



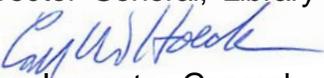
OFFICE OF
INSPECTOR GENERAL

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

M E M O R A N D U M

May 9, 2018

TO: Kurt Hyde, Inspector General, Library of Congress Office of Inspector General

FROM: Carl W. Hoecker, Inspector General 

SUBJECT: *Inspection and Evaluation Modified Peer Review Report for the Library of Congress Office of Inspector General*

Attached is the Modified Peer Review Report for the external peer review of the Library of Congress Office of Inspector General inspection and evaluation function. As stated in the report, the review was conducted in accordance with *the Council of the Inspectors General on Integrity and Efficiency (CIGIE) Inspection and Evaluation Committee* guidance as contained in the *CIGIE Guide for Conducting Peer Reviews of Inspection and Evaluation Organizations of Federal Offices of Inspector General (January 2017)*.

We appreciate the courtesies and cooperation extended to us during the review. If you have any questions, please contact me or Rebecca L. Sharek, Deputy Inspector General for Audits, Evaluations, and Special Projects.

Attachment

cc: Michael E. Horowitz, Inspector General, Department of Justice Office of Inspector General
Daniel Levinson, Inspector General, Department of Health and Human Services Office of Inspector General
Peggy Gustafson, Inspector General, Department of Commerce Office of Inspector General
Eric Mader, Assistant Inspector General for Audits, Library of Congress Office of Inspector General
Jane Mintz, Director of Standards and Quality Control, Department of Commerce Office of Inspector General
Eleazar Velazquez, Supervisory Program Analyst, Department of Commerce Office of Inspector General



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WASHINGTON, D.C. 20549

Modified Peer Review Report

May 9, 2018

Mr. Kurt Hyde
Inspector General
Library of Congress
Office of Inspector General
James Madison Building, Room LM-630
101 Independence Avenue, SE
Washington, D.C. 20540

Dear Mr. Hyde,

This modified peer review was conducted in accordance with the Council of the Inspectors General on Integrity and Efficiency (CIGIE) Inspection and Evaluation Committee guidance as contained in the CIGIE *Guide for Conducting Peer Reviews of Inspection and Evaluation Organizations of Federal Offices of Inspector General* (January 2017). The peer review was conducted from March 23, 2018, through May 1, 2018.

The Modified Peer Review Team (Review Team) assessed the extent to which the Library of Congress Office of Inspector General met seven standards established in the January 2012 *CIGIE Quality Standards for Inspection and Evaluation* (Blue Book), specifically: Quality Control; Planning; Data Collection and Analysis; Evidence; Records Maintenance; Reporting; and Follow-up. This assessment included a review of the Library of Congress Office of Inspector General's internal policies and procedures implementing these seven Blue Book standards.

The Review Team determined that the Library of Congress Office of Inspector General's policies and procedures generally met the seven Blue Book standards addressed in the modified peer review. During the exit conference held on April 26, 2018, we provided suggestions for management's consideration, although those suggestions did not warrant inclusion in a formal Letter of Comment. As shown in the Enclosure, management had no comments on the draft report and expressed appreciation for the Review Team's suggestions.

Carl W. Hoecker
Inspector General

Enclosure

Mr. Hyde
May 9, 2018
Enclosure



Office of the Inspector General

May 8, 2018

Carl W. Hoecker
Inspector General
Office of the Inspector General
U.S. Securities and Exchange Commission
100 F Street, NE
Washington, DC 20549

Re: Response to Modified Peer Review Report

Dear Mr. Hoecker,

We have received and reviewed the draft report for the modified peer review of our internal policies and procedures for performing inspection and evaluation work.

We have no comments on the report, but wish to express our appreciation for the work completed and suggestions made by the peer reviewers representing the Offices of the Inspector General at the U.S. Securities and Exchange Commission and the Department of Commerce.

A handwritten signature in blue ink, appearing to read "Kurt Hyde".

Kurt Hyde
Inspector General