorded in a 5XX field, specifying the call number of the copy to which the information pertains, e.g., "LC copy under [call number of second or subsequent 050 field] has ..."

B17.1.3. Rationale

The rationale for the guidelines in B17.1.2 is as follows:

- 1) it is a long-standing LC practice that notes in the BR apply implicitly to the copy under the call number in field 050;
- 2) it is accepted practice in the rare book community to make copy-specific information available to researchers and other libraries;
- 3) although holdings records are candidates for stating copy-specific information, they are currently not distributed; alternatively, field 051 is distributed and subfield \$c is defined in the *MARC 21 Bibliographic Format* for "copy information";
- 4) currently, field 051 is the only vehicle available for making known the existence of any other copy or manifestation held under a call number/shelf number different from that of the one in field 050.
- 5)

B17.2. 5XX VARIABLE FIELDS (NOTES)

B17.2.1. Order Of 5XX Fields

The order of notes is that indicated in AACR2 and DCRM (B), in so far as notes can be related to the categories stated in the rules. For purposes of order, treat notes relating to provenance and source (rare book cataloging) as relating to AACR2 rule 1.7B20 (Copy being described, library's holdings, and restrictions on use) or its DCRM (B) counterpart 7B19. The following model illustrates some aspects of the order of notes:

- 5XX [edition-specific notes according to the order indicated in AACR2, including edition-specific limited edition statements; for rare book cataloging, note the placement of a "Reference to published descriptions" note (rule 1.7B15; field 510) within edition-specific notes]
- 5XX [copy-specific notes; when appropriate, combine two or more notes to make one note; however, give a particular note first when that note is judged of primary importance]
- 5XX [copy-specific notes, including the LC copy number of an item in a limited edition, imperfections of an LC copy, statements indicating that the LC copy of a loose-leaf publication is not updated]
- 561 [provenance (rare book cataloging); added if readily ascertainable]
- 501 [copy-specific "With" note]

B17.2.2. Data Conventions For Copy-Specific Notes (500 Field)

B17.2.2.1. General

Routinely make notes on any special features or imperfections of the copy being described. Carefully distinguish between such copy-specific notes and other kinds of notes that record information valid for all copies of an edition. Introduce copy-specific notes with the phrase "LC copy ..." or "LC set ..." or "LC has ..." as appropriate. Formulate the note according to current cataloging conventions, including those for ending punctuation. Add the *MARC Code List for Organizations* (MCLO) code for LC (DLC) in subfield \$5 at the end of the field without any ending punctuation. Do not make such notes for serials.

```
500 ## $a LC copy imperfect: all after leaf 44 wanting. $5 DLC
500 ## $a LC set incomplete: v. 12 wanting. $5 DLC
500 ## $a LC set lacks slides 7-9. $5 DLC
500 ## $a LC has v. 1, 3-5, and 7 only. $5 DLC
500 ## $a LC has no. 20, signed by author. $5 DLC
500 ## $a LC has no. 145. $5 DLC
500 ## $a LC copy not updated. $5 DLC
      (Loose-leaf publication)
```

B17.2.2.2. Provenance/Source (rare book cataloging)

B17.2.2.2.1. General

Make notes on the donor or source of an item or collection and on previous owners **if readily ascertainable**. If readily ascertainable, add the year or years of accession to the name of the donor or source, and add the years of ownership to the name of a previous owner. Make these notes as set out in the following guidelines.

B17.2.2.2.2. Provenance (561 Field)

Use this field to provide information about the provenance of the item or collection being cataloged. For simplicity's sake, consider immediate source of acquisition data (usually recorded in field 541 for certain other materials) to be provenance data.

Make a note concerning the history of the custody of the item or collection. If known, add the year or years of ownership to the name of a previous owner.

```
561 ## $a Originally collected by William Duane. Purchased by Peter  
Force at the sale of Duane's library in March 23, 1836. $5  
DLC
```

If known, record how the item or collection was acquired (e.g., gift, purchase, deposit, transfer) and the donor or source (i.e., the immediate prior custodian). Follow the status statement with the source and date of acquisition. If necessary, indicate the donor's relationship to the item or collection. Add the year or years of accession and the accession number, if known, to the name of the donor or source.

```
561 ## $a Purchase of Peter Force Library, 1867. $5 DLC  
561 ## $a Gift of Joe Smith, 1902-1940. $5 DLC  
561 ## $a Exchange with Serendipity Books, Jan. 31, 1986. $5 DLC
```

Record all information in a single note organized to present the information intelligibly appropriate to the particular item or collection being cataloged.

B17.2.2.2.3. Source (541 Field)

Do not use this field. Instead, provide information about source in field 561.

B17.2.2.3. Copy-specific "With" note (general/rare book cataloging)

In general, use field 501 with subfield \$5. See LCRI 1.7B21 for complete guidelines.

501 ## \$a With: Bowles, John. A catalogue for the year MDCCLXVIII of useful and correct maps ... [London : John Bowles, 1768?] Bound together subsequent to publication. \$5 DLC

B17.3. SUBJECT ADDED ENTRIES (6XX VARIABLE FIELDS)

B17.3.1. Order Of 6XX Fields

Use the following order:

- | | |
|---------------|---|
| 6XX (600-651) | [general subject added entries] |
| 653 | [index term--uncontrolled; unlikely to occur in full/core record; designed for use in MLC cataloging; given here to show order] |
| 655 | [index term--genre/form] |

B17.3.2. Field 655 (Rare Book Cataloging)

Assign one or more genre or form term added entries according to the guidelines of the collection processing plan.

B17.4. ADDED ENTRIES (7XX VARIABLE FIELDS)

B17.4.1. Order Of 7XX Fields

In general, give added entries in the order stated in LCRI 21.29, giving edition-specific added entries first followed by copy-specific added entries. These guidelines are illustrated as follows:

- | | |
|---------------|---|
| 7XX (700-740) | General added entries; within this group, give any added entries with relators (subfield \$e) in the order stated in LCRI 21.29, preceding any name/title added entries in those categories in which name/title added entries are appropriate; give copy-specific added entries in the same order, following any edition-specific added entries of the same type: |
| 700 | [personal name] |
| 700 | [personal name/relator (edition-specific)] |
| 700 | [personal name/relator (copy-specific)] |
| 700 | [personal name/title (edition-specific)] |
| 700 | [personal name/title (copy-specific)] |
| 710 | [corporate name] |
| 710 | [corporate name/relator (edition-specific)] |

710	[corporate name/relator (copy-specific)]
710	[corporate name/title (edition-specific)]
710	[corporate name/title (copy-specific)]
711	[meeting name]
711	[meeting name/relator (edition-specific)]
711	[meeting name/relator (copy-specific)]
711	[meeting name/title (edition-specific)]
711	[meeting name/title (copy-specific)]
730	[uniform title (all edition-specific followed by all copy-specific)]
740	[all edition-specific followed by all copy-specific]
752	[hierarchical place name added entry] (rare book cataloging)

B17.4.2. Copy-Specific Added Entries For Rare Book Cataloging

B17.4.2.1. Fields 700-740

Provide copy-specific added entries according to the guidelines of the collection processing plan.

B17.4.2.1.1. Subfield \$5

When using subfield \$5 in added entries:

- 1) formulate the added entry according to current conventions, including those for ending punctuation;
- 2) add the MCLO code for LC (DLC) in subfield \$5 at the end of the field without any ending punctuation.

700 1# \$a Jefferson, Thomas, \$d 1743-1790, \$e former owner. \$5 DLC

B17.4.2.1.2. Subfield \$e

Apply according to the guidelines of the collection processing plan. The relator terms used in this subfield are those found in Part 1: Relator Codes of *MARC Code Lists for Relators, Sources, Description Conventions*.